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| <b>(54) Title:</b> POSITIVE AND POSITIVE/NEGATIVE CELL SELECTION MEDIATED BY PEPTIDE RELEASE<br><br><b>(57) Abstract</b><br><br>The invention provides a non-enzymatic method for the release of cells which have been positively selected from a heterogeneous cell suspension by antibody-mediated binding to beads or other solid support. The method entails forming within the cell suspension a complex comprising the solid support linked to a primary monoclonal antibody, which in turn is bound to a cell surface antigen on the target cells. The complex is separated from the cell suspension, and then contacted with a specific peptide which binds to the primary antibody, displacing the antibody from the cell surface antigen, thereby releasing the target cell from the complex. The invention also provides methods for positive/negative cell selection wherein target cells having a first antigen are selected from a heterogeneous cell suspension containing undesired cells having a second antigen. The invention also provides methods for identifying a specific peptide useful for the release of a target cell from the binding of a specific monoclonal antibody. The methods of the invention are particularly useful for the positive selection of CD34+ hematopoietic stem cells and the concomitant purging of undesired tumor cells or lymphocytes from the positively selected cell population. The purified CD34+ cell composition is then useful for reinfusion to a cancer patient after high-dose therapy in order to reconstitute the patient's immune system. |           |   |

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POSITIVE AND POSITIVE/NEGATIVE CELL SELECTION  
MEDIATED BY PEPTIDE RELEASE

Technical Field

The invention relates to peptides used to mediate cell release from antibody binding, methods of isolating such peptides, and methods for the specific release of target cells captured by antibody selection from a heterogeneous cell suspension. The general field is also known as cell selection.

5

Background

The selection of one or more specific cell phenotypes from a heterogeneous cell composition, e.g. blood or bone marrow, has particular utility for cellular and gene therapies. For example, it has been demonstrated that the selection of cells expressing the CD34 antigen has utility in several therapies, such as a part of an adjunctive treatment for cancer (Civin, U.S. patent numbers: 5,035,994; 4,965,204; 5,081,030; 5,130,144). The selection of specific target cells for genetic manipulation is also of particular interest.

There are numerous cell selection techniques. For example, quiescent CD34+ cells may be selected by treating a hematopoietic cell culture with a chemical such as 5-fluorouracil which selectively kills dividing cells (Berardi, A.C. et al., Science 267:104-108, 1995). On particularly useful approach utilizes the selective binding of antibodies. Antibodies naturally bind to a specific antigen expressed by only certain cells. By matching an antibody to a specific cellular antigen, such cells may be physically removed or identified in a heterogeneous cell population. For discussions of antibody selection see Areman, E. et al., Eds. Bone Marrow and Stem Cell Processing, F.A. Davis Company, Philadelphia, 1992, and Gee, A.P., et al, Eds. Advances in Bone Marrow Purging and Processing, Wiley-Liss, New York, 1993.

Cellular selection techniques generally fall with two broad categories, negative cell selection and positive cell selection. As the terms imply, negative selection involves the removal of selected cell phenotypes from a population, while positive selection involves the selection or isolation of a specific cell phenotype from a larger heterogeneous cell population.

Negative cell selection techniques have found use in the removal of potentially harmful cells from a patient's or a donor's blood or bone marrow. For instance, a treatment for metastatic cancer may involve removal of a sample of the patient's bone marrow prior to ablative chemotherapy or radiation, with the intent to replace the patient's bone marrow cells after the ablative therapy in order to replenish hematopoietic cells. To minimize the risk of returning metastatic tumor cells to the patient, negative cell selection or purging is applied to the patient's bone marrow sample prior to reinfusion. One method of performing this negative cell selection involves the use of anti-tumor antibodies linked to a solid phase, such as magnetic beads, for binding the tumor cells and removing from blood, see (Hardwick, A., et al., J Hematotherapy 1:379-386, 1992). Negative selection of cells using lysis or enzymatic elimination of certain cells has also been employed (Areman, et al., supra).

As stated, positive selection involves targeting and separating a specific cell phenotype from a heterogeneous cell population. For example, cells expressing the CD34 antigen have been selected for use in bone marrow transplantation (Gee, et al., supra). While selection techniques employing toxic agents, e.g., (lytic agents), have been employed to eliminate certain cell types, the selectivity of such approaches are limited to removal or elimination of certain cells, not the affirmative selection of a specific cell type.



The use of antibodies for binding to specific cells has found widespread utility in positive selection techniques (Gee, et al., supra). One approach involves tagging or binding to the antibody a fluorescent dye and passing the antibody bound to the cell through a sorter. The cells to which the antibodies bind are identified and segregated by fluorescence-activated cell sorting (FACS). Another technique involves the binding of the antibody to a solid phase support or particle. Passing a cell composition past the antibody bearing support allows the antibodies to bind and hold the desired cells, thus removing the desired cells from the composition. Incubating a cell composition with antibody bearing particle, i.e., paramagnetic particles, allows for the separation of the particle bound cells from the remainder of the population, i.e., through magnetic separation (Gee et al., supra, pp.293-302).

The captured cells must be released from any solid support after the selection process, but in such a manner so as to maintain viability of the captured cells. Further, some researchers maintain that continued binding of an antibody or antibody fragment to the cell effects the usefulness of the cell (Berardi, et al. supra).

A particular concern with any positive cell selection technique employing an antibody based mechanism, is the retention of viability of the desired cells while effecting their release from the antibody and solid phase separation material. Release of the cells through variation of the surrounding pH and temperature is difficult since the pH must be maintained at around 7.0 - 7.4, and the temperature cannot be raised much higher than 37°C.

Certain cell types may tolerate low levels of reducing agents such as dithiothreitol and/or chelating agents such as EDTA, while other target cells may not remain viable even under very mild reducing or chelating conditions.

The strong affinity of avidin for biotin has been employed to effect the binding of cells to antibody bearing solid supports.

- 5 In avidin/biotin based techniques, typically an antibody which is specific for the target cell is biotinylated according to one of several standard methods (Avidin-Biotin Chemistry: A Handbook, Eds. Savage, MD, et al., Pierce Chemical Co, 1992). For negative selection, the target
- 10 cell is bound by the biotinylated antibody, which in turn is bound to an avidin-coated solid phase, usually in column form. The non-bound cells are then recovered, and the negatively selected cells bound to avidin are discarded.
- 15 For positive cell selection, however, the very strong affinity of avidin for biotin is disadvantageous since the target cells are firmly held within the cell/antibody-biotin/avidin complex. Since the avidin/biotin interaction is so strong, the disruption of other bonds was proposed
- 20 for the release of desired target antigens. Certain biotinylating agents have chemically cleavable covalent bonds within their spacer arms or form cleavable covalent bonds with target proteins (Sigler, G.F. US Patent Nos: 4,798,795 and 4,709,037; Wilchek, M., et al, German Pat.
- 25 App. DE 3629194 A; Avidin-Biotin Chemistry: A Handbook, supra, p.41). The bonds are cleaved under reducing conditions employing dithiothreitol, mercaptoethanol, or sodium borohydride, but these conditions are generally too damaging to cells to b
- 30 considered for selection of cells which must remain functional.

Other techniques involve the competitive displacement of biotin from the avidin support, leaving the biotinylated

35 antibody bound to the cell. Alternatively, a biotin-analog is covalently bound to a primary antibody which binds to the cell of interest. The cell/antibody/biotin-analog complex is bound by a secondary anti-biotin antibody, bound

to a solid support, for separation from the heterogeneous cell mixture. Then the cell/antibody/biotin-analog complex is released from the secondary antibody by competition with biotin. This method necessarily leaves the antibody bound to the cell (Al-Abdaly, F. et al., PCT/US95/03711).

Several techniques for positive cell selection rely on mechanical means for disruption of antibody/epitope interactions for release of selected cells. Tissue culture flasks may be coated with a primary antibody which binds the target cells; after the unbound cells are washed away, the target cells are released by striking the sides of the flask (Lebkowski, JS, et al., Transplantation 53:1101-1019, 1992). Another method for positive cell selection employs a "sandwich" technique which involves avidin bound to a biotinylated secondary antibody which binds a primary antibody, which in turn binds the target cell to form a complex. After separation of the complex from the heterogeneous cell suspension, the target cell is removed from the avidin by agitation to disrupt the interaction between the secondary and primary antibodies (Berenson, R.J., et al., US Patent Nos: 5,215,927 and 5,225,353). Mechanical release is disadvantageous for the obvious reason that cells may sustain damage during the release process, and it has been reported that low numbers of viable cells are recovered after mechanical release (Egeland, T., et al., Scand J Immunol 27: 439-444, 1988). There is also the possibility that antibody fragments might adhere to the cells.

Another method for cell release involves proteolysis by enzymes such as papain and chymopapain. The target cells may be bound to magnetic beads via a primary antibody which is in turn bound to magnetic beads. After the cell/antibody/bead complex is removed from the heterogeneous cell suspension, the cells are released from the beads by proteolysis of the cell surface antigen or the antibody, or both (Hardwick, A., et al., J Hematotherapy

1:379-386, 1992; Civin, CE, et al., In Bone Marrow purging and Processing Progress in Clinical And Biological Research, Vol. 333, Eds. S. Gross, et al., Alan R. Liss, Inc, New York, pp 387-402; Civin, CI, EP 0 395 355 A1; 5 Hardwick, A., et al., In Advances in Bone Marrow Purging and Processing- Progress in Clinical and Biological Research, Vol. 377, Eds. Worthington-White, DA, et al., Wiley-Liss, Inc., New York, pp 583-589). Proteolysis by papain or chymopapain is advantageous over mechanical 10 disruption because these enzymes are not generally harmful to cells. However, enzymes digest cell surface proteins which could be important for the proliferation, differentiation, and homing of hematopoietic stem cells, for instance. Moreover, the digestion of cell surface 15 proteins makes subsequent negative selection difficult or impossible.

Another technique involves the competitive displacement of the antibody from the cell antigen using additional 20 antibody or antibody fragments. However, while this approach effects the release of a cell from a solid support, at least a portion of an antibody remains bound to the resulting cell, which may be detrimental (Berardi, et al., supra).

25 There remains a need for a positive cell selection method which produces a high yield of functional target cells, and which relies on relatively inexpensive, benign reagents in a physiologically compatible solution. Moreover, there 30 remains a need for a positive cell selection method which leaves cell surface proteins intact. It would also be advantageous to have a method which leaves the positively selected cells free from antibodies or other ligands bound to the cell surface.

35

#### Summary of the Invention

The invention provides a non-enzymatic method for the positive selection of target cells from a heterogeneous cell suspension. The method entails forming within the cell suspension a complex comprising a cell separation means such as a paramagnetic bead linked to a primary antibody, which in turn is bound to a cell surface antigen on the target cells (see Figure 1). The complex is separated from the cell suspension, and then contacted with a specific peptide which binds to the primary antibody and thereby releases the target cell from the complex.

In one preferred method of the invention, a paramagnetic bead is linked to the primary antibody by a protein means such as a secondary antibody. This embodiment of the invention entails forming within the heterogeneous cell suspension a complex comprising the target cell bound to a primary antibody, which in turn is bound by a secondary antibody linked to the paramagnetic bead (see Figure 2). The complex is separated from the cell suspension, and then contacted with a specific peptide which binds to the primary antibody and thereby releases the target cell from the complex. The paramagnetic bead, linked to secondary and primary antibodies, is then separated from the target cell by conventional magnetic means.

The invention also provides methods for double positive cell selection, wherein a target cell bearing two desired antigens is selected from a heterogeneous cell suspension (see Figure 3A and 3B).

The invention also provides methods for positive/positive cell selection wherein two different target cells, each bearing a different desired antigen, are selected from a heterogeneous cell suspension.

The invention also provides methods for positive/negative cell selection wherein a target cell having a first antigen is selected from a heterogeneous cell suspension containing

also undesired cells having the first antigen as well as a second antigen (see Figure 4). Positive/negative selection methods may also be applied to a cell suspension in which undesired cells are inadvertently trapped in the cell suspension containing the desired cells (Figure 4). An exemplary method for positive/negative cell selection entails forming within the heterogeneous cell suspension a complex comprising a target cell having a first antigen bound to a first primary antibody, which in turn is bound by a secondary antibody coupled to a paramagnetic bead; the paramagnetic bead of the complex is also linked to a second primary antibody which is bound to a second antigen on an undesired cell. The complex is separated from the cell suspension, and then contacted with a specific peptide which binds to the first primary antibody, thereby displacing the primary antibody from the first antigen and releasing the target cell. The complexes of the paramagnetic beads attached to the primary and secondary antibodies and to the undesired cells are then separated by conventional magnetic means from the released target cell.

The method provides a peptide which binds to a monoclonal antibody bound to a cell surface antigen on a target cell, displaces the antibody from the cell surface antigen, and thereby releases the target cell from the antibody.

The invention also provides methods and specific peptide compositions for positive selection and specific release of target human hematopoietic stem/progenitor cells bound by the monoclonal anti-CD34 antibodies produced by the hybridomas designated ATCC HB 11646 and ATCC HB 11885, as well as the commercially available antibody 561 (DynaL, Oslo, Norway).

The invention also provides methods and specific peptide compositions for positive selection and specific release of target human breast cancer cells bound by the monoclonal

anti-breast cancer antibody 9187 produced by the hybridoma designated ATCC HB 11884.

5 The invention also provides a method for identifying a specific peptide useful for the release of a target cell from the binding of a specific monoclonal antibody. The method comprises first selecting a candidate releasing peptide by at least one of the following means:

- 10 a) peptide library phage display followed by biopanning with the antibody of interest;
- b) determination of potential antigenic peaks of the antigen;
- c) complementarity-determining-region (CDR) peptide analysis of the antibody of interest;
- 15 d) random peptide library display on pins and binding with the antibody of interest;
- e) theoretical molecular modeling of the three dimensional structure of said monoclonal antibody.

20 The candidate peptide is then tested for its ability to displace the antigen as measured by FACS release and by release of cells bound to magnetic beads, or by biospecific interaction analysis (BIAcore™, Pharmacia).

25 An exemplary method for identifying a peptide useful for releasing a cell bound by a specific monoclonal antibody comprises coating a solid support with a biotinylated or non-biotinylated form of the antibody, contacting the antibody with a plurality of peptides of a random peptide  
30 library, selecting at least one peptide which specifically binds to the antibody, contacting the antibody bound to the target cell with the selected peptide, and determining the ability of the selected peptide to detach the antibody from the target cell, thereby releasing the target cell.

35

#### Brief Description of the Figures

Figure 1 depicts a method for positive cell selection whereby a target cell is bound to a primary antibody and a cell separation means, separated from the cell suspension, and then contacted with a specific peptide which binds to the primary antibody and thereby releases the target cell.

Figure 2 depicts a preferred method for positive selection wherein the primary antibody is linked to the cell separation means by a secondary antibody.

10

Figures 3A and 3B depict a method for double positive cell selection and release whereby a target cell with two desired antigens is separated from a heterogeneous cell suspension and then released by incubation with two specific peptides.

15

Figure 4 depicts a method for positive/negative cell selection whereby a target cell bearing a desired first antigen is selected from a heterogeneous cell suspension containing undesired cells bearing a second, undesired antigen. By this method, target cells may also be separated from undesired cells which bear both the desired first antigen and a second, undesired antigen.

#### 25 Detailed Description of the Invention

The invention provides methods and peptide compositions for the positive and positive/negative selection of target cells from a heterogeneous cell suspension. The methods are based on the identification of specific peptides which effect the displacement and release of a specific target cell from a specific monoclonal antibody. The peptide-mediated release is enzyme-free, and thus leaves the cell surface proteins intact. Moreover, peptide-mediated release leaves the target cell free of bound antibody or antibody fragments.

35

The general method of the invention entails forming within a heterogeneous cell suspension a complex comprising the



target cell, a monoclonal primary antibody bound to a cell surface protein on the target cell, and a cell separation means linked to the primary antibody and thus to the target cell. The complex is then separated from the cell suspension, and contacted with a specific peptide which binds to the primary antibody, thus displacing and releasing the target cell from the primary antibody and the cell separation means. The cell separation means linked to the antibody is then separated from the released target cell by conventional means.

Herein the term "contacting" refers to bringing into close proximity the peptide and the antigen/antibody complex such that weak intermolecular forces may be disrupted.

Herein the term "binding" or "binds" refers to the binding of antibody to antigen by a combination of relatively weak non-covalent forces, including hydrophobic and hydrogen bonds, van der Waals force, and ionic interaction. The affinity of antibody-antigen binding is in the range of  $5 \times 10^4$  to  $10^{12}$  liters per mole, more usually  $10^6 - 10^9$  l/M (Alberts, B., et al., Eds., Molecular Biology of the Cell, Garland Publishing, New York and London, 1983, p.969-970).

Herein the term "displace" refers to the peptide of the invention causing the antibody to become unbound from its cognate antigen by interruption of the weak non-covalent binding forces described above.

Herein the term "release" refers to the cell being unbound from the antibody/solid support, thereby leaving the cell free to flow with the elution fraction from a separation system.

It is possible that the peptide of the invention acts as an "epitope-mimicking" peptide, thus competing for the antigen-binding site on the antibody, and thereby displacing the antibody from its cognate antigen. The fact

that the mechanism of action of the peptide of the invention is unknown does not detract from the importance and power of the invention.

- 5    Herein, the peptide of the invention preferably contains fewer than 30 amino acid residues, more preferably 4 to 20 amino acid residues, most preferably 4 to 10 amino acid residues.
- 10   In addition to the specific peptides listed and claimed below, the present invention also contemplates analogues of peptides formed by conservative amino acid substitutions, substitutions of non-natural amino acids, cyclization of peptides, and peptidomimetics modeled on identified
- 15   releasing peptides.

The principle behind conservative amino acid substitution is that certain amino acid pairs have compatible side chains such that, when one is substituted for the other,

20   there will be only minimal changes in the tertiary structure and the binding affinity of the antibody for peptide. Rules for conservative substitution are explained in Bowie, J.U., et al., Science 247:1306-1310, 1990.

- 25   Substitutions of non-natural amino acids: Analogues of synthetic peptides can be made by substituting individual residues with non-natural or unusual amino acids. Sequences of bioactive peptides are originally derived from proteins which are made up of the naturally occurring
- 30   twenty L-amino acid residues. However, the process of chemical synthesis used to construct synthetic peptides allows for the substitution of alternate residues including D-amino acids, infrequently occurring natural amino acids, or non-natural synthetic amino acid analogues (Bodansky, M,
- 35   1984, Principles of Peptide Synthesis, Springer-Verlag, Berlin). These alternate residues can be used (a) to replace chemically reactive residues and improve the stability of the synthetic peptide, (b) to provide analytic

lab is useful in the detection of the synthetic peptide, and (c) to modulate the bioactivity of the synthetic peptide by increasing or decreasing the binding affinity of the antibody for the peptide.

5

Cyclization of peptides: Analogues of synthetic linear peptides can be made by chemically converting the structures to cyclic forms. Cyclization of linear peptides can modulate bioactivity by increasing or decreasing the potency of binding to the target protein (Pelton, J.T., et al., Proc. Natl. Acad. Sci., U.S.A., 82:236-239). Linear peptides are very flexible and tend to adopt many different conformations in solution. Cyclization acts to constrain the number of available conformations, and thus, favor the more active or inactive structures of the peptide. The immunogenicity of synthetic peptides has been correlated with the experimentally observed conformational preferences in solution (Dyson, H., et al., 1988, Annual Review of Biophysics and Biophysical Chemistry, 17:305-324). Differences in immunogenicity may be indicative of differences in binding affinity of specific antibodies for cyclic peptides.

Cyclization of linear peptides is accomplished either by forming a peptide bond between the free N-terminal and C-terminal ends (homodetic cyclopeptides) or by forming a new covalent bond between amino acid backbone and/or side chain groups located near the N- or C-terminal ends (heterodetic cyclopeptides) (Bodanszky, N., 1984, supra). The latter cyclizations use alternate chemical strategies to form covalent bonds, e.g. disulfides, lactones, ethers, or thioethers. Linear peptides of more than five residues can be cyclized relatively easily. The propensity of the peptide to form a beta-turn conformation in the central four residues facilitates the formation of both homo- and heterodetic cyclopeptides. The presence of proline or glycine residues at the N- or C-terminal ends also facilitates the formation of cyclopeptides, especially from

linear peptides shorter than six residues in length. Examples of cyclized releasing peptides are shown in Example 14 below.

5 Peptidomimetics: Peptidomimetics technology is the design of molecular mimics of peptides. The ability to successfully design such molecules depends upon the understanding of the properties of the linear peptide sequence and the conformation in which it is presented to  
10 the antibody. The synthesis of mimetics can provide compounds exhibiting greater biological activity, improved solubility, and stability (Nakanishi, H., et al., 1993, Peptidomimetics of the immunoglobulin supergene family - a review. Gene 137:51-56).

15 Herein, the term "cell separation means" refers to well-known means such as paramagnetic beads, columns, hollow fibers, glass beads, polysaccharide beads, and polystyrene tissue culture flasks. Hereinafter, the term "paramagnetic  
20 bead" or "bead" will be used to illustrate a cell separation means. However, this invention is not limited to the use of paramagnetic beads as the separation means. Paramagnetic beads are separated from cell suspensions by the use of magnets (Hardwick, R.A., et al., J Hematotherapy  
25 1:379-386, 1992).

Herein the term "linked to a primary antibody" refers to any means of connecting the primary antibody to the cell separation means. Examples of linking means include :

- 30 (1) direct linkage of the cell separation means to the primary antibody by covalent bonds or adsorption;
- (2) indirect linkage of the cell separation means to the primary antibody by an intervening protein which is directly linked to the cell separation means, and which  
35 also binds the primary antibody;
- (3) direct or indirect linkage of the cell separation means to the primary antibody by biotin/avidin binding,

wherein an antibody is biotinylated and the cell separation means comprises avidin.

On preferred method of the invention entails the use of  
5 paramagnetic beads linked to a protein means for binding  
the primary antibody. The protein means for binding the  
primary antibody can be Staphylococcus aureus Protein A,  
Streptococcus Protein G, or an immunoglobulin which binds  
to the monoclonal primary antibody. The latter is known as  
10 a "secondary antibody". The secondary antibody can be a  
polyclonal antibody or a monoclonal antibody. A polyclonal  
antibody is typically raised in an animal such as a rabbit,  
sheep, goat, horse, pig, or bovine species. A monoclonal  
antibody is typically raised in a small rodent such as  
15 mouse or rat according to the basic method of Köhler and  
Milstein. Hereinafter, the term "secondary antibody" will  
be used to illustrate the protein means for binding the  
primary antibody.

20 The invention can be applied to positive selection of any  
type of target cell. To use the invention, it is first  
necessary to provide a monoclonal antibody which binds to  
a specific cell surface antigen on the target cell. Given  
a monoclonal antibody specific for the target cell, the  
25 experimental examples below can be followed to identify a  
specific peptide sequence which will bind to the monoclonal  
antibody and displace the target cell, thereby releasing  
the target cell from the antibody.

30 It is generally believed that a given monoclonal antibody  
binds to a small portion of its cognate antigen, known as  
its epitope, which consists of as few as 3-6 amino acid  
residues (Pellequer, J.L., et al., Methods in Enzymology  
208:176, 1991). The amino acid residues may be in  
35 sequence, or they may be discontinuous within the antigen  
sequence. When the amino acid residues of the antigen  
sequence are discontinuous, it is thought that they are  
presented in close proximity for recognition by the cognate

antibody through three-dimensional folding of the antigen.

To practice the invention, it is necessary to identify a  
5 specific small peptide which will displace the monoclonal  
antibody from its epitope on its cognate antigen. This  
specific peptide may be an "epitope-mimicking" peptide,  
which acts by direct competition at the binding site, or it  
may be a peptide which displaces the antibody by any other  
10 mechanism.

In order to identify small peptides which are bound by the  
monoclonal antibody, several initial selection techniques  
may be employed which select candidate releasing peptides.  
15 In the phage-display technique, large libraries of random  
amino acid sequences are screened in biopanning or antibody  
binding assays (see Example 1 below). Examples of random  
peptide libraries are phage-displayed linear 6mer and 15mer  
libraries, constrained (cyclized)  $\text{XCX}_6\text{CX}$  (described in  
20 Example 14 below), and a conotoxin  $\text{XCCX}_3\text{CX}_5\text{C}$  library. In  
the "PIN" technique, random peptide libraries are displayed  
on isolated pins which then are screened for their ability  
to bind the antibody, as read out on ELISA-type assays.  
Random peptide libraries based on phage display or pin-  
25 peptide display are reviewed in Wells, J.A., et al.,  
Current Opinion in Biotechnology 3:355-362, 1992, and in  
Scott, J.A., Trends in Biochemical Sciences, 17:241-245,  
1992.

30 Random peptide libraries may also be screened using  
antibody bound to beads (see Example 13 below).

Candidate releasing peptides can also be identified by  
computer-assisted analysis of potential antigenic peaks in  
35 the protein antigen (see Example 11 below).

Candidate releasing peptides can also be identified by  
analyzing complementarity-determining regions (CDR's) in

the antibody of interest. Translation of available cDNA sequences of the variable light and variable heavy chains of a particular antibody permit the delineation of the CDRs by comparison to the database of protein sequences compiled in the book Sequences of Proteins of Immunological Interest, Fifth Edition, Volume 1, Editors: E.A. Kabat, et al., 1991 (see table on page xvi). Studies have shown that in some cases CDR peptides can mimic the activity of an antibody molecule (Williams, W.V., et al. Proc. Natl. Acad. Sci. U.S.A. 86:5537, 1989). CDR peptides may bind their cognate antibody, thus effecting displacement of the antibody from the antigen.

To increase the efficiency of the above procedures in identifying candidate releasing peptides, biospecific interaction analysis using surface plasmon resonance detection through the use of the Pharmacia BIAcore™ system may be utilized. This technology provides the ability to determine binding constants and dissociation constants of antibody-antigen interactions. Analysis of multiple antibodies and the number of biopanning steps (at set antibody concentrations) required to identify a tight-binding consensus peptide sequence will provide a database on which to compare kinetic binding parameters with the ability to identify tight binding peptides and their activity as competitive agents. If a particular antibody/antigen interaction is determined to be extremely tight, then the researcher may choose to work with a different antibody. The use of the BIAcore™ system requires purified antibody and a source of soluble antigen. Phage display-selected clones can be used as a source of peptide antigen and directly analyzed for antibody binding. In the present studies, CD34 antigen was obtained from detergent-solubilized CD34 protein from KG1a cells. BIAcore™ technology was also applied to anti-CD4 antibodies; in this case, the source of antigen was commercially available recombinant soluble CD4 protein (Agmed, Bedford, MA).

The candidate releasing peptides identified by the above described means are then screened for displacement of the antibody from the cell surface antigen, typically in assays using cells bearing the antigen.

5

It is thought that the specific peptide effects the displacement of the target cells by either (1) mimicking the epitope on the cell surface antigen, thereby competing against the epitope for antibody binding, or (2) binding to a site on the antibody and causing a conformational change, thus altering the antibody such that it can no longer bind to its epitope on the cell surface antigen. Evidence was obtained using labeled peptide and antibody that at least one of the identified peptides of the invention binds to its cognate antibody (data not shown). The methods of the invention can identify a specific peptide that acts to release the target cell by any mechanism. Herein, the term "peptide which binds to a monoclonal antibody bound to a cell surface antigen on a target cell, displacing the antibody from the cell surface antigen, and releasing the target cell from the antibody" refers to a peptide which acts to release the target cell by any molecular mechanism.

Candidate releasing peptides can be identified by any one or several of the following means:

- a) phage display of a random peptide library followed by biopanning with the antibody of interest;
- b) computer-assisted analysis of potential antigenic peaks of the protein antigen of interest;
- c) analysis of complementarity-determining regions (CDRs) of the antibody of interest;
- d) random peptide library pin display followed by biopanning with the antibody of interest;
- e) theoretical molecular modeling of three-dimensional antibody structure.

Once a candidate peptide has been identified, its ability to displace the antigen is tested by incubating the peptide



with cells bound by the antibody. Release of cells from antibody is typically determined by FACScan or release from magnetic beads.

5 One type of random peptide library which can be used in the practice of the invention is the hexapeptide phage display library described by Scott and Smith (Science 249:386-390, 1990). Prior to the present invention, it was believed that a monoclonal antibody would have to be biotinylated in  
10 order to bind tightly to an avidin coated plate to yield a sufficient signal to identify a peptide which binds to the antibody. However, it was also known that many monoclonal antibodies cannot be biotinylated without diminishing or destroying their binding functions. Fortunately, it was  
15 discovered that a biopanning technique using a non-biotinylated monoclonal antibody (see Example 1 below) can yield a sufficient positive signal for the identification of candidate peptides useful for detaching the antibody from its cognate antigen on the surface of the target cell.  
20

The following describes exemplary methods for identifying a specific peptide useful for the release of a target cell bound by a specific monoclonal antibody. The methods involve first coating the monoclonal antibody onto a  
25 plastic plate so that the antibody attaches to the plate. In the case of the non-biotinylated monoclonal antibody, the antibody binds to the plastic by non-specific interactions, thought to be electrostatic interactions. Alternatively, the monoclonal antibody may be biotinylated  
30 and then attached to an avidin-coated plate by exploiting the tight binding of avidin to biotin. Yet another scheme makes use of Protein A or Protein G coated plates; it is well known that Protein A and Protein G, from Staphyococcus and Streptococcus organisms, bind relatively tightly to  
35 certain immunoglobulin isotypes such as IgG and IgM. As an alternative to plates, beads can be used as the solid support for the monoclonal antibody; antibody is coated onto or bound to beads using the same methods as for

coating on plates. Once the monoclonal antibody has been coated onto the plate or beads, the attached antibody is contacted with a plurality of phage displaying a random peptide library, and then the non-bound phage are rinsed  
5 away. The bound phage are then eluted, grown, and amplified. This process is known as "biopanning". Several rounds of biopanning are preferred to select for the peptides which bind the antibody most effectively. Ultimately, the phage DNA encoding the selected peptides is  
10 subjected to DNA sequence analysis to determine the candidate peptides for release of target cells.

Then the candidate peptides are synthesized by conventional means. To increase solubility of the peptides, they may be  
15 synthesized with additional flanking sequences of hydrophilic amino acid residues, typically residues of amino acids which are polar or charged. The candidate peptides are then tested for their ability to displace the antibody from its cognate antigen on the surface of the  
20 target cell. It is understood that the mere fact that a peptide is bound by the antibody does not ensure that the peptide would displace the antigen. A candidate peptide might be bound less tightly by the antibody than the antigen is bound, thus the peptide might not compete  
25 successfully for binding and would not displace the antibody from its cognate antigen. Another way of expressing this problem is that the antibody might have greater affinity for its cognate antigen than it has for the candidate peptide. It is also very likely that a  
30 candidate peptide could bind an antibody without interfering with or binding to its antigen binding site (epitope). Fortunately, it was discovered that this method of the invention can successfully identify peptides which not only bind to the antibody, but also displace the  
35 antibody from its cognate antigen, thereby releasing the target cell from the antibody.

Once the appropriate peptide has been identified and synthesized, the positive selection and positive/negative selection methods of the invention can be practiced.

- 5 As depicted in Figure 1, within the cell suspension a complex is formed comprising the target cell bound to a primary antibody, which in turn is linked to a cell separation means, preferably a paramagnetic bead. The complex is separated from the cell suspension by  
10 conventional means, preferably a magnet. The primary antibody within the separated complex is then contacted with a specific peptide which binds to the primary antibody and displaces the antibody from the target cell, thereby releasing the target cell from the complex. The  
15 paramagnetic bead linked to antibody is then separated from the released target cell, yielding a purified target cell with its cell surface proteins intact, and without antibody or antibody fragments bound to its surface.
- 20 A preferred embodiment of the invention is depicted in Figure 2. In this embodiment, the primary antibody is not directly coupled to the bead, but rather is linked to the bead by a secondary antibody, which in turn is coupled to the bead to form the complex. As in Figure 1, the complex  
25 is separated from the cell suspension and contacted with the specific peptide, thereby releasing the target cell.

- Another embodiment of the invention is depicted in Figures  
30 3A and 3B (Double Positive Cell Selection), whereby a target cell bearing two different antigens is positively selected from a heterogeneous cell suspension containing non-target cells bearing only one of the antigens. The cell suspension is incubated with first and second primary  
35 antibodies, each of which binds to only one of the two different antigens on the target cell. A complex is formed by adding to the cell suspension a paramagnetic bead coupled to a secondary antibody which binds to both primary

antibodies. The complex is separated from the cell suspension and then contacted with a specific peptid which binds to the first primary antibody, thereby releasing the cell which bears the first antigen but not the second antigen. This cell is separated from the remaining cell-antibody-bead complex. The remaining target-cell-antibody-bead complex is then contacted with a second specific peptide which binds to the second primary antibody, thus displacing the target cell from the second primary antibody and releasing the target cell from the bead. This process provides for the sequential positive selection of two different cell types from a heterogeneous cell population.

Another embodiment of the invention is depicted in Figure 4 whereby a target cell bearing a first antigen is positively selected from a heterogeneous cell suspension which also contains undesired cells bearing the first antigen as well as a second antigen (Positive/Negative Selection). The positive/negative selection method of the invention is also useful for removing contaminating, undesired cells which do not bear the first antigen, but only a second antigen. Positive/negative selection is especially desirable when, for instance, autologous CD34+ cells are to be selected from blood or bone marrow of a cancer patient. The selected CD34+ cells are destined for re-infusion to the patient to reconstitute his bone marrow after high-dose chemotherapy or radiation. Positive selection of CD34+ cells alone is thought to reduce the tumor burden in the selected cell sample by several logs. However, it would be most desirable to negatively select against cancer cells as an added precaution against the possibility that reinfused cancer cells might contribute to relapse. Positive/negative cell selection can be conducted either simulataneously (concomitantly) or sequentially.

Simultaneous positive/negative cell selection:

Within the cell suspension a complex is f rmed which comprises the target cell bound to a first primary

antibody, which is linked to a bead, which in turn is linked to a second primary antibody bound to an undesired cell. For example, the first primary antibody can be an anti-CD34 antibody, whereas the second primary antibody can be an anti-B cell antibody, or a mixture of several antibodies against undesired cell types. Anti-B cell antibodies are especially useful in purging of positively selected CD34+ cell populations from patients with B-cell lymphomas. The complex is separated from the cell suspension, and then contacted with a specific peptide which binds to the first primary antibody, thereby displacing the first primary antibody from its cognate antigen on the target cell surface and releasing the target cell from the complex. The undesired cell, however, remains bound to the bead via the second primary antibody. Thus, the undesired cell can be separated from the released target cell, yielding a purified population of target cells separated from undesired cells.

20 Sequential positive/negative cell selection:

In this method, the positive selection step is conducted first as described above, using only the antibody against the desired antigen (for instance CD34) and release by a specific peptide. The positively selected cells retain antigens on their surfaces due to the non-enzymatic peptide-mediated release, making a subsequent selection step possible. The positively selected cells are then incubated with the second primary antibody or mix of antibodies directed against undesired antigens such as B-cell antigens. The cells bound by the second primary antibody(s) are captured by conventional means, and the unbound cells are collected for reinfusion to the patient.

35 Positive/negative selection is especially important for the further purification of positively selected CD34+ cells. Typically, the positively selected CD34+ population will be over 90% pure, which represents a 3 log depletion of B

cells, for instance (see Example 19 below). Addition of a negative selection step further depletes undesired cells up to a 4 log depletion or greater. The negative selection step is known as "purging". Negative selection can be optimized so that the resulting cell composition is substantially free of undesired cells. The term "substantially free" of undesired cells means that no undesired cells are detected using standard sampling and analysis by, for instance, immunocytochemistry, morphology, or FACSscan™.

The negative selection technique can be used also for depletion of T lymphocytes from allografts, thus greatly reducing the risk of graft-versus-host disease (GVHD).

The extent of depletion of undesired cells is dependent on, among other factors, the antibody/bead/cell/peptide ratios utilized. These ratios can be optimized to yield the desired log depletion of, for instance, B cells or T lymphocytes. In some applications, it may be desirable to retain a few tumor lymphocytes in the purified CD34+ population for reinfusion to the patient in order to elicit tumor-versus-leukemia reaction whereby the reinfused tumor cells mobilize the patient's immune system against residual tumor cells (Wingard, J.L. 1995, IBC 2nd Annual Conference on Hematopoietic Stem Cells, San Diego, CA). However, a 3-4 log reduction in tumor cells reinfused to the patient is expected to reduce incidence of relapse.

Experimental Examples 1-7 below describe the identification and use of specific peptides for the release of human hematopoietic stem cells bound by the anti-CD34 mouse monoclonal antibody produced by the hybridoma designated ATCC HB 11646, known herein as antibody 9069. The hybridoma ATCC HB 11646 has been deposited under the provisions of the Budapest treaty with the American Type Culture Collection, Rockville, Maryland, USA. The following will illustrate the methods of the invention by

describing the use of these peptides to positively select human hematopoietic stem cells from a heterogeneous cell suspension such as bone marrow or peripheral blood.

- 5 A heterogeneous cell suspension of human bone marrow, peripheral blood, or cord blood contains a very small number of stem cells (typically 0.2 to 2.0%). The stem cells are target cells which are to be positively selected for further use such as in vitro culture or reinfusion to  
10 a patient.

Human hematopoietic stem/progenitor cells are so named because they have the capacity to proliferate many times over, and to differentiate into all hematopoietic cells  
15 types. Hereinafter, the term "stem cells" refers to human hematopoietic stem/progenitor cells. Stem cells bear a characteristic cell surface antigen known as CD34. Several monoclonal antibodies have been produced which specifically bind to CD34. It is assumed that each monoclonal antibody  
20 binds to a different epitope on the CD34 antigen, since it is statistically very unlikely that several different monoclonal antibodies would be produced against the identical epitope. Thus, a peptide identified as effective for displacing a given anti-CD34 monoclonal antibody is  
25 likely to displace only this specific antibody, and not other monoclonal anti-CD34 antibodies.

As depicted in Figure 2, within the suspension of blood or bone marrow, a complex is formed comprising human stem  
30 cells which are bound by the mouse monoclonal antibody 9069 (1° AB), which is in turn bound by a sheep-anti-mouse antibody (2° AB), which is coupled to a paramagnetic bead. The complex is separated from the cell suspension by magnetic means. Then the 9069 antibody (°1 antibody) is  
35 contacted with a specific peptide which binds to the 9069 antibody and displaces it from the CD34 antigen on the stem cell, thereby releasing the stem cell. The paramagnetic bead linked to the sheep anti-mouse antibody and the 9069

antibody is then separated from the released stem cell using a magnetic means. This provides a highly purified suspension of stem cells with their surface proteins intact, including the CD34 antigen protein. Moreover, the stem cell does not have residual antibody or antibody fragments bound to its surface.

The invention also provides a method for positive/positive cell selection whereby two desired target cells can be positively selected from blood or bone marrow. For instance, it may be desirable to positively select both stem cells and T-lymphocytes. T-lymphocytes bear the cell surface antigen known as CD3. Specific subsets of T-lymphocytes bear cell surface antigens known as CD4 and CD8. A monoclonal antibody against the desired class of T-lymphocyte can be provided and used to screen peptide libraries as described in Example 1 below. A specific peptide which displaces the anti-T-lymphocyte antibody is selected and used in conjunction with a peptide that displaces the anti-CD34 antibody. Thus, both the anti-CD34 antibody and the anti-T-lymphocyte antibody are incubated with the cell suspension, and the two types of target cells are bound by their specific primary antibodies. The primary antibody-bound cells are bound to secondary antibodies coupled to beads, they are separated from the cell suspension, and then displaced from the beads by contact with the two specific peptides. Thus, a substantially pure suspension of stem cells and T-lymphocytes is obtained.

As depicted in Figure 3A and 3B, the invention provides a method for double positive cell selection whereby, for instance, a subset of CD34+ cells bearing other cell surface markers may be positively selected.

As depicted in Figure 4, the invention also provides a method for positive/negative cell selection whereby target CD34+ cells may be positively selected from a suspension of



blood or bone marrow which also contains undesired CD34+ cells which bear a second antigen such as a tumor marker. For a number of different types of cancer, it would be desirable to perform autologous stem cell transplant following high-dose chemotherapy or radiation to replenish the hematopoietic cells of the bone marrow which are destroyed by such treatments. However, the use of autologous stem cell transplant would involve harvesting a portion of the patient's bone marrow or peripheral blood prior to treatment, and there is a risk that the bone marrow might harbor tumor cells which would then proliferate when they were reinfused to the patient. The addition of a negative purging step allows removal of any autologous tumor cells non-specifically captured in the positive selected fraction. The types of cancer for which autologous bone marrow transplant would be indicated include neuroblastoma, breast carcinoma, small cell lung carcinoma, and colon carcinoma. The positive selection of CD34+ cells reduces the risk of transfer of cancer cells because it is believed that very few or no CD34+ cells are metastatic tumor cells. However, a higher degree of confidence can be attained through the use of positive/negative cell selection.

There are several cell surface antigens identified as indicative of the tumorous nature of a cell, and antibodies are available which bind to these tumor antigens. For instance, to select against neuroblastoma cells, antibodies against the following antigens can be used: G<sub>D2</sub>, NCAM, 459, HSAN, UJ13A, and UJ167.11 (In: Bone Marrow Processing and Purging, Ed. Adrian P. Gee, CRC Press, Boca Raton, Florida, 1991.) To select against breast carcinoma cells, a panel of antibodies which bind to a wide range of breast antigens can be used. Likewise, to select against small cell lung carcinoma cells, a panel of antibodies directed against neural, epithelial, and neuroendocrine antigens can be used. The carcinoembryonic antigen (CEA) is present on a wide variety of breast and colon cancer cells, and

antibodies against CEA are useful in selecting against these tumor cell types.

As depicted in Figure 4, within a suspension of bone marrow or blood from a cancer patient is formed a complex comprising the target CD34+ stem cell, the 9069 anti-CD34 antibody (1° AB-I), the sheep anti-mouse antibody (2° AB), a bead, the second primary antibody or panel of antibodies directed against tumor antigen(s) (1° AB-II), and the undesired cell, which may or may not also bear the CD34+ antigen. The complex is separated from the suspension and contacted with a specific peptide which binds to the 9069 antibody, displacing the 9069 antibody from the CD34 antigen, and thus releasing the target stem cell from the complex. The bead bound to the antibodies and the undesired cell is then separated from the released stem cell, yielding a purified suspension of CD34+ stem cells which has been purged of cells bearing the tumor antigens.

Any of the above described selection methods may be used to positively select human hematopoietic CD34+ cells by binding the stem cells with the 9069 antibody produced by ATCC HB 11646, and then releasing the stem cells by contacting the 9069 antibody with a peptide selected from the list below. Herein, peptide sequences are shown in the one-letter amino acid symbols recommended by the IUPAC-IUB Biochemical Nomenclature Committee (see Patent In User Manual of the U.S. Patent and Trademark Office, November 1990, page 101).

30

I. Q G X<sub>1</sub> F

and

II. X<sub>2</sub> Q G X<sub>1</sub> F X<sub>3</sub>

wherein X<sub>1</sub>= W, Y, S, F or T; X<sub>2</sub>= Q, N, T, or S; and X<sub>3</sub>= P, W, or S;

35

and

III. Q G X F

IV. J<sub>1</sub> Q G X F J<sub>2</sub>

- V. X Q G X F X
- VI. J<sub>1</sub> X Q G X F X J<sub>2</sub>
- VII. J<sub>1</sub> Q Q G W F P J<sub>2</sub>
- VIII. J<sub>1</sub> T Q G S F W J<sub>2</sub>
- 5 IX. J<sub>1</sub> Q Q G W F P K D J<sub>2</sub>
- X. J<sub>1</sub> Q Q G W F P D K J<sub>2</sub>
- XI. J<sub>1</sub> A D G A X Q G X F X G A K D J<sub>2</sub>
- XII. J<sub>1</sub> A D G A Q Q G W F P G A K D J<sub>2</sub>
- XIII. J<sub>1</sub> A D G A T Q G S F W G A K D J<sub>2</sub>
- 10 XIV. J<sub>1</sub> N S S V Q S J<sub>2</sub>
- XV. J<sub>1</sub> A D G A L I S Q V S G A K D J<sub>2</sub>
- XVI. J<sub>1</sub> L I S Q V S J<sub>2</sub>
- XVII. J<sub>1</sub> N S S V X X J<sub>2</sub>
- XVIII. J<sub>1</sub> N S S V G L J<sub>2</sub>
- 15 XIX. J<sub>1</sub> T G Q A S T J<sub>2</sub>
- XX. J<sub>1</sub> A D G A P F W G Q Q G A K D J<sub>2</sub>
- XXI. J<sub>1</sub> A D G A T Q G T F S G A K D J<sub>2</sub>
- XXII. J<sub>1</sub> P E L P T Q G T F S N V S K E J<sub>2</sub>
- XXIII. J<sub>1</sub> A D G A T Q G I C L G A K D J<sub>2</sub>
- 20 XXIV. J<sub>1</sub> E V K L T Q G I C L E Q N K T J<sub>2</sub>

and

XXV. J<sub>1</sub> A D G A N Q G Y F P G A K D J<sub>2</sub>

wherein J<sub>1</sub> and J<sub>2</sub> are selected from the group consisting of  
0 - 6 amino acid residues. Suitably, J<sub>1</sub> and J<sub>2</sub> contain  
25 hydrophilic, polar, or charged amino acid residues to aid  
the solubility of the peptide in aqueous solution.  
Examples of hydrophilic, polar, or charged amino acids are:  
G, S, T, C, Y, N, Q, D, E, H, K and R.

30 Any of the above listed peptides can have an amino terminal  
amino acid residue which is acetylated. Also, any of the  
above listed peptides can have a carboxy terminal amino  
acid residue which is amidated.

35 The invention also provides peptides which can release  
cells bound by the anti-CD34 antibody designated 9079,  
which is produced by the hybridoma deposited under the  
Budapest treaty with the ATCC, designated ATCC HB-11885,

effective May 9, 1995. The following peptides are 9079-releasing peptides:

PGSPLG-KD  
YSRLGF-KD  
5 QYTQPK-D  
NLQGEF-KD  
RSFYR-D  
IQEFGV-KD  
SFRVGY-KD  
10 KD-VYSLWP-KD

The invention also provides peptides which can release cells bound by the anti-CD34 antibody designated 561, commercially available from Dynal, Oslo, Norway. Th  
15 following peptides are linear 561-releasing peptides:

| Designation   | Sequence                          |
|---------------|-----------------------------------|
| 561A          | R H R H R H                       |
| 561B          | K R H K R H                       |
| 561C          | R T K T R F                       |
| 20 561D       | T R V P R R                       |
| 561E          | R H R P R H                       |
| 561CDR1H      | D-N Y W M Q-K                     |
| 561CDR2H      | A I Y P G D G D T R Y T Q K F K V |
| 561CDR3H      | N D G Y F D A M D Y               |
| 25 561CDR1L   | D-S A S S S V T F M H-K           |
| 561CDR2L      | D T S K L A S                     |
| 561CDR3L      | D-Q Q W N S N P L T-K             |
| 561CDR1H.2    | D-N Y W M Q -K D                  |
| 561CDR1L.2    | K D - S A S S S V T F M H -K D    |
| 30 561CDR3H.2 | A R N D G Y F D A M D             |
| 561CDR2L.2    | H D T S K L A S Q V - D           |
| 561L          | T C T N C H - K D                 |
| 561M          | A C K W C R                       |
| 561P          | Q K T D A Y - K D                 |
| 35 561Q       | K D - P A N V S L - K D           |
| 34L           | K D - P A N V S T - K D - C       |
|               | T C K W C R                       |
|               | R V S W C R                       |

5  
10  
15  
20  
25  
30  
35  
40

T C T N C H  
T C T K V H  
F F R D V Y  
F L H E C Y  
Y I K G L F  
Y I G T D H  
V I M E E A  
K L I A T A  
T A A H T W  
C S L H H Y  
V L L S D N  
M V W V N N  
S W N Y T H  
R V S G V G  
R V S G C R  
R Y G G S F  
L R K V N G  
W S V Q R D  
F S I G A G  
S P F V T M  
S W N Y T H  
R V S G V G  
R V S G C R  
R Y G G S F  
L R K V N G  
W S V Q R D  
F S I G A G  
S P F V T M  
A C E W C R  
A W W S N T  
W C R R I T  
Q K T D A Y  
Q K A E A Y  
Q K A D A Y  
Q E T D A Y  
Q E A D A Y  
Q Q A D A Y

Q Q T D A Y  
 P A N V S L  
 5 P A D V S L  
 P P N V S L  
 T P N V S L

The following are cyclic 561-releasing peptides:

10 Q C I D E F L R C I - K D  
 D - Q C I D E F L R C I - K D  
 D - Q C I D E F L R C I - D  
 Q C I D E F L R C I  
 D C I D T F L R C V  
 15 S C I D D F L R C A  
 Q C I D A F R R C I  
 N C I D T F V A C A  
 N C I D K F L A C V  
 Q C I D E L L R C I  
 20 N C I D V F L T C V  
 D C I E R F L T C V  
 N C I E I F I S C V  
 S C I E T F L Q C V  
 G C I E R F F Q C V  
 25 N C I E S F L R C V  
 S C I N R F L T C V  
 S C T N R F L T C V  
 S C P V A I A S C T  
 N C V D Q F I H C V  
 30 N C V E A F L I C A  
 N C V D K F L A C A  
 Q C I A E F L R C I  
 D C V E Q F L T C V  
 L C R L L K Q L C N  
 35 I C T D R Y P P C T

The invention also provides peptides which can release  
 cells bound by the anti-human breast cancer antibody  
 designated 9187, which is produced by the hybridoma  
 40 deposited under the Budapest treaty with the ATCC,

designated ATCC HB-11884, effective May 9, 1995. It is useful to positively select breast cancer cells from a patient's blood or bone marrow for several different techniques including culture of cancer cells to determine  
5 chemotherapeutic susceptibility, and to provide a cancer cell population for production of a patient-specific vaccine or therapeutic monoclonal antibody. Peptides which release cells bound by antibody 9187 are:

10 R W R W R H  
A R F P R R  
R H H L Y R  
W Y R S H R  
T R V P R R  
T P R N P R  
15 L R R T F W  
L V R I Q F  
L V R V W F  
L T R T V F  
R T K T R F

20

The compositions and methods of the invention may also be applied to epitope/antibody assays for cell quantitation. For instance, it would be clinically valuable to have a quick, simple, and standardized assay to determine the  
25 number of CD34+ cells in an apheresis product or a positively selected cell composition. Currently, the number of specific cells in a composition is determined by flow cytometry, which requires expensive equipment and a skilled operator.

30

The identification of peptide epitopes for antibodies which recognize cell surface determinants also allows construction of diagnostic cell-based assays, for example. A peptide capable of releasing a specific cell of interest  
35 from a specific monoclonal antibody is provided. The peptide can be bound to a solid support such as a synthetic bead or immobilized to another type of solid phase, to construct an "artificial cell target" for antibody binding.

A standard binding curve is then established, in which decreasing amounts of the peptide/bead complex are contacted with a constant concentration of the specific monoclonal antibody. This yields a range of signal for antibody binding to bead. The signal might be generated in several ways. Conjugating the antibody, or using a secondary antibody conjugate, allows collection of a magnetic bead/peptide/antibody complex, and quantitation of the captured antibody. Alternatively, the capture of a fluorescent bead/peptide complex through the antibody molecule allows similar quantitation of binding, through captured fluorescence.

Establishment of a standard binding curve would then allow quantitation of CD34+ cells, for instance, in a clinical sample by an indirect competition assay. This is analogous to an RIA (RadioImmunoAssay). In this case, the addition of test material, containing an unknown concentration of CD34+ cells, would compete with antibody/bead complex formation. The degree of inhibition would then be proportional to the number of CD34+ cells in the test material. In the case of cell selection technology, a diagnostic assay of this sort would provide an estimation of starting target cell concentration, and would allow optimization of cell capture reagents and improved system performance.

Similar indirect binding assays can be performed for antibody binding on peptide epitope immobilized to a solid phase. Test material containing unknown CD34+ target cell numbers can inhibit antibody binding to a peptide coated on a solid phase. Cell concentration can be determined following establishment of a control standard curve. The value of a solid phase assay is its adaptability to a rapid read out system. For example, diagnostic systems which deliver electronic signal proportional to antibody binding have been developed, and this might allow an in-line quantitation of target cell concentration tied to cell



selection hardware. Again, a diagnostic assay of this sort would provide an estimation of starting target cell concentration, allowing optimization of cell capture reagents and improved system performance.

5

The following experimental examples are offered by way of illustration and are not intended to limit the scope of the invention.

10

#### EXAMPLE 1

##### Selection of peptide epitope displayed phage with high affinity binding to anti-CD34 monoclonal antibody.

Monoclonal anti-CD34 antibodies (mouse) designated "9069" were produced by standard methods from hybridomas obtained from Baxter-Hyland (Lansdorp clone 9.C.5, Terry Fox Laboratories and Becton-Dickinson). The hybridoma which produces antibody 9069 is deposited under the terms of the Budapest treaty with the American Type Culture Collection, Rockville, Maryland, USA.

20

Specific hexamer peptide sequences were selected for their binding capacity to the anti-CD34 antibody, 9069. An epitope phage display library was obtained from and screened following the procedure of George Smith at the University of Missouri with specific modifications. The production and amplification of the epitope phage display library is described by George P. Smith in Science, 228:1315-1316, 1985, and described in further detail in Cloning in fUSE Vectors, edition of February 10, 1992.

30

Prior to the present invention, it was generally believed that it was necessary to use a ligand in biotinylated form in order to bind the ligand firmly to avidin in a culture plate so that the phage particles would bind specifically to the ligand. However, it was known that biotinylation of the ligand of interest in this case, antibody 9069, would adversely affect its binding capacity. Fortunately, a method using a non-biotinylated form of 9069 was found to

35

bind specific peptides with sufficient specificity to allow identification of the appropriate peptides.

Onto the bottom of a 35 mm polystyrene petri dish (Falcon)  
5 was pipetted 1 ml of 9069 antibody solution consisting of  
900  $\mu$ l water and 100  $\mu$ l of filter-sterilized 1 M  $\text{NaHCO}_3$   
(unadjusted pH 8.6) containing 10 $\mu$ g or 1  $\mu$ g of antibody  
9069. The plate was incubated overnight at 4°C. The plate  
was then washed with TBS/TWEEN (50 mM Tris pH 7.5/150 mM  
10 NaCl) and incubated with a blocking solution containing  
bovine serum albumin (BSA) for 2 hours at 4°C. The plate  
was again washed, and the phage was added. Typically, the  
input phage was 100  $\mu$ l of the amplified eluate. The plate  
containing bound 9069 antibody and phage was incubated for  
15 4hr at 4°C, and then washed 12X with TBS/TWEEN. The bound  
phage was eluted by adding 400  $\mu$ l elution buffer (0.1 N  
HCl, pH adjusted to 2.2 with glycine, plus 1 mg/ml BSA) and  
gently rocking the plate for about 10 minutes. The eluate  
was then pipetted into a 500  $\mu$ l microfuge tube containing  
20 75  $\mu$ l 1 M Tris.HCl, pH 9.1, to yield a final pH of 7 - 8.5.  
The eluate was then concentrated using a 30 kD Amicon™  
filter. The concentrated eluate was used to infect K91 Kan  
starved cells for 30 minutes at room temperature. The  
production of gpIII was induced by addition of 0.2  $\mu$ g/ml  
25 Tet-NZY for 60 minutes at 37°C. The phage were then grown  
and amplified overnight at 37°C. The phage were harvested  
and subjected to two rounds of polyethylene glycol (PEG)  
precipitation. Serial dilutions were made and both input  
and output phage were titered. Three more rounds of  
30 biopanning and titering were conducted. After the fourth  
round of biopanning and titering, 100 clones were selected  
and grown overnight at 37°C. The supernatant was collected  
and subjected to two rounds of PEG precipitation, followed  
by one round of acetic acid precipitation.

35

Four biopanning steps resulted in the selection of specific  
antibody binding clones of which 200 were purified. Clones

representing different biopanning steps were subjected to DNA

sequence analysis to determine the protein coding potential of the random hexamer sequence fused to the pIII protein.

5 Table 1 summarizes the biopanning step.

Table 1

Table 1. Enrichment and Analysis of Phage Display Selected Clones

|    | Selection Scheme | Biopanning Rounds<br>micrograms Ab |     |     |     | No. of Clones<br>Purified | No. of Clones<br>Analyzed* |
|----|------------------|------------------------------------|-----|-----|-----|---------------------------|----------------------------|
|    |                  | 1st                                | 2nd | 3rd | 4th |                           |                            |
| 10 | A                | 10                                 | 10  | 10  | 10  | 30                        | 16                         |
|    | B                | 10                                 | 10  | 10  | 1   | 30                        | 16                         |
|    | C                | 10                                 | 10  | 1   | 1   | 130                       | 39                         |
| 15 | D                | 20                                 | 20  | -   | -   | 10                        | 10                         |

---

\* DNA sequence analysis

## EXAMPLE 2

Screening of high affinity phage clones by DNA sequence analysis to determine the hexapeptide motif. DNA templates were prepared. DNA sequence analysis was performed using an Applied Biosystems Inc. (Foster City, CA) 373 Automated DNA Analysis System. Cycle sequencing utilizing Taq polymerase was performed following the procedures of Applied Biosystems. Oligonucleotide primers were purchased from Operon Technologies Inc. (Alameda, CA).

Selected phage clones were analyzed by DNA sequence determination of the random hexamer region of the pIII gene. Specific oligonucleotide primers were designed based on the published nucleotide sequence of the bacteriophage f1 (Hill, D.F., et al., J Virology 44:32-46, 1982). The 5' primer was from nucleotides 1533-1556 and the 3' primer the complement of nucleotides 1714-1737.

Five different hexamer sequences were expressed among the phage clones subjected to DNA sequence analysis. The sequences and the number of clones analyzed expressing each

hexamer type is listed in Table 2.

Table 2

Table 2. Hexamer Sequences Expressed in Selected Phage Display Clones

| Hexamer Type | Hexamer Sequence | # of Clones Identified |
|--------------|------------------|------------------------|
| I            | QQGWFP           | 27                     |
| II           | TQGSFW           | 5                      |
| III          | LISQVS           | 1                      |
| IV           | NSSVGL           | 1                      |
| V            | TGQAST           | 1                      |

**EXAMPLE 3**

Demonstration of phage supernatant for ability to bind to anti-CD34 monoclonal antibody.

KG1a is a human cell line (ATCC #CCL 246.1) that expresses CD34 antigen on its cell membrane and is used as a model system for initial testing or optimization of conditions for positive selection of CD34+ cells.

Anti-CD34 antibody, 9069 (0.0125 microgram), was preincubated with phage supernatants (0, 50, or 300 microliters) prepared as in Example 1. Subsequent incubation with KG1a cells ( $10^6$ ) was for 30 minutes at room temperature (about 22°C). Irrelevant phage clones selected with a different anti-CD34 antibody were used as negative specificity controls. Detection of cell-bound anti-CD34 antibody was determined by addition of 10 micrograms of FITC-goat anti-mouse IgG (FITC-GAM) followed by FACScan analysis. This experiment is schematically depicted below:

KG1a Cell Assay to Test Binding of Phage Display Selected Phage Clones or Peptides to Anti-CD34 Antibody, 9069.

|   |              |                  |                 |
|---|--------------|------------------|-----------------|
| 5 | 9069 AB      | +KG1a cells      | allow remaining |
|   | +            | -----premix----- | 9069 AB to bind |
|   | phage clone  |                  |                 |
|   | (or peptide) |                  | +FITC GAM       |

10 detect FACScan to  
AB cell-bound 069

15 Results: Addition of selected phage clone supernatants to the anti-CD34 antibody resulted in a loss of detectable cell surface antibody binding. These results were indicated by a shift in total fluorescence from the  
20 antibody alone position of KG1a cells (the farthest right) towards the left, indicating a decrease in bound antibody. Table 3 provides a summary of two experiments testing the binding of phage display selected clones to the 9069, anti-CD34 antibody. Approximately 50-86% of total antibody  
25 binding was observed after the addition of phage supernatants expressing peptide epitopes.

Table 3

**Table 3. Binding of 9069 Antibody to KG1a Cells in the Presence of Phage Display Selected Phage Supernatants**

30

|    |                      |              |                  | % Binding* |         |
|----|----------------------|--------------|------------------|------------|---------|
| 35 | Name of Clone Tested | Hexamer Type | Hexamer Sequence | Expt. 1    | Expt. 2 |
|    | -                    | -            | -                | = 100      | N.D.    |
|    | 9069-1               | I            | Q Q G W F P      | 22         | 30      |
|    | 9069-3               | I            | Q Q G W F P      | 16         | 43      |
| 40 | 9069-16              | II           | T Q G S F W      | 16         | 30      |
|    | 9069-141             | V            | L I S Q V S      | N.D.       | N.D.    |
|    | 9079-9               | irrelevant   | N.D.             | N.D.       | 100     |

Subsequent testing utilized specific peptides representing the hexamer sequences with limited flanking sequences as indicated in Table 4.

Table 4

5 Table 4. Binding of 9069 Antibody to KG1a Cells in the Presence of Phage Display Selected Peptides

| Hexamer Type | Peptide Name | Actual Peptide Sequence Tested* | % of Binding** |
|--------------|--------------|---------------------------------|----------------|
| -            |              | -                               | 0              |
| I            | 9069A        | A D G A-Q Q G W F P-G A K D     | 5-12%          |
| II           | 9069B        | A D G A-T Q G S F W-G A K D     | 2-20%          |
| III          | 9069C        | A D G A-L I S Q V S-G A K D     | N.D.           |
| backward I   | 9069D        | A D G A-P F W G Q Q-G A K D     | 72%            |

15 \*\* Phage display peptide sequences flanking the hexamer (ADGA-[ ]-GA) were retained. Charged residues (KD) were added for solubility requirements.

20 The peptides were able to bind to the anti-CD34 antibody, 9069, and thus decrease the amount of KG1a cells bound with antibody.

#### EXAMPLE 4

25 Evaluation of phage display selected peptides as competitive reagents in a FACS-based KG1a cell assay.

These experiments were performed following a similar procedure to the binding experiments except that the anti-CD34 antibody, 9069, was incubated with KG1a cells first, followed by addition of peptide. The experimental  
30 outline is schematically shown below:

KG1a Cell Assay to Test for Release by Peptides of 9069  
Antibody Bound to Cells.

5 KG1a cells -----cell bound +peptide c mp tition  
9069 AB with bound

10

**+FITC GAM**

15

detect remaining  
cell-bound 9069 AB

Anti-CD34 antibody, 9069 (0.1 microgram) was incubated with  
20 KG1a cells ( $10^6$ ) for 30 minutes at room temperature (about  
22°C). Molar excesses of  $10^5$  to  $10^6$  times the amount of  
peptide to antibody were tested for the ability to displace  
the prebound antibody. Peptides were incubated with the  
antibody-cell complexes for 30 minutes at room temperature  
25 (approximately 22°C). Remaining bound antibody was  
detected using the FITC-goat anti-mouse IgG reagent  
described in Example 3.

Results: Table 5 lists the peptide sequences tested and the percent of inhibition of antibody binding detected. These data represent the ability of peptides to displace the prebound antibody from the KG1a cells.



Tabl 5

Table 5. Competitive Binding Analysis of Peptides

|    |              |                         |                         |
|----|--------------|-------------------------|-------------------------|
| 5  | Hexamer Type | Peptide Sequence Tested | % Inhibition of Binding |
|    | I            | ADGA-QQGWFPGAKD         | 0                       |
|    | II           | ADGATQGSFWGAKD          | 88-95                   |
|    | III          | ADGALISQVSGAKD          | 72-75                   |
| 10 | N.D.         | irrelevant              | 32                      |
|    |              |                         | 0                       |

15 The FACS data indicated that increasing concentrations of peptide 9069A, representing hexamer type I (see Table 4 for exact sequence), resulted in the competitive displacement of the anti-CD34 antibody, 9069. Similar results were obtained using hexamer type II (Table 4).

20

**EXAMPLE 5****Peptide release of magnetic bead isolated CD34+ human stem cells.**

Human peripheral blood samples were washed followed by isolation of mononuclear cells (MNC) on a Hypaque-Ficoll gradient. Anti-CD34 antibody, 9069 (0.5 microgram) was added to  $1 \times 10^6$  MNCs, followed by incubation for 30 minutes at 4°C. Three washes with RPMI, 1% HSA to remove unbound antibody were followed by the addition of sheep-anti-mous IgG1 Fc (SAM) Dynal beads. Beads were added at a ratio of 0.5 beads per cell and incubated for 30 minutes at 4°C. Bead/cell complexes were divided and each aliquot received either none or varying concentrations of peptide. Detection of peptide-mediated release of the anti-CD34 antibody was determined by monitoring the bound and unbound bead/antibody complexes on cells.

Table 6 summarizes the results.

Tabl 6

PEPTIDE MEDIATED CD34+ CELL RELEASE FROM ANTIBODY  
CAPTURE

| 5  | Incubation Time |     | Peptide Concentration (ug/ml) |      |      |  |
|----|-----------------|-----|-------------------------------|------|------|--|
|    | (hours)         | 0   | 500                           | 1500 | 3000 |  |
| 10 | 0               | 0%  | 0%                            | 0%   | 0%   |  |
|    | 1.3             | 4%  | 7%                            | 53%  | 73%  |  |
|    | 2.3             | 20% | 77%                           | 68%  | 81%  |  |
|    | 3.3             | 7%  | 55%                           | 80%  | 78%  |  |
|    | 17              | 80% | 91%                           | 87%  | 89%  |  |

Incubation of  $7.4 \times 10^5$  cells with anti-CD34 antibody/bead complex; % cells released measured by  $(\text{released cell number})/(\text{input bound cell number}) \times 100$ .

15

As a function of concentration of 9069A peptide representing hexamer type I and time of incubation, increasing amounts of antibody was released from the cells. Concentrations of 20 3 mg/ml peptide resulted in approximately 70% release of the cells from the antibody in one hour.

Further experimentation was carried out essentially as described in co-pending U.S. patent application serial number 08/118,068, the methods of which are herein 25 incorporated by reference. Briefly, experiments using human mobilized peripheral blood and bone marrow were conducted essentially as described in Example 6, page 23, except that in place of desthiobiotin-conjugated 30 antibodies, non-conjugated 9069 antibody was used, non-conjugated sheep-anti-mouse secondary antibody was used, and in place of biotin, the peptides designated in the tables were used to release the cells.

Table 7

## Stem Cell Selection Using 9069 Peptide A

| Releasing Agent | % CD34 CELLS      |                   | % Capture | % Yield |
|-----------------|-------------------|-------------------|-----------|---------|
|                 | Negative Fraction | Positive Fraction |           |         |
| Chymopapain     | 2.5               | 94                | 62        | 65.9    |
| 9069 Peptide A  |                   |                   |           |         |
| 3 mg            | 2.1               | 89.6              | 67.4      | 68.2    |
| 6 mg            | 2                 | 89.4              | 69.6      | 67.6    |
| 0 mg            | 2.3               | 65.7              | 63.9      | 13.5    |

Starting % CD34 cells in mobilized peripheral blood (Resp. Tech.) is 5.86.  
1e8 Cells/Arm

Table 8

## Stem Cell Selection Using 9069 Peptide A

| Releasing Agent | % CD34 CELLS      |                   | % Capture | % Yield |
|-----------------|-------------------|-------------------|-----------|---------|
|                 | Negative Fraction | Positive Fraction |           |         |
| Chymopapain     | 0.16              | 90.1              | 86.9      | 73.4    |
| 9069 Peptide A  |                   |                   |           |         |
| 0.25 mg         | 0.11              | 79.9              | 90.5      | 28      |
| 0.50 mg         | 0.3               | 77.71             | 73.1      | 49.5    |
| 3.0 mg          | 0.12              | 83.21             | 89.7      | 72      |

% CD34 cells in mobilized peripheral blood (starting material) is 1.05

Table 9

5

## Stem Cell Selection Using 9069 Peptide A and Peptide A Short

10

| Releasing Agent  | % CD34 CELLS      |                   | % Capture | % Yield |
|------------------|-------------------|-------------------|-----------|---------|
|                  | Negative Fraction | Positive Fraction |           |         |
| Chymopapain      | 0.34              | 92.1              | 91.8      | 79.9    |
| Peptide A 2.5 mg | 0.2               | 92.1              | 94.6      | 104     |
| Shorty A 2.5 mg  | 0.23              | 91.6              | 94.4      | 92.9    |

15

% CD34 cells in bone marrow sample (starting material) is 3.66.

20 In Tables 9 and 10, the peptide designated "Peptide A Short" or "Shorty A" is the peptide designated "9069N" in Table 11 below.

Table 10

| 5  | Releasing Agent          | % CD34 CELLS      |                   | % Capture | % Yield |
|----|--------------------------|-------------------|-------------------|-----------|---------|
|    |                          | Negative Fraction | Positive Fraction |           |         |
|    | Chymopapain              | 0.12              | 79.73             | 86.7      | 68      |
| 10 | Pep. 9069A short ROTATOR |                   |                   |           |         |
|    | 0.5 mg/15 min            | 0.45              | 58.64             | 47.7      | 48.3    |
|    | 1.0 mg/15 min            | 0.12              | 61.57             | 87.2      | 44.9    |
| 15 | 2.0 mg/15 min            | 0.18              | 69                | 80.9      | 51.2    |
|    | 0.5 mg/30 min            | 0.19              | 59.7              | 77.6      | 65      |
| 20 | NUTATOR                  |                   |                   |           |         |
|    | 0.5 mg/15 min            | 0.15              | 76.53             | 80.4      | 49.9    |
|    | 1.0 mg/15 min            | 0.13              | 63.19             | 86.9      | 77.6    |
|    | 2.0 mg/15 min            | 0.11              | 71.5              | 88.2      | 69.4    |
| 25 | 0.5 mg/30 min            | 0.09              | 63.54             | 90.5      | 67.3    |

% CD34 cells in mobilized peripheral blood (starting material) is 0.68

30 CD34+ cells were also isolated from human mobilized peripheral blood using the automated cell separation apparatus of co-pending U.S. patent application serial number 08/212,479, and the method essentially as described in co-pending U.S. patent application serial number 35 08/212,616. Both 08/212,479 and 08/212,616 are herein expressly incorporated by reference. Chymopapain was used as the control releasing agent, and 25 mg of the peptide 9069N (Table 11) was used as the test releasing agent.

40 Results: The purity of the positively selected CD34+ cells was greater than 90% for both the chymopapain and peptide released cells. In a first experiment, the peptide release method yielded  $14 \times 10^6$  cells, while the chymopapain release

method yielded  $20 \times 10^6$  cells. In a second experiment, the peptide release method yielded  $19 \times 10^6$  cells, while the chymopapain release method yielded  $22 \times 10^6$  cells. The positively selected CD34+ cells from the first experiment  
5 were grown in culture with cytokines for 12 days. The peptide-released cells showed a 100-fold expansion in cell number, while the chymopapain-released cells showed a 68-fold expansion. These results indicated that the peptide-release method could yield results comparable to the  
10 chymopapain-release method, and that the positively selected cells retained their potential to proliferate.

#### EXAMPLE 6

Analysis of modified peptides as competitive reagents to  
15 anti-CD34 monoclonal antibody binding to KG1a cells.  
Additional experiments performed as detailed in Example 4 demonstrate that certain properties of the peptide sequences selected by phage display may be important in their ability to bind to the anti-CD34 antibody and to  
20 effectively displace the antibody prebound to the CD34 antigen expressed on the cell surface of KG1a cells.

Comparison of the selected peptide sequences to the  
25 published DNA sequence of the human CD34 antigen (Simmons, D.L., et al., J Immunol 148:267-271, 1992; He, X-Y., et al., Blood 79:2296-2302, 1992) revealed two potential epitope locations for hexamer type I and II. The shared TQG amino acid sequence was found at two locations in the  
30 translated CD34 sequence. Hexamer peptide sequences with either phage display flanking sequences or natural flanking sequences were tested for their ability to competitively bind and therefore release prebound anti-CD34 antibody, 9069, from KG1a cells.

35

Table 11 summarizes the peptide hexamer motifs examined, the exact peptide sequences tested, a brief description of their relevant features and their beta-turn potential

(Previlige, P., Jr., and Fasman, G.D. Chou-Fasman Prediction of Secondary Structure of Proteins: The Chou-Fasman-Previlige Algorithm in Prediction of Protein Structure and the Priniciples of Protein Conformation, 1989, 5 ed. G.D. Fasman, Plenum Press, New York).

**Table 11**  
**Modified Peptides as Competitive Binding Reagents to Anti-CD34 mAb 9069**

|    |                          |                  |                                       |  |              |               |
|----|--------------------------|------------------|---------------------------------------|--|--------------|---------------|
| 5  | Hexamer Type             | Peptide Name     | Peptide Tested                        | Peptide Features*  | Pt x 10a-4** | Competition % |
|    | none                     |                  |                                       |  |              | 0             |
| 10 | VI<br>VI                 | 9069E'<br>9069L  | ADGA-TQGTFS-GAKD<br>PELP-TQGTFS-NVSKE | CD34 aa#14-19 with:<br>1. phage display flank<br>2. natural flank  | 1.2<br>1.2   | 91<br>91      |
| 15 | VII<br>VII               | 9069K<br>9069M   | ADGA-TQGICL-GAKD<br>EVKL-TQGICL-EQNK  | CD34 aa#155-160 with<br>1. phage display flank<br>2. natural flank | 0.9<br>0.9   | 76<br>77      |
|    | VIII (I/II)<br>IX (I/II) | 9069G'<br>9069H' | ADGA-EQGFFP-GAKD<br>ADGA-NQGYFP-GAKD  | weak loop; xQGxFx<br>strong loop; xQGxFx                           | 0.68<br>3.75 | 4<br>75       |
| 20 | I<br>II                  | 9069N<br>9069O   | Ac-QQGWF-P-KD<br>Ac-TQGSFW-KD         | shortest type I<br>shortest tpe II                                 | 2.3<br>1.7   | 97<br>51      |

\* additional charged residues for solubility are also shown.

\*\* Maximal beta-turn potential calculated for tetrapeptides within the hexamer region.

25 Interestingly, biopanning of the phage display library could have identified hexamer sequences exactly matching the natural sequence. However, as a peptide may not maintain the folded structure as the same amino acid sequence found in a protein, the beta-turn potential or the

30 ability to assume a loop-like structure is greater for the phage display selected peptides than the natural CD34 hexamer sequences. To determine if beta turn potential was an important feature of the competitive peptides, hexamer types VIII and IX were designed. Based upon comparison to

35 the natural CD34 sequence TQGTFS and to the conservation of QG\_F in two of the phage display selected hexamers, two new peptides maintaining the QG\_F residues but either decreasing or increasing the beta-turn potential



Also, "minimal" octamer peptides lacking the phage display flanking sequences and only adding charged residues for solubility were tested.

- 5 Results: Peptides containing hexamer sequences derived from the actual CD34 sequence effectively competed off prebound anti-CD34 antibody from KG1a cells. Regardless of the type of flanking sequences (natural CD34 or phage display) the hexamer sequence representing motif  
10 VI was more efficient as a competitive reagent. This sequence also most closely matches the phage display selected hexamer sequences represented by motifs I and II.

Peptides representing hexamer motifs VIII and IX (see Table  
15 11) were analyzed. Only the peptide with a hexamer sequence predicted to have good beta turn potential was capable of competing with prebound anti-CD34 antibody. This data supports the idea that a loop structure may be important in the recognition of CD34 by the 9069 antibody.  
20

Comparison of short versions of hexamer motifs I and II lacking the phage display flanking sequences (with an acetylated amino end and KD added for solubility), indicated that the phage display sequences are not required  
25 for recognition of the hexamer by the antibody. In addition, hexamer motif I appears to be a better competitor than hexamer motif II.

#### Example 7

30 Identification of a two-peptide motif representing a discontinuous epitope of CD34.

Analysis of the published CD34 cDNA sequence (Simmons, supra; He, supra) revealed the identification of two discontinuous regions homologous to the phage display  
35 selected hexamer sequences. The first region at amino acids 14-19 of the mature, signal peptide processed CD34 protein (epitope 1) is homologous to hexamer motif type I and II. The second region at amino acid 76-81 (epitope 2)

is homologous to hexamer motif type IV and to the inverse  
f hexamer motif typ III (see Table 12).

Table 12

Comparison of Phage Display Hexamer Motifs to Homologous CD34 Antigen Sequences

| Hexamer Motif | Phage Display<br>Hexamer Motifs | Homologous CD34<br>Sequences | CD34 aa # |
|---------------|---------------------------------|------------------------------|-----------|
| I             | QQGWFP                          | TQGTFS                       | 14-19     |
| II            | TQGSFW                          | TQGTFS                       | 14-19     |
| III           | LISQVS                          | NSSVQS                       | 81-78     |
| IV            | NSSVGL                          | NSSVQS                       | 76-81     |
| V             | TGQAST                          | TQGTFS                       | 17-15     |

Since the atomic distances separating the side chains of amino acids SVQS is the same for SQVS, this selected peptide sequence was able to bind to the antibody. Of the five different hexamer sequences selected from the phage display library, only hexamer motif type V was weakly associated with either of the two identified epitope regions of CD34. Interestingly, the TGQ sequence of hexamer motif V is an inverse of amino acids 15-17 of epitope 1.

Peptides representing both epitope 1 and 2 could potentially have a synergistic effect in detaching and releasing CD34+ cells from antibody 9069.

#### EXAMPLE 8

Tryptophan to Phenylalanine Substitution in the 9069N Stem Cell Release Peptide Results in a Functional Release Peptide

Phage display analysis identified a dominant hexapeptide sequence recognized by the anti-CD34 monoclonal antibody, 9069. The shortest peptide tested for competitive activity against 9069 antibody bound to KG1a cells had the following sequence: Ac-QQGWFP-KD. Tryptophan is known to be

unstable and therefore a modified peptide, 9069Q2, was designed in which the tryptophan was replaced by a phenylalanine: Ac-QQGFFP-KD. This latter sequence was shown to function as a competitive release reagent in the KG1a cell-based FACS assay.

Linear hexapeptide sequences that bind to the anti-CD34 monoclonal antibody, 9069, were identified through screening of a phage display library. The two most common hexapeptide sequences were homologous to a hexapeptide sequence at amino acids 14-19 in the mature CD34 antigen. Octapeptides containing the hexapeptide plus two charged residues to aid solubility were shown to function in a competitive cell-based FACS assay, to displace antibody from CD34+ cells. These peptides were shown to displace prebound 9069 antibody from KG1a cells. Subsequent testing of the 9069N peptide in Isolex® 50 experiments indicated the peptide functioned well for specific stem cell release.

The utilization of a peptide sequence containing a tryptophan residue poses specific degradation and stability issues in formulation. Since the homologous sequence in CD34 antigen did not contain a tryptophan, a variant peptide was designed in which the tryptophan was replaced with a phenylalanine residue. This latter residue would be much more stable to UV light exposure. If the modified peptide could function as a stem cell release agent then further product development studies on the alternate more stable peptide could be initiated.

This study documents the design and functional testing of the variant 9069 peptide, 9069Q2, in the cell-based KG1a FACS assay. The 9069Q2 peptide serves to displace prebound KG1a cells from the 9069 antibody.

Analysis of the variant peptide, 9069Q2, was done in parallel with the 9069N peptide. This analysis provides quality control information on reagents including the

antibody, 9069, and the cells, KG1a. FACScan assays included a negative control of KG1a cells alone and positive control samples of KG1a cells with the 9069 antibody bound and detected with a secondary antibody, goat-anti-mouse IgG-FITC.

As previously shown, the 9069N peptide was able to displace prebound 9069 antibody from KG1a cells.

The 9069Q2 peptide was able to displace prebound 9069 antibody from KG1a cells.

The 9069N peptide defined through phage display contains a potentially unstable tryptophan residue. Replacement of this amino acid with phenylalanine did not abolish the ability of the peptide 9069Q2 to effectively compete off 9069 antibody bound to KG1a cells. Previous analyses of the hexapeptides revealed the likely requirement for good beta turn potential. (Prevelige, P.Jr., and Fasman, G.D. Chous-Fasman Prediction of Secondary Structure of Proteins: The Chou-Fasman-Prevelige Algorithm in Prediction of Protein Structure and the Principles of Protein Conformation, 1989, ed. G.D. Fasman, Plenum Press, New York.) Amino acid substitutions resulting in "poor" or "strong" beta-turn potential indicated that functional activity corresponded to the peptide with the most loop potential.

Additional modified peptide sequences maintaining the motif XQGFX and including amino acid residues previously shown to be present in peptides with release activity were designed (Table 13 below). These candidate peptides could be made for future testing and comparison to the 9069N and 9069Q2 peptides.

35

TABLE 13

Comparison of 9069 Hexapeptides Defined by Phage Display  
With the CD34 Antigen and Substituted Variant Peptides

|    | Hexapeptide | Peptide Derivation | Beta-Turn potential* | Tested Peptide                | Release Activity |
|----|-------------|--------------------|----------------------|-------------------------------|------------------|
| 5  | T Q G T F S | CD34 antigen       | 1.26                 | 9069E'                        | yes              |
|    | Q Q G W F P | phage display      | 2.3                  | 9069N                         | yes              |
|    | T Q G S F W | phage display      | 1.7                  | 9069O                         | yes              |
| 10 | E Q G F F P | variant            | 0.68                 | 9069G'                        | no               |
|    | N Q G Y F P | variant            | 3.75                 | 9069H'                        | yes              |
|    | Q Q G F F P | variant            | 0.9                  | 9069Q2                        | yes              |
| 15 | Q Q G T F P | variant            | 1.09                 | candidates for future testing | future testing   |
|    | Q Q G S F P |                    | 1.46                 |                               |                  |
|    | Q Q G Y F P |                    | 1.72                 |                               |                  |
| 20 | Q Q G T F S |                    | 1.09                 |                               |                  |
|    | Q Q G Y F S |                    | 1.72                 |                               |                  |
| 25 | T Q G T F P |                    | 1.26                 |                               |                  |
|    | T Q G S F P |                    | 1.7                  |                               |                  |

\* Beta turn potential  $\times 10e-4$ ; maximum beta-turn potential calculated for tetrapeptides within the hexamer region.

Tested peptides contain additional flanking sequences either derived from the phage display vector and/or charged residues to aid solubility.

#### EXAMPLE 9

9079 Antibody Selection of Hexapeptide Sequences Through Phage Display Technology

The 9079 anti-CD34 antibody was used to select linear hexapeptide sequences from a phage display library. Multiple unrelated hexapeptide sequences with no direct homology to the CD34 antigen were identified from third and fourth biopanning phage clones. A fifth biopanning revealed a predominant hexapeptide sequence.

The current human stem cell isolation system developed by the Immunotherapy Division utilizes the anti-CD34 antibody, 9069. Replacement of the chymopapain treatment to release captured stem cells is desirable. Potential problems of immunogenicity of residual amounts of remaining chymopapain, lot variation with chymopapain and the inability to perform additional negative selections due to stripping of cell surface antigens with the chymopapain treatment were among the reasons for investigating alternative release reagents.

The original protocols for phage display biopanning of the linear hexapeptide library obtained from Dr. George Smith at the University of Missouri designated the use of biotinylated antibody. Three biopanning steps with the 9079 antibody were performed. The third eluate was stored at 4°C for one year, then subjected to amplification prior to the fourth biopanning. A fifth biopanning was performed from an unamplified fourth biopanning. Phage clones from the third, fourth and fifth biopannings were subjected to DNA sequence analysis. Multiple hexapeptide sequences were identified in each biopanning. Only in the fifth biopanning did a predominant sequence emerge. None of the selected hexapeptides show direct homology to the CD34 antigen.

Eight hexapeptide sequences were chosen for synthesis. A KG1a cell-based FACS assay was used to examine their ability to displace prebound 9079 antibody.

30

#### Materials:

The linear hexapeptide library was obtained from Dr. George Smith at the University of Missouri. The random hexapeptide sequence was inserted into the pIII gene of the vector FUSE5. The 9079 antibody was obtained from Ginny Ofst in the Bone Marrow Therapies R & D Group, Immunotherapy Division, Santa Ana.

Other materials:

NHS-LC-Biotin, Pierce # 21335.

Streptavidin, Gibco #5532.

K91kan cells, obtained from Dr. George Smith, University of Missouri.

5 Terrific broth™, Gibco BRL # 152-02711M.

NZY broth™, Gibco #M36350B.

Tetracycline hydrochloride, Sigma # T-3383.

Polyethylene glycol 8000, Sigma P-2139.

Sodium chloride, Mallinckrodt # 7581.

10 Kanamycin monosulfate, Sigma #K-1377.

JTL2 oligonucleotide primer, purchased from Operon, Technologies, Inc.

JTL2: 5' GCC CTC ATA GTT AGC GTA ACG ATC 3'

15 This primer allows DNA sequence determination of the anti-sense strand of the FUSE5/X6 library clones.

ABI Prism Cycle Sequencing Kit, ABI # 401434.

#### METHODS

20 The hexapeptide library was amplified in 2 L of Terrific broth™ (500ml per 2L flask) as described above. Briefly, K91kan cells were grown to an OD<sub>550</sub> ~2.0 at 225 rpm, 37°C. After 15 minutes at 50 rpm for pili regeneration, the cells were infected with the library at a moi ~ 1 (multiplicity of infection of 1 phage particle per cell). Infection was  
25 allowed to proceed overnight.

The amplified library was concentrated with PEG/NaCl from ~2L to 1 ml.

30 The 9079 antibody was biotinylated following the procedure of G. Smith.

Three steps of petri plate (35mm) biopanning were performed following the procedures of George Smith. The amount of biotinylated 9079 antibody used per step was: 10 ug-1st biopanning, 10ug-2nd biopanning, 1ug-3rd biopanning  
35 ("10-10-1"). Each successive step of biopanning was preceded by an amplification of the eluted phage. 5x10<sup>10</sup> TU of the library were used in the first biopanning.

Tetracycline/kanamycin resistant colonies from the third biopanning were grown and supernatants containing the bacteriophage were PEG precipitated.

DNA was prepared from the PEG concentrated phage for DNA sequence analysis.

DNA sequence was determined following "cycle" sequencing reactions using the Applied Biosystems PRISM fluorescent dideoxy terminators and oligonucleotide primer JTL2.

10

A fourth biopanning was performed after amplification of the third eluate. Three different concentrations of non-biotinylated 9079 antibody were used: 0.02 ug, 0.1 ug and 1 ug.

15 Eluted clones were grown and DNA prepared as above.

DNA sequence analysis was performed using JTL2 primer as above.

A fifth biopanning using 1 ug of non-biotinylated 9079 antibody was performed with the 4th biopanning eluate in the absence of amplification.

DNA sequence analysis was performed using JTL2 primer on 14 clones from the fifth biopanning.

The above steps were repeated.

25

#### RESULTS

A total of five steps of biopanning were performed with the 9079 antibody and the hexapeptide library. DNA sequence analysis of the third and fourth biopannings revealed many different hexapeptide sequences with no apparent homology to the CD34 antigen. The 0.02 ug and 0.1 ug 9079 antibody 4th biopannings revealed many uninserted clones. The fifth biopanning was performed with the eluate at 1 ug of 9079 antibody during the fourth biopanning. Only 14 clones were subjected to DNA sequence analysis and none contained uninserted vector.

35



A predominant hexapeptide sequence emerged from the fifth biopanning. Eight peptide sequences representing 3rd, 4th and 5th biopanning clones were selected for functional analysis as potential stem cell release reagents.

5

Phage display analysis of the 9079 antibody with a linear hexapeptide library revealed multiple hexapeptide sequences with no apparent direct homology to the CD34 antigen. This result is similar to the results observed with the 561 antibody when biopanned on petri plates (see below). The 9079 antibody is capable of blocking recognition of the CD34 antigen by the 561 antibody (Dynal). The possibility exists that both the 561 and 9079 antibodies recognize the region of CD34 containing six cysteine residues and the only arginine residues (amino acids 146-219). Recognition of flexible loops stabilized by charged amino acids may result in the selection of many different hexapeptide sequences.

The identification of uninserted clones in the analysis of fourth biopanning clones may be a result of the one year long storage of the unamplified 3rd eluate. Uninserted clones are known to grow more efficiently than the hexapeptide-containing clones and may also have better viability during long-term storage. At very low 9079 antibody concentrations (0.02 ug and 0.1 ug) during the 4th biopanning, many non-specific, uninserted clones were eluted. At higher antibody concentration (1 ug) very few uninserted clones were identified. The fifth biopanning was performed in the absence of amplification of the fourth (1 ug) biopanning to avoid enhancement of selecting uninserted clones. Of the fourteen clones analyzed from the 5th biopanning, no uninserted clones were identified.

Both the use of biotinylated and non-biotinylated antibody can be used for phage display biopanning. The biotinylated 9079 was used for the first three biopanning steps. Based on the successful results of biopanning with

non-biotinylated 9069 antibody, the subsequent biopannings with the 9079 antibody were accomplished with non-biotinylated antibody.

- 5 Epitope peptide phage display biopanning with the 9079 antibody revealed multiple hexapeptide sequences until a fifth biopanning step was performed. Whether these sequences actually represent all or portions of discontinuous epitopes of the CD34 antigen is not known.
- 10 The identification of multiple sequences suggest that mimetopes that mimic the actual epitope sequence may have been selected.

- Eight peptides representing hexapeptides selected from the
- 15 third (1), fourth (6) and fifth (1) biopannings were synthesized and tested for their ability to serve as release reagents in the KG1a cell-based FACS assay.

Table 14  
Biopanning Steps With 9079 Antibody.

|    |                 |              |                   |
|----|-----------------|--------------|-------------------|
| 5  | BIOPANNING STEP | SCHEME*      | ANTIBODY USED     |
|    | 1st             | 10           | Biotinylated 9079 |
|    | 2nd             | 10-10        | biotinylated 9079 |
|    | 3rd             | 10-10-1      | biotinylated 9079 |
| 10 | 4th             | 10-10-1-0.02 | 9079              |
|    | 4th             | 10-10-1-0.1  | 9079              |
|    | 4th             | 10-10-1-1    | 9079              |
|    | 5th             | 10-10-1-1-1  | 9079              |

15 \* Amount of antibody (ug) used per biopanning step. Each successive biopanning is performed with the eluted phage from the prior biopanning. The fourth biopanning was performed at three different concentrations of antibody.

Table 15

Third Biopanning Hexapeptide Sequences Identified by Phage  
 5 Display with the 9079 Antibody.

|    |                    |             |
|----|--------------------|-------------|
|    | R I G A F R        |             |
|    | <u>S F R V G Y</u> | D G L P A R |
| 10 | W S S N R F        |             |
|    | R E R T S S        | S W R H V Q |
|    | G L P R S W        | N Q R W L L |
|    | I F Q R N M        | R M D G T F |
|    | L P Y L M R        | M N Y V S L |
| 15 | T M T F H G        | M T Y S S G |
|    | H T P M V T        | G H H A T G |
|    | H D G L Y I        | Q H P F T V |
|    | Q V G E Q H        |             |
|    | Q T S L L H        | S L L Y V D |
| 20 |                    |             |
|    | L G G W L A        | P V F L G V |
|    | W N L S D K        |             |

DNA sequence analysis (10ug-10ug-1ug) of the third  
 25 biopanning revealed at least 29 different sequences.

None of these sequences had direct homologies to the  
 CD34 antigen sequence. A relatively high occurrence of  
 arginine was seen in about half of the clones.

30 The underlined sequence represented by three clones  
 was selected for peptide synthesis and functional analysis.

35

Table 16

**Fourth Biopanning Hexapeptide Sequences Identified by Phage  
Display with the 9079 Antibody.**

|    |                 |  |                      |
|----|-----------------|--|----------------------|
| 5  | 10-10-1-0.02ug: | Most clones analyzed were uninserted (20/23).  |                      |
| 10 |                 | Two hexapeptide sequences were identified:   |                      |
|    |                 | <u>I Q E F G V (1)</u>   | T T D Q F S          |
| 15 | 10-10-1-0.1ug:  | 30/40 clones were uninserted. Five preliminary sequences were identified. Additional sequence and repeat sequence of new templates was needed. |                      |
| 20 |                 | X S X V F R  |                      |
|    |                 | R A A G L X  |                      |
|    |                 | M L P X X G  |                      |
|    |                 | <u>R S F Y Y R (2)</u>   |                      |
|    |                 | Y V A X T H  |                      |
| 25 | 10-10-1-1ug:    | 6/40 clones are confirmed to have no insert. More than 20 sequences preliminarily identified.  |                      |
| 30 |                 | A Y E A Q A  | Q R F A S V          |
|    |                 | N L Q G E L  | S F N H P V          |
|    |                 | <u>N L Q G E F (2)</u>   | <u>P G S P L (2)</u> |
|    |                 | <u>Y S R L G F (2)</u>   | Q V L R E S (2)      |
|    |                 | S D L T L R  | M R Y P T R          |
|    |                 | H I G I S L  | R X S E F X          |
| 35 |                 | V V R S L Y  | G Y T Q P K          |
|    |                 | Y M W V T E  | G Y T Q P I          |

Underlined peptide sequences were ordered and tested. Number following peptide sequence indicates number of clones with identical or 5/6 match.

5

## Table 17

Fifth Biopanning Hexapeptide Sequences Identified by Phage

10

Display with the 9079 Antibody.

| <u>Sequence</u> | <u>Number of Clones</u> |
|-----------------|-------------------------|
| I R A R G N     | 1                       |
| V Y S L W P     | 6                       |

15

The 5th biopanning (10-10-1-1-1) indicates a predominant sequence has emerged. This biopanning was performed without amplification of the 4th eluate to avoid overgrowth during amplification of uninserted phage vector which was seen in the analysis of the 4th biopanning clones.

20

## EXAMPLE 10

25 Analysis of Peptides as Release Reagents for the 9079 Antibody Using a Cell-Based FACS Assay

Eight hexapeptides selected from the 3rd, 4th and 5th biopannings of the 9079 antibody were synthesized with additional charged residues as deemed necessary to ensure solubility.

30

These peptides were tested for functional activity as potential stem cell release reagents using the KG1a cell-based FACS assay. In preliminary experiments six peptides showed at least 50% release of 9079 antibody prebound to cells.

35

The 9079 antibody was chosen for further study because of its high binding affinity, its retention of functional activity upon chemical biotinylation, and the chymopapain-resistant nature of its recognition of CD34 antigen. Phage display biopanning with the anti-CD34 antibody, 9079, identified multiple hexapeptide sequences (see above). A predominant sequence was identified in the fifth biopanning.

Eight hexapeptides representing clones isolated in the third, fourth and fifth biopannings were synthesized and tested in the KG1a cell-based FACS assay. Six of the peptides showed at least 50 % release of 9079 antibody prebound to KG1a cells in a FACS assay.

The peptides (see Table 18) were synthesized by Research Genetics and tested without purification. The 9069 and 9079 antibodies were obtained from the Baxter Immunotherapy Research group in Santa Ana. The 9079 antibody has been deposited with the American Type Culture Collection (ATCC) under the provisions of the Budapest Treaty for patent purposes: deposit number ATCC-HB-11885, date of deposit May 9, 1995. The 9069 antibody was used as a positive control and released with the 9069N peptide (Ac-QQGWF-P-KD). This control served to test for the KG1a cells and the goat-anti-mouse FITC secondary detection antibody. Hexapeptide sequences identified for the 561 antibody also were tested for their ability to displace prebound 9079 antibody.

Peptides (see Table 18) were purchased from Research Genetics Inc., Huntsville, AL.

9079A-G peptides were solubilized in Dulbecco's phosphate buffered saline (DPBS) plus 1% HSA.

The 9079A-G peptides were tested in the FACSscan assay using  $10^6$  KG1a cells bound with 0.05 ug of the 9079 antibody.

The 561A-E peptides (see Examp1 13 below) were tested in the FACScan assay using  $10^6$  KG1a cells bound with 0.05 ug of the 9079 antibody.

The 9079H peptide was tested in the FACScan assay using  
5  $10^6$  KG1a cells bound with 0.05 ug of the 9079 antibody.

#### RESULTS

Peptides 9079A, B, C, D, F, and H were solubilized. 9079E peptide was insoluble and therefore not tested.

10 The 9079A, B, C, D, F, G and H peptides all showed at least 50% release of prebound 9079.

None of the 561A-E peptides could release prebound 9079 antibody.

15 Functional analysis of potential peptide release reagents for the anti-CD34 antibody 9079 was performed in a KG1a cell-based FACS assay. These data indicate that only the peptides defined by phage display biopanning with the 9079 antibody can serve to displace cell-bound 9079. The  
20 561 antibody is believed to share a common epitope region of the CD34 antigen with the 9079 antibody. However, the phage display defined peptides for the 561 antibody do not have any displacement activity on the 9079 antibody.

25 The lack of direct homology of the 9079 peptides to the CD34 antigen protein sequence suggest that these peptides may mimic the natural epitope. The presence of arginine residues in three of the peptides suggest a similarity to the peptides recognized by the 561 antibody. A localized  
30 region of the CD34 antigen (amino acids 150-219) contains the only five arginine residues. However, the other peptides contain hydrophobic residues suggesting the possibility that both charged and hydrophobic residues are important for peptides to bind tightly to the 9079  
35 antibody.



Table 18

Peptides Tested for Release Activity with the 9079 Anti-CD34 Antibody.

|    |                |              |
|----|----------------|--------------|
| 5  | Peptide Tested | Sequence*    |
|    | 561A           | RHRHRH       |
|    | 561B           | KRHKHR       |
|    | 561C           | RTKTRF       |
| 10 | 561D           | TRVPRR       |
|    | 561E           | RHRPRH       |
|    | 9079A          | PGSPLG-KD    |
|    | 9079B          | YSRLGF-KD    |
|    | 9079C          | QYTQPK-D     |
|    | 9079D          | NLQGEF-KD    |
| 15 | 9079E          | RSFYR-D      |
|    | 9079F          | IQEFV-KD     |
|    | 9079G          | SFRVGY-KD    |
|    | 9079H          | KD-VYSLWP-KD |

- 20 \* Hyphens separate hexapeptide sequences selected through phage display from the charged residues added to aid solubility.  
Peptide 9079E was insoluble and therefore not tested.
- 25 Peptide 9079C was incorrectly assigned. However, it tested positively. The correct sequence should have been GYTQPK-D.

Table 19

Summary of Peptid Release Activity with the 9079  
5 Antibody.

| Peptide Name | Sequence*    | % Release** |
|--------------|--------------|-------------|
| none         |              | 100         |
| 10 9079A     | PGSPLG-KD    | 74.1        |
| 9079B        | YSRLGF-KD    | 55.0        |
| 9079C        | QYTQPK-D     | 59.3        |
| 9079D        | NLQGEF-KD    | 67.8        |
| 9079E        | RSFYR-D      | not tested  |
| 15 9079F     | IQEFGV-KD    | 68.9        |
| 9079G        | SFRVGY-KD    | 35.3        |
| 9079H        | KD-VYSLWP-KD | 66.2        |

20 \* Hyphens separate hexapeptide sequences selected through phage display from the charged residues added to aid solubility.

Peptide 9079E was insoluble and therefore not tested.

25

\*\* % Release = 100 - %Binding  
% Binding =  $\frac{(\text{mean with peptide}) - (\text{mean cells only})}{(\text{mean without peptide}) - (\text{mean cells only})}$

30

#### EXAMPLE 11

Analysis of Potential Antigenic Peak Peptides Derived from the CD34 Antigen as Release Reagents for the 561 and 9079 Antibodies

35

Eleven potential antigenic regions of the CD34 antigen were determined using MacVector™ 4.1 software. Peptides representing six of these regions were designed and synthesized. The KG1a cell-based FACS assay was used to  
40 examine these peptides for their feasibility as release reagents for the 9079 and 561 anti-CD34 monoclonal antibodies. None of the tested p ptides showed

significant release activity with either the 9079 nor the 561 antibody.

The purpose of this study was to define potential stem cell release reagents for the 9079 and 561 antibodies through computer analysis of the published CD34 antigen protein sequence. In parallel to defining alternative release reagents through phage display technology, we chose to study the CD34 antigen for likely epitope regions. Extensive analysis of the structural requirements for a protein to elicit an immune response has been reported in the literature. The MacVector 4.1 software permits one to examine a protein sequence and define potential antigenic peaks. This analysis is designed to identify possible exposed surface peaks of the protein combining information from hydrophilicity, surface probability and backbone flexibility predictions with the secondary structure predictions of Chou-Fasman and Robson-Garnier (MacVector™ User's Manual, International Biotechnologies, Inc., pages B56-B69; Jameson, B.A. et al., 1988 Comput. Applic. in the Biosciences 4:181-186).

Analysis of the extracellular domain of the CD34 protein revealed eleven potential antigenic peaks varying from four to eight amino acids in length. Previous comparison of the 9069 anti-CD34 monoclonal antibody-selected phage display epitope sequences with the CD34 antigen revealed overlap with two of the computer-defined potential antigenic peaks. Based on that knowledge and the conclusions drawn from the 9079 and 561 biopanning experiments (see above), six antigenic peaks were selected for further analysis.

The peptides (see Table 19) were synthesized by Research Genetics and tested without purification. The 9079 antibody was obtained from the Baxter Immunotherapy

Research Group in Santa Ana, California. The 561 antibody was obtained from Dynal, AS. Peptides (see Table 19) were purchased from Research Genetics, Inc., Huntsville, AL

5

### Results

Eleven potential antigenic peaks were defined in the CD34 antigen sequence. Amino acid residues with positive (+) antigenic index values (ranging from +0.009 to +0.441) were considered significant.

10

Six peptides were designed, synthesized, and tested for activity as release reagents.

15 Peptides 34A-F did not show any release activity on 9079 antibody prebound to KG1a cells.

Peptides 34A-F did not show any release activity on 561 antibody prebound to KG1a cells.

20

The identification of multiple hexapeptide sequences upon four plate biopanning steps with the 9079 and 561 antibodies prevented easy selection of which peptides to synthesize for functional testing. The recognition of a correspondence of the 9069 phage display-selected hexapeptides with computer-defined potential antigenic peaks, suggested the possibility that similar analysis with the 9079 and 561 antibodies might aid in the selection of a few hexapeptides to test. In addition to defining true epitope peptides, this analysis would help select which phage display hexapeptides might be more likely to exhibit release activity based on homology to the CD34 antigen.

25

30

35 Functional analysis of peptides representing potential antigenic peaks of the CD34 antigen as release reagents for the 9079 and 561 antibodies was performed in the KG1a cell-based FACS assay. To limit the cost of contracting

peptide synthesis, only six antigenic peaks were chosen for analysis. They were selected because of their length (longer than four amino acids), not corresponding to the 9069 epitope regions, similarity to the selected phage display sequences (for both 9079 and 561), and/or their location within the arginine-rich and cysteine-rich region of the CD34 antigen.

Functional testing of linear potential antigenic peak peptides defined from the published CD34 antigen sequence did not result in the identification of new peptide release reagents for the 9079 or 561 antibodies. The inability of linear peptides to mimic the structure of the actual epitope may be critical for recognition by these antibodies. The conclusions drawn from the biopanning experiments of the hexapeptide and a cyclic peptide library with the 561 antibody strongly suggest that an epitope of specific non-linear conformation is being recognized. A consensus sequence was identified for the 561 antibody from the cyclic peptide library. This sequence shows homology to one of the potential antigenic peak peptides (34D). Whether or not this peptide sequence reflects a discontinuous epitope is unknown.

In addition, biopanning of the hexapeptide library with the 561 antibody directly attached to magnetic beads identified one (P A N V S L) of three hexapeptides which show good homology (5/6 amino acids, P A N V S T in CD34) to a defined potential antigenic peak (N V S T) of the CD34 antigen. Since this sequence had only four amino acids, this was not among those peaks for which a peptide was designed and tested. It is believed, however, that a peptide containing this 4-amino-acid sequence is a good candidate for a releasing agent for the 561 antibody.

The accumulated data from the analyses of the 9069, 9079 and 561 anti-CD34 antibodies indicate that determination

of the potential antigenic peaks of an antigen protein may save time in defining potential competitive epitope peptides. Correlation of the defined peaks with any known structural data on the antigen and correspondence to phage display-defined peptides will permit the best educated guess on selection of peptide sequences to test for functional activity. If a particular antibody of interest can recognize a linear peptide epitope such as that of the 9069 antibody, then this type of analysis could supersede the initiation of the laborious phage display work. However, if the antibody recognizes a conformational or discontinuous epitope, then this type of analysis can at best support but not define a peptide with functional release activity.

Analysis of possible antigenic determinants:

Arginine and cystein residues were identified.

P A N V S T was the CD34 antigen hexapeptide sequence homologous to the P A N V S L hexapeptide identified by biopanning with direct 561 antibody attached to beads.

T Q G T F S was the CD34 antigen hexapeptide homologous to T Q G S F W and Q Q G W F P hexapeptides identified by biopanning with the 9069 antibody.

N S S V Q S was the CD34 antigen hexapeptide homologous to N S S V G L hexapeptide identified by biopanning with the 9069 antibody.

**Table 20**  
**Peptides Representing Six Potential Antigenic Peaks of**  
**the CD34 Antigen.**

5

10

15

20

25

30

| ANTIGENIC<br>PEAK | LOCATION*  | PEPTIDE | PEPTIDE<br>SEQUENCE** | HOMOLOGY TO<br>PHAGE DISPLAY<br>PEPTIDES |
|-------------------|------------|---------|-----------------------|--|
| NNGTA             | aa 4-8     |         |                       | 9069, TQGSFW<br>QQGWFP                   |
| LPTQGT            | aa 12-17   |         |                       |  |
| QHGNEAT           | aa 46-52   |         |                       |  |
| GNTNS             | aa 83-87   |         |                       |  |
| NVST              | aa 95-98   |         |                       | 9069, NSSVGL<br><br>561, PANVSL          |
| LSPG              | aa 107-110 | 34A     | KPSLSPG-KD            |  |
| TKPYTSSS          | aa 127-134 | 34B     | D-TKPYTSSS-KD         |  |
| QNKTSS            | aa 162-167 | 34C     | LEQNKTSS-KD           |  |
| FKKDRG            | aa 171-176 | 34D     | EFKKDRGEGLAR          | 561, CIDEFLRCI                           |
| SEVR              | aa 105-108 | 34E     | D-LAQSEVRPQ-KD        |  |
| QSYSQK            | aa 253-258 | 34F     | KD-HQSYSQKT           |  |

\* Amino acid position in the extracellular domain of the CD34 protein.

\*\* Amino acid residues (K,D) separated by a hyphen (-) were added to aid solubility.

35

#### EXAMPLE 12

#### 561 Antibody Selection of Hexapeptide Sequences through Phage Display Technology

40 Four predominant peptide sequences were identified with  
a major characteristic being their basic nature, each  
containing at least two arginine residues. No direct  
homology to the CD34 antigen protein was observed in the  
predominate sequences. However, there was homology to a  
45 region of the CD34 antigen (aa # 149-219) which contains  
the only 5 arginine residues in the entire CD34 antigen.  
These data suggest that the 561 antibody recognizes a  
specific conformational epitope within the CD34 antigen.

The linear hexapeptide library and K91Kan cells were obtained from Dr. George Smith at the University of Missouri. The random hexapeptide sequenc was inserted into th pIII gene of the vector FUSE5. The 561 antibody  
5 4.7 mg/ml was obtained from Dynal A.S. Oslo, Norway.

Biopanning procedures were as described in Example 1 above.

Other materials were obtained as follows:

- 10 Urea, IBI
- 10x TBE buffer, BRL
- Amberlite, Sigma, St. Louis, MO
- Acrylamide/Bis, BioRad, Richmond, CA.
- TEMED, IBI,
- 15 Ammonium persulfate, IBI,
- Sodium Bicarbonate ( $\text{NaHCO}_3$ ), Sigma,
- Dialyzed BSA, Sigma,
- Sodium Azide ( $\text{NaN}_3$ ), Sigma,
- Ethylenediamine Tetraacetic Acid ( $\text{Na}_2\text{EDTA}$ ), Sigma,
- 20 Sodium Hydroxide ( $\text{NaOH}$ ), RICCA Chemical Company,
- Hydrochloric Acid ( $\text{HCl}$ ), Mallinckrodt,
- Formamide, USB
- Kanamycm, Sigma,
- Potassium Chloride ( $\text{KCl}$ ), Mallinckrodt,
- 25 Sodium Chloride ( $\text{NaCl}$ ), Sigma,
- Sodium Acetate ( $\text{NaOAc}$ ), Sigma,
- Glacial Acetic Acid, Sigma,
- Ammonium Phosphate, Mallinckrodt
- Ammonium Hydroxide ( $\text{NH}_4\text{OH}$ ), Sigma,
- 30 NZY, GIBCO,
- PEG 8000, Sigma,
- Bacto Agar, DIFCO, Cat.#0140-01

Prism Ready Reaction Dye Deoxy Terminator Cycle  
35 Sequencing Kit, Perkin ELMER,

CENTRI SEP Spin Columns, Princeton Separations,



Oligonucleotide Primers - Synthesized by Operon, Inc.

JTL1: 5' CAATTAAAGGCTCCTTTTGGAGCC 3'

JTL2: 5' GCCCTCATAGTTAGCGTAACGATC 3'

5

Primers were identical to the published bacteriophage f1 sequence (Hill, D.F., et al., J. Virology 44:32-46, 1982) at positions 1533-1556 and the complement of positions 1714-1737.

10

Gene Amp PCR system 9600, Perkin ELMER Cetus, Metrology 8451A DIODE Array Spectrophotometer, Hewlett Packard 373A DNA Sequencer - Applied Biosystems

MacVector™ 4.1 DNA Sequence Analysis Software -

15 International Biotechnologies, Inc.

#### Methods:

The hexapeptide library was amplified in 2 L of terrific broth (500 ml per 2 L flask). Briefly, K91Kan cells were grown to an OD550 ~2.0 at 225 rpm, 37°C. After 15

20 minutes at 50 rpm for pili regeneration, the cells were infected with 10 µl ( $\sim 10^{12}$  physical particles) of the primary library. The amplified library was concentrated with PEG/NaCl from ~2 L to 1 ml. The amplified library was titered. Seven rounds of biopanning were performed as described in Example 1 above. The amount of 561 antibody used per step was: 28 µg-1st biopanning, 14µg-2nd biopanning, 5 or 10 µg-3rd biopanning, 1 µg-4th biopanning, 1 µg-5th biopanning, 2 µg-6th biopanning, 1.5 µg-7th biopanning ("28-14-10-1-1-2-1.5 or

25 28-14-5-1-1-2-1.5". Each successive step of biopanning was preceded by an amplification of the eluted phage.  $5 \times 10^{10}$  TU of the library were used in the first biopanning. Tetracycline/Kanamycin resistant colonies from the third to seventh rounds of biopanning were grown and supernatants containing the bacteriophage were PEG  
35 precipitated. DNA was prepared from the PEG concentrated phage for DNA sequenc analysis. DNA sequence was determined following "cycle" sequencing analysis using

the Applied Biosystems PRISM fluorescent dideoxy terminators and oligonucleotide primer JTL2.

Results:

Amplification of the cyclic peptide library resulted in a  
5 final titer of  $2.5 \times 10^{13}$  TU/ml (TU=transducing units), ~1 ml, stored at 40C.

Results from seven rounds of biopanning are shown in Table 21.

10 DNA sequence analysis was determined for 220 bacterial clones selected from the third, fourth, fifth, sixth, and seventh rounds of biopanning.

DNA sequence analysis of the third and fourth rounds of  
15 biopanning revealed one predominant sequence (Table 21).

Three more predominant hexapeptide sequences emerged from the sixth, and seventh rounds of biopanning (Table 22). A major characteristic of these hexapeptide sequences is  
20 their basic nature, each containing at least two arginine residues. No direct homology of the predominant peptide sequence with the CD34 antigen was identified.

The only five arginine residues in the CD34 antigen are  
25 present in the extracellular domain, amino acids 149 to 219.

Five peptide sequences (A to E) representing 3rd, 4th, 5th, 6th and 7th biopanning clones were selected for  
30 functional analysis as potential stem cell release reagents.

Phage display analysis of the 561 antibody with a linear hexapeptide library revealed 4 predominant hexapeptide  
35 sequences with no apparent direct homology to the CD34 antigen. This result is similar to the results observed with the 9079 antibody when biopanned on petri plates. The 561 antibody is capable of blocking recognition of

the CD34 antigen by the 9079 antibody. It is possible that both the 561 and 9079 antibodies recognize the region of CD34 containing six cysteine residues and the only five arginine residues. Recognition of flexible loops stabilized by charged amino acids may result in the selection of hexapeptide sequences recognized by a discontinuous epitope.

Biopanning of a hexapeptide library with the 561 antibody resulted in the identification of four predominant sequences (561 peptide A to D). These hexapeptide sequences contain both highly charged and hydrophobic residues which is also supported by the conclusions drawn from the cyclic peptide biopanning analysis (see Example 12) and linear hexapeptide selection using 561-Dynabead (see Example 13). The repeated selection of peptides containing arginine residues may be indicative of specific recognition of the region within the CD34 antigen (a.a.#149 to 219) containing the only five arginine residues in the extracellular domain of the protein.

Five peptides representing hexapeptides selected from biopannings were synthesized and tested for their ability to serve as release agents in the KG1a or tHL60 cell-based FACS assay. Two of these peptides (561 C and 561 D) are able to release 561 antibody prebound to KG1a cells.

Table 21

Summary: 561 Peptide Selection Schem

## 5 Phage Display Biopanning with 561 Antibody

|    | Selection Scheme | Biopanning Rounds<br>micrograms Ab |     |     |     |     |     |     | No. of Clones<br>Purified | No. of Clones<br>Analyzed |
|----|------------------|------------------------------------|-----|-----|-----|-----|-----|-----|---------------------------|---------------------------|
|    |                  | 1st                                | 2nd | 3rd | 4th | 5th | 6th | 7th |                           |                           |
| 10 | A                | 28                                 | 14  | 5   |     |     |     |     | 40                        | 20                        |
| 15 | A                | 28                                 | 14  | 5   | 1   |     |     |     | 80                        | 20                        |
|    | A                | 28                                 | 14  | 5   | 1   | 1   |     |     | 40                        | 10                        |
|    | A                | 28                                 | 14  | 5   | 1   | 1   | 2   |     | 40                        | 10                        |
| 20 | A                | 28                                 | 14  | 5   | 1   | 1   | 2   | 1.5 | 80                        | 40                        |
|    | B                | 28                                 | 14  | 10  |     |     |     |     | 40                        | 20                        |
| 25 | B                | 28                                 | 14  | 10  | 1   |     |     |     | 80                        | 20                        |
|    | B                | 28                                 | 14  | 10  | 1   | 1   |     |     | 40                        | 10                        |
|    | B                | 28                                 | 14  | 10  | 1   | 1   | 2   |     | 40                        | 10                        |
| 30 | B                | 28                                 | 14  | 10  | 1   | 1   | 2   | 1.5 | 80                        | 60                        |

5

Table 22

# Hexapeptide Sequences Identified by Phage Display with 561 Antibody

10

|    |                   | Rounds of Biopanning*              |    |     |    |     |    |     |    |     |    |
|----|-------------------|------------------------------------|----|-----|----|-----|----|-----|----|-----|----|
|    |                   | 7th                                |    | 6th |    | 5th |    | 4th |    | 3rd |    |
|    |                   | micrograms of Ab in 3rd biopanning |    |     |    |     |    |     |    |     |    |
| 15 | Hexamer Sequences | 5                                  | 10 | 5   | 10 | 5   | 10 | 5   | 10 | 5   | 10 |
|    | RHRHRH (561A)     | 22                                 | 46 | 3   |    | 3   |    |     |    |     |    |
| 20 | KRHKHR (561B)     | 14                                 | 2  | 1   | 3  |     | 2  | 1   | 3  |     |    |
|    | RTKTRF (561C)     |                                    | 8  |     | 5  | 4   | 4  | 1   | 1  |     | 1  |
|    | TRVPRR (561D)     |                                    |    |     |    |     | 3  | 4   | 4  |     | 6  |
| 25 | RHRPRH (561E)     |                                    |    | 1   |    |     |    |     |    |     |    |

30 \* Number of clones identified at each indicated biopanning step.

35

## EXAMPLE 13

561 Antibody Selection of Hexapeptide Sequences through  
5 Phage Display Technology Using 561-Direct Magnetic Beads.

Four predominant peptide sequences were identified with major characteristics being their highly charged and hydrophobic nature. These data suggest that the  
10 structure of the CD34 epitope recognized by 561 is likely to include a loop, possibly containing hydrophobic residues, stabilized by ionic interactions mediated through charged amino acids. One of the predominant hexapeptides PANVSL (561Q) has direct homology to the  
15 CD34 antigen (PANVST).

Phage-bearing peptides with high affinity for 561 antibody were selected from those with low affinity peptides using 561 antibodies immobilized on solid-phase  
20 petri dishes as described in Example 1 above. However, fine affinity discriminations were difficult, possibly because binding was dictated by both the affinity and the avidity of the phage (Clarkson, T., et al., 1991, Nature 352:624-628). An alternative selection method was based  
25 on phage peptides binding to 561 directly linked to Dynabeads (561-bead) in solution. The high affinity phage peptides were then enriched by competition for limiting amounts of antibody. It is believed that this scheme forced the many low affinity phage to be out-  
30 competed by the binding of rare high affinity variants.

Peptide epitopes in solution were selected using the hexapeptide library with two different lots of 561-beads CEL R21 and CEL R73. Four predominant linear hexapeptide  
35 sequences were selected and identified with a major characteristic being their highly charged and hydrophobic nature. Three of these four peptides (561 M, P, and Q) were able to release 561 antibody prebound to KG1a or tHL60 cells.

The linear hexapeptide library was obtained from Dr. George Smith at the University of Missouri. The random hexapeptide sequence was inserted into the pIII gene of the vector FUSE5. Dynabeads M-450 CD34 (561) batches CEL R21 and CEL R73 were obtained from Dynal A.S. Oslo, Norway.

Biopanning procedures were conducted as described in Example 1 above. The hexapeptide library was amplified in 2 L of terrific broth (500 ml per 2 L flask). Briefly, K91Kan cells were grown to an OD<sub>550</sub> ~2.0 at 225 rpm, 37°C. After 15 minutes at 50 rpm for pili regeneration, the cells were infected with 10 µl ( $\sim 10^{12}$  physical particles) of the primary library. The amplified library was concentrated with PEG/NaCl from ~2 L to 1 ml.

The amplified library was titered.

Four rounds of biopanning were performed following the procedures. Three different ratios (100:1 or 10:1 and 1:1) of phage particles to 561-Dynabead molecules were used. Each successive step of biopanning was preceded by an amplification of the eluted phage.  $1 \times 10^{11}$  TU of the library were used in the first biopanning.

Tetracycline/Kanamycin resistant colonies from the third and fourth biopanning were grown and supernatants containing the bacteriophage were PEG precipitated.

DNA was prepared from the PEG concentrated phage for DNA sequence analysis.

DNA sequence was determined following "cycle" sequencing analysis using the Applied Biosystems PRISM fluorescent dyedexoxy terminators and oligonucleotide primer JTL2.

DNA sequence analysis was determined for 160 bacterial clones selected from the fourth round of biopanning using CEL R21 561-Dynabeads, two predominant sequences were identified.

DNA sequence analysis was determined for 160 bacterial clones selected from the third and fourth rounds of biopanning using CEL R21 561-Dynabeads, two additional predominant sequences were identified.

5

A major characteristic of these hexapeptide sequences is that they contain both highly charged and hydrophobic residues.

- 10 No direct homology of the predominant peptide sequence with the CD34 antigen was identified.

A similarity in charge and hydrophobicity was observed between the predominant linear hexapeptide sequences and  
15 a region of the CD34 antigen (a.a. # 149 to 219) in the extracellular domain.

Phage display biopanning in solution with CEL R21 561-beads selected two predominant linear hexapeptide  
20 sequences 561 L: TCTNCH and 561M: ACKWCR. The same biopanning in solution was repeated using a different lot of (CEL R73 ) 561-beads, in addition to peptide M: ACKWCR, two additional predominant sequences were identified 561P: QKTDAY, 561Q: PANVSL. All 4 predominant  
25 hexapeptide sequences contain highly charged and hydrophobic residues. (PANVSL) has direct homology to the CD34 antigen (PANVST, a.a.# 93-97). These data suggest that the structure of the CD34 epitope recognized by the 561 antibody is likely to include a loop, possibly  
30 containing hydrophobic residues, stabilized by ionic interactions mediated through charged amino acids. The complete epitope of the CD34 antigen recognized by the 561 antibody may be a discontinuous region including the PANVST region at amino acids 93-97 and a loop within the  
35 arginine-rich region.

These four predominant peptides (561 L, M, P and Q) were synthesized and tested for their ability to serve as



releas reagents in the KG1a or tHL60 cell-based FACS assay. Three of these peptides, 561 M, P and Q, were abl to release 561 antibody prebound to KG1a or tHL60 cells.

Table 23

Summary of Hexapeptide Sequences Identified by Phage  
Display with 561

| 5  | CEL R21 Beads | SEQUENCE      | # OF CLONES |
|----|---------------|---------------|-------------|
| 10 |               | ACKWCR (561M) | 61          |
|    |               | TCKWCR        | 2           |
|    |               | RVSWCR        | 1           |
| 15 |               | TCTNCH (561L) | 19          |
|    |               | TCTKVH        | 2           |
|    |               | FFRDVY        | 1           |
|    |               | FLHECY        | 1           |
| 20 |               | YIKGLF        | 1           |
|    |               | YIGTDH        | 2           |
|    |               | VIMEEA        | 2           |
|    |               | KLIATA        | 1           |
|    |               | TAAHTW        | 1           |
| 25 |               | CSLHHY        | 1           |
|    |               | VLLSDN        | 1           |
|    |               | MVWVNN        | 1 (2)       |

Table 24

Summary of Hexapeptide Sequences Identified by Phage Display  
with 561 CEL R21 Beads

|    | SEQUENCE | # OF CLONES |
|----|----------|-------------|
| 5  | SWNYTH   | 1           |
|    | RVSGVG   | 1           |
| 10 | RVSGCR   | 2           |
|    | RYGGSF   | 1           |
|    | LRKVNG   | 1           |
|    | WSVQRD   | 1           |
|    | FSIGAG   | 1           |
| 15 | SPFVTM   | 1           |

Table 25

Summary of Hexapeptide Sequences Identified by Phage Display with  
561 CEL R73 Beads

|    |          | 3RD BIOPANNING | 4TH BIOPANNING |
|----|----------|----------------|----------------|
|    |          | # of clones    | # of clones    |
| 5  | SEQUENCE |                |                |
| 10 | ACKWCR   | 16             | 45             |
|    | ACEWCR   | 1              | 1              |
|    | AWWSNT   | 1              |                |
|    | WCRRIT   | 1              |                |
| 15 | QKTDAY   |                | 22             |
|    | QKAEAY   |                | 2              |
|    | QKADAY   |                | 3              |
|    | QETDAY   |                | 1              |
| 20 | QEADAY   |                | 1              |
|    | QQADAY   |                | 2              |
|    | QQTDAY   |                | 1              |
|    | PANVSL   |                | 18             |
|    | PADVSL   |                | 2              |
| 25 | PPNVSL   |                | 1              |
|    | TPNVSL   |                | 1              |

#### EXAMPLE 14

561 Antibody Selection of Cyclic Peptides (XCX<sub>6</sub>CX) Through  
30 Phage Display Technology.

A dominant cyclic peptide sequence was identified from a constrained loop library, XCX<sub>6</sub>CX. In this library, X could be any amino acid except Trp or Met. Multiple  
35 variant sequences represented by one to three phage clones each also were identified. No direct homology to the CD34 antigen was observed with the consensus sequence. However, relatedness to a region of the CD34 antigen corresponding to a potential antigenic peak was  
40 identified. These data suggest that the 561 antibody recognizes a specific conformational epitope within the CD34 antigen.

The purpose of this study was to identify a potential stem cell release reagent for the 561 antibody. Previous phage display studies (see Example 12 above) identified five linear hexapeptide sequences that bind the 561 antibody. A major characteristic of these hexapeptide sequences is their basic nature, each containing at least two arginine residues. Two of these peptides (561C and 561D) were able to release 561 antibody prebound to KG1a cells (data not shown).

10

Examination of the published CD34 antigen protein sequence did not reveal any direct homologies with the linear hexapeptides. Only five arginine residues are present (from amino acids 150 to 219) in the CD34 antigen extracellular domain. This region also is the stretch of CD34 containing the only six cysteine residues (amino acids 146-211). The structure of the CD34 antigen in this region potentially includes three disulfide-linked loops stabilized by multiple charged residues. This analysis suggests that the 561 antibody may preferentially bind a constrained, cyclic peptide more readily than a linear peptide.

Biopanning with the 561 antibody of a constrained library in which cyclic peptide loops are expressed on the surface of fd phage was performed. A predominant cyclic peptide sequence and multiple variants of the motif were identified. Preparation of the cyclized form of the predominant peptide sequence is a prerequisite to functional testing as a stem cell release reagent.

The constrained cyclic peptide library obtained from Dr. Jamie Scott (Simon Fraser University, Vancouver, British Columbia) was constructed in the vector F88.4. This vector carries a tetracycline resistance gene and has two pVIII genes, the wild-type and a synthetic gene containing the cyclic peptide sequence. The pVIII gene encodes the major coat protein of filamentous

bacteriophages. In the F88.4 vector normal, wild-type coat protein is made in addition to the coat protein containing an additional cyclic peptide loop.

- 5 Biopanning procedures were conducted as described above for selection of linear hexapeptides.

Super Broth: bactotryptone, Difco Lot 9761; yeast extract, Difco Lot 795698, sodium chloride, Aldrich #  
10 7647-14-5, Lot 12327CX.

NZY broth, Gibco #M36350B, Lot 1 1H1026B.

JTL5 oligonucleotide primer, purchased from Operon, Technologies, Inc.

JTL5: 5' TTT GAT GCC AAT AGT AGC ACC AAC GAT AAC 3'

- 15 This primer allows DNA sequence determination of the anti-sense strand of the F88.4/XCX6CX library clones.

561 antibody, 4.7 mg/ml, obtained from Dynal AS.

Other materials were as described above.

- 20 Methods:

The cyclic library was amplified in 4 L of superbrot (500ml per 2L flask). Briefly, K91kan cells were grown to an OD<sub>550</sub>=1.73 at 225 rpm, 37°C. After 15 minutes at 50 rpm for pili regeneration, the cells were infected  
25 with the library at a moi=1 (multiplicity of infection of 1 phage particle per 1 cell).

The amplified library was concentrated with PEG/NaCl from

- 30 ~4.4 L to approximately 9mls.

The amplified library was titered.

Four steps of biopanning were performed as described above. The amount of 561 antibody used per step was: 10  
35 µg-1st biopanning, 10µg-2nd biopanning, 1µg-3rd biopanning, and 1µg-4th biopanning ("10-10-1-1"). Each successive step of biopanning was preceded by an

amplification of the eluted phage.  $5 \times 10^{10}$  TU of the library were used in the first biopanning.

5 Tetracycline/kanamycin resistant colonies from the fourth biopanning were grown and supernatants containing the bacteriophage were PEG precipitated.

DNA was prepared from the PEG concentrated phage for DNA sequence analysis.

10

DNA sequence was determined following "cycle" sequencing reactions using the Applied Biosystems PRISM fluorescent dideoxy terminators and oligonucleotide primer JTL5.

15 Antigenic potential profile of the CD34 antigen was determined using MacVector™ 4.1 software.

#### RESULTS

20 Amplification of the cyclic peptide library was performed resulting in a final titer of  $2.5 \times 10^{13}$  TU/ml (TU=transducing units), ~ 9ml, stored at 4°C.

Four biopanning steps were performed.

25 DNA sequence analysis was determined for bacterial clones from the fourth biopanning.

A predominant cyclic peptide sequence (24 clones) was identified upon translation of the DNA sequence (Table 26 below).

30 Multiple variant cyclic peptide sequences were identified, each represented by 1-3 different clones (Table 26 below).

35 No direct homology of the predominant cyclic peptide sequence with the CD34 antigen was identified.

A similarity in charge and hydrophobicity was observed between the predominant cyclic peptide sequence and a

region of the CD34 antigen which also corresponds to a potential antigenic peak.

Phage display biopanning with the 561 antibody selected a predominant cyclic peptide sequence: Q C I D E F L R C I. Multiple variants related to the primary motif also were identified. This analysis indicates that a looped peptide containing six amino acids in the loop can be bound by the 561 antibody. Its specific amino acid composition and sequence are probably analogous to or mimic the natural epitope of the CD34 antigen.

Multiple variants of the predominant sequence indicate that the general features of the major cyclic peptide are required for binding to the 561 antibody. Highly charged and hydrophobic residues within the looped peptides support the previous conclusions drawn from the linear hexapeptide biopanning analysis (Example 12 above). The repeated selection for peptides containing arginine residues may be indicative of specific recognition of the region within the CD34 antigen containing the only five arginine residues in the extracellular domain of the protein.

The consistent presence of hydrophobic residues such as F, phenylalanine and L, leucine, suggest that a non-ionic interaction is also a part of the epitope recognized by the 561 antibody. Taken together, the data suggest that the 561 antibody can recognize a conformationally restricted peptide sequence. The identification of a consensus sequence upon biopanning of the cyclic peptide library and multiple sequences upon biopanning of the linear hexapeptide library suggest that the 561 antibody recognizes an epitope displayed within the arginine-rich and cysteine-containing region of the CD34 antigen (amino acids 146-219). The structure of the CD34 epitope recognized by the 561 antibody is likely to include a loop, possibly containing hydrophobic residues,



stabilized by ionic interactions mediated through charged amino acids. Biopanning the linear hexapeptide library with the 561 antibody directly attached to magnetic beads resulted in the identification of one hexapeptide (P A N V S L) with direct homology to the CD34 antigen (P A N V S T). The complete epitope of the CD34 antigen recognized by the 561 antibody may be a discontinuous region including the P A N V S T region at amino acids 93-98 and a loop within the arginine-rich region.

Functional testing of a cyclic peptide as a stem cell release reagent awaits synthesis of sufficient quantities of the linear form of the predominant cyclic peptide sequence followed by chemical cyclization and HPLC purification of the cyclized peptide. Initial testing will be performed using the KG1a or tHL60 cell-based FACS assay. If the cyclic peptide can compete off prebound 561 antibody, then it will be tested in a small scale bead assay. Final testing would be performed in the Isolex® cell selection system (Baxter Immunotherapy Division, Irvine, CA).

The cyclic peptide sequence (X C X<sub>6</sub> C X) is encoded from nucleotide positions 70-100 (of the coding region) in a synthetic copy of the p8 gene in the F88.4 vector. Third position nucleotide changes from the wildtype codons prevent genetic recombination with the wild type gene. Both copies of the p8 gene are expressed resulting in a normal major coat protein intermixed with the cyclic peptide containing coat protein packaging the single-stranded DNA of the bacteriophage.

JTL5 oligonucleotide primer is located on the anti-sense strand (bottom) from nucleotide positions 228-199 (5'--->3').

Table 26

Summary of Phage Display Selected Cyclic Peptide  
Sequences

for the 561 Antibody

| 5  | SEQUENCE            | NUMBER OF CLONES |
|----|---------------------|------------------|
| 10 | Q C I D E F L R C I | 24               |
|    | D C I D T F L R C V | 1                |
|    | S C I D D F L R C A | 1                |
| 15 | Q C I D A F R R C I | 1                |
|    | N C I D T F V A C A | 1                |
|    | N C I D K F L A C V | 2                |
| 20 | Q C I D E L L R C I | 1                |
|    | N C I D V F L T C V | 1                |
| 25 | D C I E R F L T C V | 1                |
|    | N C I E I F I S C V | 1                |
|    | S C I E T F L Q C V | 1                |
| 30 | G C I E R F F Q C V | 1                |
|    | N C I E S F L R C V | 1                |
| 35 | S C I N R F L T C V | 1                |
|    | S C T N R F L T C V | 1                |
|    | S C P V A I A S C T | 1                |
| 40 | N C V D Q F I H C V | 1                |
|    | N C V E A F L I C A | 2                |
| 45 | N C V D K F L A C A | 1                |
|    | Q C I A E F L R C I | 3                |
|    | D C V E Q F L T C V | 1                |
| 50 | L C R L L K Q L C N | 1                |
|    | I C T D R Y P P C T | 1                |
| 55 |                     |                  |

Homology of the cyclic peptides to the CD34 antigen are not direct, one amino acid for another amino acid. One alignment has homology to amino acids 168-171 and possibly the arginine at 175; another alignment possibly has homology to amino acids 177-181. The potential disulfide-linked loop from amino acids 168 to 184 of the CD34 antigen may be mimicked by a smaller loop such as the cyclic peptide with homology to the beginning and end of the loop.

10

CD34 aa168-184 C A E F K K D R G E G L A R V L C  
561 CYCLIC PEPTIDE a: Q C I D E F L R C I  
b: Q C I D E F L R C I

The underlined region has antigenic potential as determined using MacVector 4.1 software.

Homology of the cyclic peptides to the CD34 antigen are not direct, one amino acid for another amino acid. Alignment a has homology to amino acids 168-171 and possibly the arginine at 175; alignment b has homology to amino acids 177-181. The potential disulfide-linked loop from amino acids 168-184 of the CD34 antigen may be mimicked by a smaller loop such as the cyclic peptide with homology to the beginning and end of the loop.

25

#### EXAMPLE 15

Effect of pH on Peptides as Release Reagents for the 561 Antibody

Five peptides identified through phage display technology with the 561, anti-CD34 antibody, were tested in a FACS cell-based assay using KG1a cells. All five peptides show significant release activity on pre-bound 561 antibody at pH 4 and not at pH 7.

Unlike crude hexapeptides, the HPLC purified 561C and 561D peptides did not show release activity. The effect of pH on the ability of peptides to displace pre-bound 561 antibody was examined.

The peptides (see Table 27 below) were synthesized by Research Genetics and tested without purification. The 9069 antibody was used as a positive control and released with the 9069N peptide (Ac-Q Q G W F P-K D). This control served to test for the KG1a cells and the goat-anti-mouse FITC secondary detection antibody. Hexapeptide sequences identified for the 561 antibody were tested for their ability to displace prebound 561 antibody.

Crude peptides (see Table 27 below) were purchased from Research Genetics Inc., Huntsville, AL.

Purified 561C and 561D peptides were purchased from American Peptide Company, Sunnyvale, California.

#### Methods:

HPLC-purified peptides 561C and D were tested in the cell-based KG1a FACS assay.

pH of crude and purified 561C and 561D peptides was examined.

Functional release activity of purified 561D peptide at pH 4 and 6 was tested.

Functional release activity of purified 561C and 561D peptides at pH 4, 5, 6, 7, 8, and 9 was tested.

Functional release activity of crude 561A,B,C,D, M, P, Q, CDR2H, CDR2L, CDR3H, CDR3L, 34B, 34C, 34D, 34E and 34F peptides adjusted to pH 7 and pH unadjusted (pH 3.8-4.3) was tested.

#### Results:

HPLC-purified 561C and D peptides did not function as release reagents in the FACS cell-based assay.

The crude 561C and 561D peptides dissolved at approximately pH 4.

- 5 The HPLC-purified 561C and D peptides dissolved at approximately pH 6.

The purified 561C and D peptides adjusted to pH 4 resulted in functional activity as release reagents.

10

The purified 561 C and D peptides tested at pH 4-9 only showed significant release activity in the FACS cell-based assay at pH 4 or pH 9.

- 15 Crude peptides 561C,D,M, P, Q, CDR2H, and CDR3L peptides pH unadjusted (around pH 3.8-4.3) showed functional release activity in the FACS cell-based assay. At pH 7, none of these peptides showed release activity.

- 20 Crude peptides 561A, B, CDR2L, CDR3H, 34B, 34C, 34D, 34E and 34F did not show functional release activity pH unadjusted (about pH 4) or at pH 7.

- Effectiveness of phage display-defined hexapeptides as  
25 561 antibody release reagents was analyzed at different pH values. At low pH (~4), the 561 C,D,M, P, Q, CDR2H and CDR3L peptides showed significant release activity in the KG1a cell-based FACS assay. These peptides did not show release activity at pH 6 or pH 7. Release activity  
30 also was observed at pH 9 for the 561D peptide. Utility of the active release peptides requires conditions that are not harmful to the stem cells to be isolated. Short-term viability of the cells after incubation for 30 minutes at pH 4 was good, however, long-term effects  
35 were not studied.

Examination of the 561 antibody sequence indicates that the complementarity determining regions, CDRs, contain

multiple (6) aspartic acid residues and two histidine residues. These amino acids would be affected at lower pH. The protonation of the aspartic acid groups could serve to neutralize an ionic interaction with the CD34 antigen thus promoting dissociation. The inability of the peptides to cause complete dissociation even at low pH suggests that these peptides do not adequately mimic the true sequence/conformation of the natural CD34 epitope recognized by the 561 antibody. The identification of a consensus cyclic peptide and multiple conservative variants indicate that a constrained peptide may be the preferred peptide binding motif. The effect of pH 9 on the release reaction is not understood. The 561 antibody may be undergoing a conformational change that aids peptide release.

The observed pH effect on the ability of the phage display-defined peptides to serve as release reagents is specific for the 561 antibody. Titrations of pH with the 9069N peptide has no effect on release activity for the 9069 antibody bound to KG1a cells. The ability to select, define and optimize a peptide release reagent for any antibody is dependent upon the specific biochemical properties of the given antibody and its specific interaction with its antigen.

Table 27

Summary of Peptides Synthesized for Testing on the 561 Antibody

5

Phage Display Selected Hexapeptide Sequences

|         |             |
|---------|-------------|
| 561A    | R H R H R H |
| 561B    | K R H K R H |
| 561C    | R T K T R F |
| 10 561D | T R V P R R |
| 561E    | R H R P R H |

Antibody CDR Peptides

|               |                                   |
|---------------|-----------------------------------|
| 561CDR1H      | D-N Y W M Q-K                     |
| 15 561CDR2H   | A I Y P G D G D T R Y T Q K F K V |
| 561CDR3H      | N D G Y F D A M D Y               |
| 561CDR1L      | D-S A S S S V T F M H-K           |
| 561CDR2L      | D T S K L A S                     |
| 561CDR3L      | D-Q Q W N S N P L T-K             |
| 20 561CDR1H.2 | D-N Y W M Q -K D                  |
| 561CDR1L.2    | K D - S A S S S V T F M H -K D    |
| 561CDR3H.2    | A R N D G Y F D A M D             |
| 561CDR2L.2    | H D T S K L A S Q V - D           |

25 Phage Display Selected Hexapeptides Using 561-Beads, Lot CEL-R21

|      |                   |
|------|-------------------|
| 561L | T C T N C H - K D |
| 561M | A C K W C R       |

30 Phage Display Selected Cyclic Peptides Using 561

|      |                               |
|------|-------------------------------|
| 561N | Q C I D E F L R C I - K D     |
| 561R | D - Q C I D E F L R C I - K D |
| 561S | D - Q C I D E F L R C I - D   |

Phage Display Selected Hexapeptides Using 561-Beads, Lot CEL-R73

561M           A C K W C R  
5 561P           Q K T D A Y - K D  
561Q           K D - P A N V S L - K D

CD34 Peptide Homologous to 561Q Peptide

34L           K D - P A N V S T - K D - C

- 10 Summary of modification of 561 release in FACS assay:  
The ability of chemical reagents to enhance peptide-  
dependant release of captured CD34+ cells from the 561  
antibody was examined. The purpose of these experiments  
was to determine if mild conditions (greater than pH4)  
15 could be established in which phage displayed selected  
peptides could trigger release of bound antibody.  
Previous studies indicated a requirement for low pH  
(about pH4) for effective cell release. Since long-term  
viability of the low pH-released cells was not known,  
20 conditions which could alter the pH to a more neutral  
value (about pH5-7) were desirable.

Reagents which were known to affect electrostatic and  
hydrogen bonding interactions of proteins were tested in  
25 addition to excluded-volume polymers. Included in these  
studies were sodium chloride, sodium acetate, magnesium  
chloride, calcium chloride, polyethylene glycol (PEG),  
ficoll, sodium succinate, sodium citrate, protamine  
sulphate, spermine, and polybrene.

30  
Only sodium acetate showed significant activity as an  
enhancement reagent for peptide mediated release of CD34+  
selected cells. The presence of multiple (6) aspartic  
acid residues in the CDR, complementarity determining  
35 regions of the 561 antibody variable regions suggests a  
highly charged interaction at the surface of the  
antigen/antibody binding cleft. The ability of acetate  
to mimic th aspartic acid side chains may explain the



ability of sodium acetate and not sodium chloride to enhance release. Less dramatic results were obtained with magnesium chloride and PEG. All other compounds tested did not show significant enhancement of peptide-mediated cell release.

#### EXAMPLE 16

##### Glutamate-Rich Peptide as a Competitor of Antibody/Epitope Interaction

10

A glutamate-rich peptide was tested for its ability to serve as a competitor of a specific anti-glutamate rich epitope antibody (anti-glu-glu) bound to its antigen. This study was initiated to establish the feasibility of constructing a recombinant anti-CD34 molecule containing a glutamate-rich sequence which could then be captured with the specific anti-glu-glu antibody. A competitive peptide release reagent was established as a feasible, cost effective reagent. This study also supports the plan to identify and characterize specific peptides for use as release reagents against cell-capture antibodies.

A glutamate-rich peptide was tested for its ability to serve as a competitor of a specific anti-glutamic acid-rich epitope (anti-glu-glu) antibody bound to its antigen. This assay was performed in a competitive ELISA format. This study was initiated to establish the feasibility of constructing a recombinant anti-CD34 antibody containing a glutamate-rich sequence which could be used to capture human stem cells. A competitive peptide release reagent was established as a potential feasible, cost effective reagent for release of captured stem cells.

35 The source of glu-glu antigen was a single chain antibody containing this glu-glu antigenic sequence (TAI) containing glu-glu tag sequence (= SCA-EE) in the form of bacterial lysate (Dade Diagnostics, Miami, Florida). The

anti-glu-glu monoclonal antibody was also obtained from that group. The test reagents included a glu-glu peptide (AEEEEYMPMEG, American Peptide Company, Sunnyvale, CA), glutamic acid, diglutamic acid, poly-glutamic acid and  
5 poly-aspartic acid (all from Sigma).

Horse radish peroxidase conjugated goat anti-mouse IgG (H+L), TMB substrate, and hydrogen peroxide were purchased from KPL (Gaithersburg, MD).

10

SCA-EE (15 and 30 ug/ml) was used to coat microtiter dishes.

Anti-glu-glu antibody (anti-EE) was added from 0 to 2187  
15 ng/ml to establish a titration of the antibody. HRP goat anti-mouse IgG (H+L) and TMB reagent were used to detect bound antibody. Absorbance readings were measured at 450 nm.

20 SCA-EE was used to coat microtiter dishes, followed by addition of 50-300ng/ml anti-EE. Plates were washed and then competitors were added:

|    |             |                                   |
|----|-------------|-----------------------------------|
|    | 100nM-100μM | peptide (A-EEEEYMPME-G)           |
|    | 500nM-500μM | glutamic acid (E)                 |
| 25 | 250nM-250μM | diglutamic acid (EE)              |
|    | 1nM- 1μM    | poly glutamic acid (EEEEEEEEEEEE) |
|    | 1nM- 1μM    | poly aspartic acid (DDDDDDDDDD)   |

Amount of remaining anti-EE monoclonal antibody was  
30 detected by the HRP-conjugated goat anti-mouse IgG (H & L) and TMB reagent. Absorbance readings were measured at 450 nm.

Anti-glu-glu antibody was titrated with SCA-EE.

35 Among the five different reagents analyzed, only the glu-glu peptide could displace bound anti-EE antibody.

These experiments verified the ability of a specific short peptide to displace a prebound antibody from its antigen. Other reagents tested were not effective at competing off the antibody. This observation supports  
5 the specific nature of the peptide antibody interaction.

The incorporation of the peptide epitope sequence into a recombinant protein will allow capture of that protein with the anti-glu-glu antibody and subsequent competitive  
10 release with peptide. Recombinant forms of the anti-CD34 antibody, 9069, can be constructed to include a glu-glu sequence. The anti-glu-glu antibody could be attached to a magnetic bead. Release of captured CD34+ cells would then be accomplished with addition of the glu-glu  
15 peptide. Released cells would still have the anti-CD34 antibody attached.

#### EXAMPLE 17

Anti-BrCa antibody releasing peptides.  
20 Biopanning as described in Example 1 above was performed to identify peptides that could release the 9187 anti-breast-cancer monoclonal antibody from cells carrying this breast cancer antigen. The hybridoma which produces the 9187 monoclonal antibody (Baxter Hyland, Hayward,  
25 California) was deposited with the American Type Culture Collection, Rockville, Maryland, under the provisions of the Budapest Treaty on the international recognition of the deposit of microorganisms for the purposes of patent procedure. The 9187 hybridoma was assigned deposit  
30 number ATCC HB-11884, effective May 9, 1995.

Table 28

The following is a list of potential 9187-releasing peptides which were identified by biopanning:

|    | <u>Hexapeptide Sequence</u> | <u># of clones</u> |
|----|-----------------------------|--------------------|
| 5  | R W R W R H                 | 27                 |
|    | A R F P R R                 | 3                  |
|    | R H H L Y R                 | 3                  |
|    | W Y R S H R                 | 2                  |
| 10 | T R V P R R                 | 4                  |
|    | T P R N P R                 | 1                  |
|    | L R R T F W                 | 1                  |
|    | L V R I Q F                 | 1                  |
|    | L V R V W F                 | 1                  |
| 15 | L T R T V F                 | 1                  |
|    | R T K T R F                 | 1                  |

## EXAMPLE 18

Selection of CD34+ Cell from Normal Mobilized Human  
Peripheral Blood Using Peptide Release Process

- 5 Validation of the peptide release process for the  
selection of CD34+ cells from human peripheral blood was  
performed on the Isolex<sup>®</sup> 300SA cell separator (Baxter  
Immunotherapy Division, Irvine, CA). Ten CD34+ cell  
selections using 9069N peptide as the releasing agent  
10 were performed using  
G-CSF mobilized peripheral blood from normal volunteer  
donors. A full apheresis unit was processed in each  
selection.
- 15 The starting peripheral blood mononuclear cell product  
contained  $2.4 \times 10^{10}$  to  $4.48 \times 10^{10}$  mononuclear cells with  
starting CD34+ cell content of 0.45% to 1.75%. The 9069N  
peptide used to release the captured cells was in a  
lyophilized form (N=6 experiments) or a liquid form (N=4  
20 experiments). FACS analysis and colony assays were  
performed on all selection products.

The G-CSF mobilized peripheral blood products were  
obtained from normal volunteer donors.

- 25 The 9069N Peptide (Ac-Gln-Gln-Gly-Trp-Phe-Pro-Lys-Asp)  
used as a lyophilized product was obtained from American  
Peptide.

The 9069N Peptide, Bachem, C/N.

- The 9069N Peptide used as a liquid product was obtained  
30 from Baxter (Immunotherapy?),

The 9C5 mAb (also described above as 9069 mAb, ATCC# HB-  
11646) was obtained from Baxter Immunotherapy Div.

Immune Globulin Intravenous (Gammagard®), Baxter, Hyland  
Div.

- 35 25% HSA, Baxter, Hyland Div.

4% Sodium Citrate, Baxter, C de 4B7867

Dulbecco's Phosphate Buffered Saline ( $\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$  free),  
Bio-Whittaker,

- Sterile Water, Baxter, Hyland Div., Cod 3475 & 3476  
Sheep Anti-Mous IgG Coated Paramagnetic Beads, Dynal,  
P/N 420-02  
Isolex 300SA Disposable Sets, Baxter, Immunotherapy Div.
- 5 Millex-6V 0.22  $\mu$ m Sterile Filter Unit, Millipore  
600 mL Transfer Pack, Baxter, Code 4R2023  
1,000 mL Transfer Pack, Baxter, Code 4R2032  
2,000 mL Transfer Pack, Baxter, Code 4R2041  
Sample Site Coupler, Baxter, Code 4C2405
- 10 X-Vivo 10, Bio-Whittaker, C/N 04-6950  
Plasma Transfer Sets, Baxter, Code 4C2243  
Sterile Syringe, Baxter  
12 x 75 mm P/P w/cap Tubes, Baxter, C/N T1340-102  
12 x 75 mm Culture Tubes, Baxter, C/N T1225-3
- 15 16 G 1  $\frac{1}{2}$  Precision Glide Needle, Becton Dickinson
- Simultest Control (Mouse IgG<sub>1</sub> & IgG<sub>2a</sub>), Becton Dickinson  
Simultest Leucogate Control, Becton Dickinson  
CD45-FITC, Becton Dickinson
- 20 CD34-PE, Becton Dickinson  
Calcein, Molecular Probes, Inc.  
Mouse IgG, Calbiochem
- Isolex<sup>®</sup> 300SA Cell Separator
- 25 Glas-Col<sup>®</sup> Lab Rotator  
Beckman GS-6R Centrifuge  
Sysmex F-500 Automated Particle Counter  
Terumo SCD 312, Sterile Connecting Device  
Dynal<sup>®</sup> MPC-1 Magnetic Cell Separator
- 30
- To prepare calcein (viability stain) 5  $\mu$ L of 4 mM calcein  
was added to 5 mL of DPBS to form a stock solution, which  
was stored in the dark at 4°C for no longer than 5 days.  
The working solution of calcein was prepared at a 1:8
- 35 dilution of 4  $\mu$ M calcein in DPBS which was stored in the  
dark at 4°C for no longer than 10 hours.

The each peripheral blood mononuclear cell (PBMC) product was transferred into a 600 mL transfer pack, then weighed to determine the blood product volume (1 g = 1 mL). A 0.5 mL aliquot was removed for total cell count and for viability determination using the acridine orange/propidium iodide (AO/PI) viability assay. The PBMC was washed once in the 600 mL transfer pack with 500 mL of  $\text{Ca}^{2+}$  and  $\text{Mg}^{2+}$  free DPBS containing 1% HSA and 0.2% sodium citrate (processing buffer), and centrifuged at room temperature for 10 min. at 1,000 rpm (200 x g) with no brake. Most of the supernatant was aspirated, and the cells were thoroughly resuspended in the remaining supernatant (usually < 85 mL). The cell volume was determined by weight, and 0.5 mL of resuspended cells was sterilely removed using a syringe for total cell and viability counts.

A 5% Gammagard® solution was prepared according to the manufacturer's instructions. Ten percent (v/v) of a 5% Gammagard® solution was added sterilely using a syringe into the bag of resuspended PBMC for a 0.5% Gammagard® blocking concentration. The Gammagard®/cell mixture was incubated for 15 min. at room temperature.

After blocking with Gammagard®, the cells were sensitized with 2.5 mg anti-CD34 monoclonal antibody, 9C5, regardless of the total cell number being processed. The sensitization volume with antibody was set at 100 mL, and the appropriate amount of processing buffer was sterilely added using a syringe to the Gammagard® blocked cell suspension followed by 2.5 mL of a 1 mg/mL 9C5 mAb (1 vial). The antibody-cell mixture was incubated "end-over-end" for 15 min. at room temperature on a rotator set at 4 rpm.

The antibody sensitized PBMC wer washed two times in 500 mL of processing buffer per wash to remove the unbound antib dies. The cells were centrifuged at room

temperature for 7.5 min. at 1,500 rpm (400 x g) on low brake. If the supernatant was still reddish after centrifugation, the PBMC were centrifuged again with no brake before decanting the supernatant. Occasionally this  
5 incomplete pelleting of cells was observed when processing  $> 3 \times 10^{10}$  PBMC. After the last wash, most of the supernatant was aspirated, and the pelleted cells were resuspended in the remaining buffer and weighed to determine the cell volume (usually  $\leq 80$  mL). A 0.5 mL  
10 aliquot of the cell suspension was sterilely removed using a syringe for total cell and viability counts.

One vial of sheep anti-mouse IgG coated paramagnetic beads ( $4 \times 10^9$  beads/vial) was used per selection  
15 procedure regardless of the cell number being processed. The beads were washed 3 times in 20 mL of processing buffer/wash using Dynal's MPC 1 magnet. After the last wash, the beads were resuspended in 10 mL of processing buffer and kept at room temperature until needed.

20 The sensitized cells were slowly injected into the Isolex<sup>®</sup> 300 primary chamber. Ten milliliters of washed sheep anti-mouse IgG coated paramagnetic beads was then injected into the chamber followed by 10 mL of Gammagard<sup>®</sup>  
25 to obtain a 1:10 v/v of Gammagard<sup>®</sup> to total rosetting volume. The rosetting was conducted at a volume of 100 mL. Capture of CD34+ cells from PBMC was performed according to the pre-set program in the Isolex<sup>®</sup> 300SA, as described below.

30 The cell/bead rosettes were washed three times in processing buffer according to the pre-set program in the Isolex<sup>®</sup> 300SA. The cell supernatant and wash supernatants were collected and pooled. The final supernatant volume  
35 was determined, and 0.5 mL was removed using a syringe for total cell count.



Release of CD34+ cells bound to the paramagnetic beads was performed in 100 mL of a 1 mg/mL 9069N peptid solution. For lyophilized 9069N peptide synthesized by American Peptide, ~105 mg of peptid was dissolved in  
5 ~10.5 mL of processing buffer to obtain a 10 mg/mL stock solution. The stock solution was sterile filtered through a 0.22  $\mu$ m sterile filter. For the lyophilized peptide synthesized by Bachem, ~110 mg of 9069N was added to 9.5 mL of Dulbecco's phosphate buffered saline (DPBS).  
10 The peptide was dissolved by adjusting the pH of the peptide to ~7 by dropwise addition of 1 N sodium hydroxide. Human serum albumin and sodium citrate were added to obtain 1% and 0.2% solutions, respectively. The final volume was adjusted to ~11 mL with DPBS, then  
15 sterile filtered as above. For the liquid peptide manufactured by Bachem, four vials, each containing 5 mL 9069N at a concentration of 5 mg/mL, were used.

After the last negative fraction wash, the prepared 9069N  
20 peptide stock solution was injected into the chamber containing ~60 mL of processing buffer. The final volume was adjusted to 100 mL with processing buffer to obtain a 1.0 mg/mL peptide concentration. The release of captured cells was performed according to the pre-set program in  
25 the Isolex<sup>®</sup> 300, except, the release volume was set at 100 mL and the incubation time was for 30 min. The released cells were collected in a 600 mL transfer pack, then sterilely transferred to 250 mL conical centrifuge tubes. The volume of the cell suspension was determined, and 0.5  
30 mL was removed for total cell count.

The positive cell fraction was centrifuged at room temperature for 5 min. at 1,500 rpm (400 x g) with brakes on low. Most of the supernatant was slowly aspirated,  
35 and the pelleted cells were resuspended in the remaining supernatant. Th positive cell fraction was transferred into a 50 mL centrifuge tube and washed once in 50 mL of processing buffer at room temp rature for 5 min. at 1,500

rpm (400 x g) with brakes on low . After washing, the positive cell fraction was resuspended in 1% HSA/X-Vivo 10. A 0.5 mL aliquot was removed for total cell and viability counts.

5

The % capture was calculated based on the equation below:

$$\% \text{ Capture} = 1 \frac{(\# \text{ of MNC in negative fraction} \times \% \text{ CD34+ cells in neg. fract})}{(\# \text{ of MNC in post-platelet wash} \times \% \text{ CD34+ cells in post-platelet})} \times 100$$

10 The % yield was calculated based on the equation below:

$$\% \text{ Yield} = \frac{(\# \text{ of cells in positive fraction} \times \% \text{ CD34+ cells in positive fraction})}{(\# \text{ of MNC in post-platelet wash} \times \% \text{ CD34+ cells in post-platelet})} \times 100$$

15 The % purity was equal to the % CD34+ cells in the positive fraction.

Viability was equal to (Live MNC divided by Tot. MNC) x 100%.

20 Statistical analysis of the capture, purity, yield, and cloning efficiency of selected CD34+ cells was performed by a two tailed unpaired student's t-test. The confidence interval was set at 95%.

Cloning Efficiency was equal to

25 (Total colonies counted ÷ # of cells plated) x100%.

### Results

30 The peptide-mediated release process for selecting CD34+ cells from G-CSF/GM-CSF mobilized human peripheral blood was a four-hour procedure performed at room temperature. The process included one platelet wash and two antibody washes at 7.5 min./wash. Sensitization with 9C5 mAb (anti-CD34) and rosetting with sheep anti-mouse IgG coated paramagnetic beads were performed in 100 mL total volume for 15 min. and 30 min., respectively. The cell-bead rosettes were incubated with 9069N peptide for 30 min. to release the cells from the beads. The process utilized one vial of 9C5 anti-CD34 monoclonal antibody

(2.5 mg/vial), one vial of sheep anti-mouse IgG coated paramagnetic beads ( $4 \times 10^9$  beads/vial), and 100 mL of a 1.0 mg/mL 9069N peptide as described in "Methods." The process was performed on full apheresis products.

5

The total mononuclear cell numbers were acquired before and after each washing procedure to track mononuclear cell loss at different stages of the selection process. A summary of the number of mononuclear cells (MNC) in the starting apheresis product, washed MNC, post-antibody washed MNC, pre-wash positive fraction, and post wash positive fraction is reported in Table 29 below. On the average, the number of mononuclear cells at the beginning of the process to the end of the platelet wash remained the same. Average cell losses of 20.68% and 19.49% were observed in the post antibody washed MNC and post-wash positive fraction, respectively. These data suggest that ~20% of MNC are lost during the antibody washes, and another 20% MNC are lost in the positive fraction wash. No MNC were lost in the platelet wash. Both antibody and positive fraction washes were centrifuged at 1,500 rpm with low brake. Hence, centrifugation of these washes at higher rpm may minimize cell loss.

25 A total of ten selection procedures were performed on G-CSF mobilized human peripheral blood products. In six of the 10 procedures, the releasing agent was prepared from a lyophilized 9069N peptide. The remaining four procedures were performed using a liquid filled 9069N peptide as the releasing agent. A summary of the number of mononuclear cells and % CD34+ cells after the platelet wash and the CD34+ cell captures, purities, and yields from the ten selection procedures is shown in Table 30 below. The peripheral blood products after the platelet wash contained  $2.43 \times 10^{10}$  to  $4.48 \times 10^{10}$  mononuclear cells with an average of  $3.48 \pm 0.80 \times 10^{10}$ . The CD34+ cells in the post-platelet washed MNC ranged from 0.3% to 1.75% with an average of  $0.86 \pm 0.51\%$ . The capture of CD34+

cells ranged from 0 to 90.19% with an average of  $63.91 \pm 27.42\%$ . The yield of CD34+ cells ranged from 24.99% to 66.32% with an average of  $47.63 \pm 13.85\%$ . These values were acquired from combining results obtained from using lyophilized peptide preparation (N = 6) and results obtained from liquid peptide preparation (N = 4) as releasing agents. A comparison of the yield and purity of selected CD34+ cells released by the two formulations of 9069N indicated that an apparent difference in CD34+ cell yield was due to the washing process, and not to the actual release step.

The purities ranged from 68.41% to 96.08% with an average purity of  $85.70 \pm 10.04\%$ . According to this data, the three washing steps conducted in the Isolex<sup>®</sup> 300SA were sufficient in removing most non-target cells from the cell/bead mixture.

Colony assays were performed on the CD34+ cell final products. The colonies were counted after day 14 of culture. The colony counts were based on the average colonies counted from triplicate petri dishes containing 2,000 cells plated per petri dish. The types of colonies counted were CFU-GM, Mixed, BFU-E, and Clusters. The average numbers of colonies counted from the 10 CD34+ cell final products were  $207 \pm 138$  CFU-GMs,  $8 \pm 4$  Mixed,  $118 \pm 56$  BFU-Es, and  $52 \pm 36$  Clusters. The average total colonies formed was  $386 \pm 202$ , and the cloning efficiency was calculated to be  $19.28 \pm 10.12\%$ . There was no significant difference between the cloning efficiency of CD34+ cells released with the lyophilized peptide and the liquid filled peptide ( $p = 0.44$ ). According to this data, the CD34+ cell final products obtained had an average colony-forming potential of approximately 20%.

The mononuclear cell populations in the starting product, platelet wash, negative fraction, and positive fraction were analyzed using a lymphocyte gate (low forward and

side scatter), monocyte gate, and granulocyte gate based  
n sid scatter vs. FL2 on the leucogate stained  
fractions using th FACScan. An average of ~ 60% MNC in  
the starting, platelet washed, and negative fraction MNC  
5 products was observed in the lymphocyte gate, while an  
average of  $92.44 \pm 4.56\%$  MNC in the positive fraction was  
detected in the lymphocyte gate. According to this data,  
the apheresis products processed in the peptide-release  
validation had approximately 60% of the starting MNC  
10 product in the lymphocyte gate, and ~92% of the MNC in  
the positive fraction was detected in the lymphocyte  
gate.

The average MNC found in the monocyte gate was  $29.56 \pm$   
15  $6.90\%$ , and after the platelet wash, the average MNC gated  
was  $27.90 \pm 6.80\%$ . The average MNC in the monocyte gate  
of the negative fraction was  $26.11 \pm 7.56\%$ , while the  
positive fraction had an average of  $5.13 \pm 3.40\%$  MNC in  
the monocyte gate. Thus, the majority of MNC found in  
20 the monocyte gate were removed during the washing stage  
of the cell/bead rosettes. The average starting and post  
platelet MNC in the granulocyte gate were  $9.13 \pm 3.38\%$   
and  $9.61 \pm 5.74\%$ , respectively. The negative fraction  
had an average of  $10.44 \pm 6.45\%$  MNC in the granulocyte  
25 gate, while the positive fraction had an average of  $2.26$   
 $\pm 2.24\%$  MNC gated. This data suggests that the platelet  
wash did not deplete the apheresis product of  
granulocytes; however, the granulocytes were removed  
during the washing of cell/bead rosettes.

30 No correlation was observed between the ratio of  
lymphocytes, monocytes, and granulocytes in the starting  
mononuclear cell products and the CD34+ cell purity,  
yield, and capture.

35 These results are summarized in tables 29 and 30 below.

TABLE 29

MONONUCLEAR CELL NUMBERS IN THE STARTING PRODUCT, POST-PLATELET WASH,  
POST-ANTIBODY WASH, CD34+ CELL PRE-WASH, AND CD34+ POST-WASH

| DONOR I.D.             | STARTING<br>MNC       | WASHED MNC            | POST AB -<br>MNC      | POS -<br>BEFORE WASH | CD34+ -<br>POST-WASH |
|------------------------|-----------------------|-----------------------|-----------------------|----------------------|----------------------|
| UOM-2402               | $3.70 \times 10^{10}$ | $3.40 \times 10^{10}$ | $2.85 \times 10^{10}$ | ND                   | $1.48 \times 10^8$   |
| PBSC-M-49-2            | $2.76 \times 10^{10}$ | $2.97 \times 10^{10}$ | $1.94 \times 10^{10}$ | ND                   | $6.93 \times 10^7$   |
| UOM-2708               | $2.00 \times 10^{10}$ | $2.40 \times 10^{10}$ | $1.82 \times 10^{10}$ | ND                   | $5.60 \times 10^7$   |
| UOM-2967               | $2.42 \times 10^{10}$ | $2.60 \times 10^{10}$ | $2.05 \times 10^{10}$ | $3.06 \times 10^8$   | $2.42 \times 10^8$   |
| UOM-3044               | $5.15 \times 10^{10}$ | $4.48 \times 10^{10}$ | $2.90 \times 10^{10}$ | $1.45 \times 10^8$   | $9.10 \times 10^7$   |
| PBSC-M-52-2            | $4.08 \times 10^{10}$ | $4.4 \times 10^{10}$  | $3.70 \times 10^{10}$ | $2.10 \times 10^8$   | $2.80 \times 10^8$   |
| UOM-4168 (Liq. Pep)    | $2.51 \times 10^{10}$ | $2.56 \times 10^{10}$ | $2.16 \times 10^{10}$ | $2.10 \times 10^8$   | $1.33 \times 10^8$   |
| PBSC-M-55-1 (Liq. Pep) | $3.60 \times 10^{10}$ | $3.96 \times 10^{10}$ | $2.80 \times 10^{10}$ | $8.18 \times 10^7$   | $4.60 \times 10^7$   |
| PBSC-M-56-2 (Liq. Pep) | $4.00 \times 10^{10}$ | $4.20 \times 10^{10}$ | $4.00 \times 10^{10}$ | $2.00 \times 10^8$   | $1.53 \times 10^8$   |
| UOM-4149 (Liq. Pep)    | $3.50 \times 10^{10}$ | $3.85 \times 10^{10}$ | $3.40 \times 10^{10}$ | $1.60 \times 10^8$   | $1.12 \times 10^8$   |
| AVERAGE                | $3.37 \times 10^{10}$ | $3.48 \times 10^{10}$ | $2.76 \times 10^{10}$ | $1.88 \times 10^8$   | $1.33 \times 10^8$   |
| Std. Dev.              | $9.51 \times 10^9$    | $8.02 \times 10^9$    | $7.66 \times 10^9$    | $6.95 \times 10^7$   | $7.74 \times 10^7$   |
| S.E.M.                 | $3.01 \times 10^9$    | $2.54 \times 10^9$    | $2.42 \times 10^9$    | $2.63 \times 10^7$   | $2.45 \times 10^7$   |
| Coeff. Var. (%)        | 28.20                 | 23.03                 | 27.73                 | 37.04                | 58.16                |

ND = Not Done

TABLE 30

CALCULATIONS OF THE CAPTURE, YIELD, AND PURITY FROM THE TEN OPTIMIZED ALTERNATE RELEASE PROCESSES (OARP) PROCEDURES. CALCULATIONS OF CAPTURE AND YIELD WERE BASED ON THE MNC WASH VALUES.

| DONOR I.D.             | MNC WASH              | CD34 PRE (%) | CD34 POST (%) | YIELD (%) | CAPTURE (%) |
|------------------------|-----------------------|--------------|---------------|-----------|-------------|
| UOM-2402               | $3.40 \times 10^{10}$ | 0.63%        | 68.41%        | 56.39%    | 66.62%      |
| PBSC-M-49-2            | $2.97 \times 10^{10}$ | 0.46%        | 85.40%        | 66.32%    | 70.24%      |
| UOM-2708               | $2.40 \times 10^{10}$ | 0.45%        | 96.08%        | 65.70%    | 87.63%      |
| UOM-2967               | $2.60 \times 10^{10}$ | 1.68%        | 91.76%        | 50.84%    | 90.19%      |
| UOM-3044               | $4.48 \times 10^{10}$ | 0.30%        | 69.48%        | 47.04%    | 88.26%      |
| PBSC-M-52-2            | $4.4 \times 10^{10}$  | 1.75%        | 95.78%        | 34.83%    | 45.71%      |
| UOM-4168 (Liq. Pep)    | $2.56 \times 10^{10}$ | 0.90%        | 89.58%        | 51.71%    | * - 0.00%   |
| PBSC-M-55-1 (Liq. Pep) | $3.96 \times 10^{10}$ | 0.56%        | 79.56%        | 24.99%    | 77.64%      |
| PBSC-M-56-2 (Liq. Pep) | $4.20 \times 10^{10}$ | 1.09%        | 89.83%        | 31.17%    | 47.62%      |
| UOM-4149 (Liq. Pep)    | $3.85 \times 10^{10}$ | 0.73%        | 91.11%        | 47.33%    | 65.21%      |
| AVERAGE                |                       |              | 85.70%        | 47.63%    | 63.91%      |
| Std. Dev.              |                       |              | 10.04%        | 13.85%    | 27.42%      |

\* - Adjusted to 0.00 due to an over estimation of CD34+ cells in the negative fraction. The CD34 stained negative fraction tube for FACS in this particular experiment was not washed as thoroughly as the other fractions resulting in high nonspecific binding of the anti-CD34-PE stain.

5

## EXAMPLE 19

Human CD34+ stem cell selection utilizing peptide release  
incorporating a specific negative purging processing step.

10 The three parameters evaluated were one step positive  
selection and either simultaneous or sequential  
positive/negative CD34+ cell selection. Positive selection  
incorporated cell sensitization with an anti-CD34 antibody  
(9C5, Baxter Immunotherapy Division, Irvine, CA), rosetting  
15 with a sheep anti-mouse coated paramagnetic micro sphere  
(SAMIgGST beads, Dynal, Oslo, Norway) and cells were released  
from the bead complexes using the peptide (9069N, Baxter  
Immunotherapy Division, Irvine, CA).

20 Positive CD34+ cell selection alone, has been shown to  
reduce tumor burden of autologous grafts. An additional  
purging step could potentially reduce tumor level to  
undetectable. Positive/negative selections allowed for the  
additional removal of contaminating cells through the use of  
monospecific antibodies. Positive/negative could be  
25 accomplished two ways:  
Simultaneous; i.e. both the CD 34+ antibody and the purging  
antibody(s) were added together at the start of the  
procedure, or  
Sequential; the positive selection was performed first  
30 followed by a negative selection.

Non-Hodgkins Lymphoma and other B-cell malignancies are  
examples of diseases which would benefit from  
positive/negative selection of hematopoietic cells. B-cell  
35 negative selection is expected to be useful for preparation  
of purged CD34+ cell populations intended for autograft  
after high-dose chemotherapy or radiation.

Methods:

Human peripheral blood apheresis products were obtained from  
40 human growth factor mobilized normal donors (n=3). Th



mononuclear cell preparations (MNC) were washed once using working buffer consisting of Dulbecco's phosphate buffered saline (Biowhitaker, Walkersville, MD) with 1% human serum albumin and 5% sodium citrate (Baxter Hyland, Los Angeles, CA, v/v, 200 x g, 10 minutes at room temperature). The MNC were then divided into  $6 \times 10^9$  cell aliquots for the procedure and each was treated as follows:

Positive Selection: The cells were then blocked with Gammagard<sup>®</sup> (0.5%, 15 min, RT; Baxter Hyland Division, Los Angeles, CA). Anti-CD34 monoclonal antibody (0.5 mg of 9069 antibody [9C5], ATCC # HB 11646) was added to the cell suspension, the volume adjusted to 20 mL with working buffer and incubated for 15 minutes at room temperature with slow end-over-end rotation. The cells were washed twice (5 min, 400 x g) and re-suspended in approximately 5 mL working buffer. SAM beads were used to rosette the sensitized target CD34+ cells. Beads ( $8 \times 10^8$  per test) were washed 3 times in working buffer using a 2 minute exposure to the MPC-1 magnet (Dynal, Oslo, Norway) for collection. The sensitized cells, 2 mL of 5% Gammagard<sup>®</sup>, and the washed beads were added to an Isolex<sup>®</sup> 50 chamber. The volume was then adjusted to 20 mL with working buffer and incubated for 30 minutes at room temperature with slow end-over-end rotation. The bead/cell rosettes were collected using a 2 minute exposure to the Isolex<sup>®</sup> 50 magnets. Unbound cells were removed by draining the effluent. The rosettes were washed 3 times with 20 mL of working buffer using the magnet as described above. The effluent and negative washes were pooled for analysis. The release was carried out by incubation of the bead/cell rosettes with 9069N peptide (1 mg/mL; 20 mL working buffer) for 30 minutes at room temperature. The beads were collected using the magnets and the release cells were drained from the chamber. The beads were washed once and the wash was pooled with the released cells. The effluent cells were washed once and analyzed for

total cell number and phenotype. CD34+ cells and B-cells are monitored throughout the process in order to evaluate performance (purity and yield) and purging (B-cell reduction).

5

Positive/Negative Selection - Simultaneous: This procedure was as described above with the exception that 200µg each of murine anti-CD10, CD19 and CD20 B-cell monoclonal antibodies (Baxter Immunotherapy, Munich, Germany) were added together with the 9069 [9C5] anti-CD34+ sensitization step. The murine monoclonal antibodies were deposited with the Deutsche Sammlung von Mikroorganismen und Zellkulturen GmbH of Braunschweig, Germany, under the provisions of the Budapest Treaty on the international recognition of the deposit of microorganisms for the purposes of patent procedure. The antibodies were assigned the following deposit numbers on May 23, 1995: anti-CD10 (W8E7E7), DSM ACC2215; anti-CD19 (HD237), DSM ACC2216; anti-CD20 (L27), DSM ACC2217.

20

Positive/Negative Selection - Sequential: The process incorporated the positive selection procedure listed above followed by a negative selection step. Once the CD34+ cells had been released and collected as indicated in the positive selection section above, the cells were incubated with 200µg of each B-Cell purging antibodies (CD10, 19 and 20, same three antibodies as above) in 10 mL for 15 minutes at room temperature. The positive selected fraction was then washed 2 times in working buffer to remove any unbound antibody. SAM beads ( $4 \times 10^8$ ) and the B-cell antibody sensitized cells were incubated in an Isolex<sup>®</sup> 50 chamber in 10 mL volume at room temperature for 30 minutes. The B-cell rosettes were collected with a magnet and effluent was drained into a test tube. The beads were washed once and pooled with the effluent cells. The final produce was washed and analyzed as listed above.

30

35

The results were summarized in the tables below.

Table 31

**POSITIVE SELECTION - CD34 PROFILE**

| DONOR #  | *STARTING<br>CELL # | CELL #<br>NEGATIVE<br>FRACTION | CELL #<br>FINAL<br>PRODUCT | %<br>CD34+<br>STARTING<br>MATERIAL | %<br>NEGATIVE<br>FRACTION | %<br>CD34<br>FINAL<br>PRODUCT | %<br>YIELD | %<br>CAPTURE |
|----------|---------------------|--------------------------------|----------------------------|------------------------------------|---------------------------|-------------------------------|------------|--------------|
| UOM 4711 | 6 x 10 <sup>9</sup> | 5.38 x 10 <sup>9</sup>         | 1.80 x 10 <sup>7</sup>     | 0.82                               | 0.5                       | 82.4                          | 30.15      | 45.33        |
| UOM 4603 | 6 x 10 <sup>9</sup> | 5.39 x 10 <sup>9</sup>         | 1.8 x 10 <sup>7</sup>      | 0.88                               | 0.5                       | 94.19                         | 32.11      | 48.96        |
| UOM 4936 | 6 x 10 <sup>9</sup> | 5.44 x 10 <sup>9</sup>         | 9.9 x 10 <sup>6</sup>      | 0.28                               | 0.07                      | 77.91                         | 45.91      | 77.33        |

\* Post-MNC Wash

Table 32

**POSITIVE SELECTION - B-CELL PROFILE**

| DONOR #  | %<br>B-CELL<br>STARTING<br>MATERIAL | %<br>B-CELL<br>NEGATIVE<br>FRACTION | %<br>B-CELL<br>FINAL PRODUCT | %<br>B-CELL<br>CAPTURE | B-CELL<br>LOG DEPLETION |
|----------|-------------------------------------|-------------------------------------|------------------------------|------------------------|-------------------------|
| UOM 4711 | 12.40                               | 11.81                               | 4.22                         | 99.90                  | 3.0                     |
| UOM 4603 | 6.75                                | 6.67                                | 1.27                         | 99.94                  | 3.25                    |
| UOM 4936 | 9.79                                | 9.10                                | 4.55                         | 99.92                  | 3.12                    |

Table 33

POSITIVE/NEGATIVE SELECTION - SIMULTANEOUS - CD34 PROFILE

| DONOR #  | *STARTING<br>CELL # | CELL #<br>NEGATIVE<br>FRACTION | CELL #<br>FINAL<br>PRODUCT | %<br>CD34+<br>STARTING<br>MATERIAL | %<br>NEGATIVE<br>FRACTION | %<br>CD34<br>FINAL<br>PRODUCT | %<br>YIELD | %<br>CAPTURE |
|----------|---------------------|--------------------------------|----------------------------|------------------------------------|---------------------------|-------------------------------|------------|--------------|
| UOM 4711 | $6 \times 10^9$     | $4.78 \times 10^9$             | $2.11 \times 10^7$         | 0.82                               | 0.61                      | 61.68                         | 26.45      | 40.74        |
| UOM 4603 | $6 \times 10^9$     | $5.12 \times 10^9$             | $2.10 \times 10^7$         | 0.88                               | 0.59                      | 77.42                         | 30.79      | 42.79        |
| UOM 4936 | $6 \times 10^9$     | 5.02                           | $9.6 \times 10^6$          | 0.28                               | 0.19                      | 46.27                         | 26.44      | 43.23        |

\* Post-MNC Wash

Table 34

POSITIVE/NEGATIVE SELECTION - SIMULTANEOUS - B-CELL PROFILE

| DONOR #  | %<br>B-CELL<br>STARTING<br>MATERIAL | %<br>B-CELL<br>NEGATIVE<br>FRACTION | %<br>B-CELL<br>FINAL PRODUCT | %<br>B-CELL<br>CAPTURE | %<br>B-CELL<br>LOG DEPLETION |
|----------|-------------------------------------|-------------------------------------|------------------------------|------------------------|------------------------------|
| UOM 4711 | 12.40                               | 0.41                                | 8.63                         | 97.37                  | 2.61                         |
| UOM 4603 | 6.75                                | 0.41                                | 15.01                        | 94.82                  | 2.11                         |
| UOM 4936 | 9.79                                | 0.42                                | 19.11                        | 96.41                  | 2.51                         |

Table 35

POSITIVE/NEGATIVE SELECTION - SEQUENTIAL - CD34 PROFILE

| <u>DONOR #</u> | <u>*STARTIN<br/>G CELL #</u> | <u>CELL #<br/>NEGATIVE<br/>FRACTION</u> | <u>CELL #<br/>FINAL<br/>PRODUCT</u> | <u>%<br/>CD34+<br/>STARTIN<br/>G<br/>MATERIA<br/>L</u> | <u>%<br/>NEGATIV<br/>E<br/>FRACTIO<br/>N</u> | <u>%<br/>CD34<br/>FINAL<br/>PRODUC<br/>T</u> | <u>%<br/>YIELD</u> | <u>%<br/>CAPTURE</u> |
|----------------|------------------------------|---|-------------------------------------|--|--|--|--------------------|----------------------|
| UOM 4711       | $6 \times 10^9$              | $5.17 \times 10^9$                      | $1.54 \times 10^7$                  | 0.82   | 0.41   | 94.5   | 29.58              | 56.92                |
| UOM 4603       | $6 \times 10^9$              | $5.3 \times 10^9$                       | $1.62 \times 10^7$                  | 0.88   | 0.47   | 96.99  | 29.76              | 52.82                |
| UOM 4936       | $6 \times 10^9$              | 5.48                                    | $6.3 \times 10^6$                   | 0.28   | 0.16   | 88.95  | 33.36              | 47.81                |

\* Post-MNC Wash

Table 36

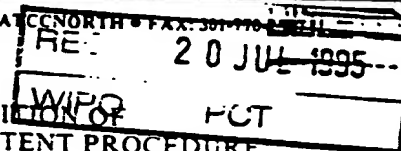
POSITIVE/NEGATIVE SELECTION - SEQUENTIAL - B-CELL PROFILE

| <u>DONOR #</u> | <u>%<br/>B-CELL<br/>STARTING<br/>MATERIAL</u> | <u>%<br/>B-CELL<br/>NEGATIVE<br/>FRACTION</u> | <u>%<br/>B-CELL<br/>FINAL PRODUCT</u> | <u>%<br/>B-CELL<br/>CAPTURE</u> | <u>B-CELL<br/>LOG DEPLETION</u> |
|----------------|---|---|---------------------------------------|---------------------------------|---------------------------------|
| UOM 4711       | 12.40   | 11.41   | 0.1                                   | 98.29                           | 4.7                             |
| UOM 4603       | 6.75  | 6.60  | 0.11                                  | 93.59                           | 4.4                             |
| UOM 4936       | 9.79  | 8.85  | 0.91                                  | 86.0                            | 4.01                            |



# American Type Culture Collection

12301 Parklawn Drive • Rockville, MD 20852 USA • Telephone: (301)231-5520 Telex: 898-855 ATCCNORTH • FAX: 301-716-2331



BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF  
THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

## INTERNATIONAL FORM

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT ISSUED PURSUANT TO RULE 7.3  
AND VIABILITY STATEMENT ISSUED PURSUANT TO RULE 10.2

To: (Name and Address of Depositor or Attorney)

Janice Guthrie, Ph.D.  
Baxter Healthcare Corporation  
2132 Michelson Drive  
Irvine, CA 92715-1341

Deposited on Behalf of: Baxter International Inc.

Identification Reference by Depositor:

ATCC Designation

Mouse:mouse hybridoma, 9069

HB 11646

The deposit was accompanied by: ☐ a scientific description ☐ a proposed taxonomic description indicated above.

The deposit was received June 7, 1994 by this International Depository Authority and has been accepted.

### AT YOUR REQUEST:

☒ We will inform you of requests for the strain for 30 years.

The strain will be made available if a patent office signatory to the Budapest Treaty certifies one's right to receive, or if a U.S. Patent is issued citing the strain.

If the culture should die or be destroyed during the effective term of the deposit, it shall be your responsibility to replace it with living culture of the same.

The strain will be maintained for a period of at least 30 years after the date of deposit, and for a period of at least five years after the most recent request for a sample. The United States and many other countries are signatory to the Budapest Treaty.

The viability of the culture cited above was tested June 13, 1994. On that date, the culture was viable.

International Depository Authority: American Type Culture Collection, Rockville, Md. 20852 USA

Signature of person having authority to represent ATCC:

Bobbie A. Brandon  
Bobbie A. Brandon, Head, ATCC Patent Depository

Date: June 14, 1994



*Budapest Treaty Deposits*

**American Type Culture Collection**

12301 Parklawn Drive, Rockville, MD 20852 USA, Telephone (301) 231-5520 Fax (301) 770-2587

**TO DEPOSIT OR TO CONVERT A DEPOSIT TO MEET THE REQUIREMENTS OF  
BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE  
DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE**

- \*1. Name of deposit (Microorganism, cell, seed, plasmid, etc). mouse:mouse hybridoma
  2. Strain designation given by the depositor (number, symbols, etc). 9069
  3. Is this an original deposit under the Budapest Treaty? yes
  4. Is this a request for a conversion of a deposit already at the ATCC to meet the requirements of the Budapest Treaty? (If so, indicate ATCC designation). yes: ATCC #1858
  5. Is this deposit a mixture of microorganisms or cells? cells (animal)
  6. Details necessary to cultivate, test for viability and store deposit (if mixture, description of components and a method to check presence). Culture medium is DMEM H.G. with 10% FBS plus L-glutamine, 200mM
  7. An indication of the properties of the strain which are or may be dangerous to health or the environment. N/A
  - \*8. Sufficient description so that ATCC may confirm deposit is what you state it is (i.e., Gram negative rod). Typical suspension culture resembling mouse hybridoma
    - a. If this is a cell culture, is it being cultured in the presence of antibiotics (list the antibiotics). N/A
    - b. If hybridoma, what is the isotype of antibody produced? mouse IgG<sub>1</sub>, lambda
  - \*9. Is this strain zoopathogenic? No phytopathogenic? No
  10. Does this strain contain plasmids relevant to the patent process? No  
If so, what physical containment level is required (National Institutes of Health Guidelines Involving Recombinant DNA Molecules (i.e., P1, P2, P3 or P4 facility)?
  - \*11. Isolated from? N/A
- \* The answers to these questions are recommended but not required.

ATCC USE ONLY

ATCC DEPOSITION

RECEIVED

ATCC DEPOSITION

**FEES:** 30 years' storage \$600 - 30 years' notification \$330 - Viability testing \$100 to \$250 dependent upon material. - Expedite ATCC number \$10 - Return sample for approval (if not submitted frozen or freeze-dried) \$30 - Prepare additional samples of cells/hybridomas \$500  
**STORAGE:** Cultures are stored for 30 years from date of deposit and for five years after the last request for a sample, as required under the rules of patent offices in most countries.



123



# Budapest Treaty Deposits

## American Type Culture Collection

12301 Parklawn Drive, Rockville, MD 20852 USA, Telephone (301) 251-5520 Fax (301) 770-2587

### TO DEPOSIT OR TO CONVERT A DEPOSIT TO MEET THE REQUIREMENTS OF BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

1. Name of deposit (Microorganism, cell, seed, plasmid, etc). mouse:mouse hybridoma
  2. Strain designation given by the depositor (number, symbols, etc). 9069
  3. Is this an original deposit under the Budapest Treaty? yes
  4. Is this a request for a conversion of a deposit already at the ATCC to meet the requirements of the Budapest Treaty? (If so, indicate ATCC designation). yes: ATCC #1858
  5. Is this deposit a mixture of microorganisms or cells? cells (animal)
  6. Details necessary to cultivate, test for viability and store deposit (if mixture, description of components and a method to check presence). Culture medium is DMEM H.G. with 10% FBS plus L-glutamine, 200mM
  7. An indication of the properties of the strain which are or may be dangerous to health or the environment. N/A
  8. Sufficient description so that ATCC may confirm deposit is what you state it is (i.e., Gram negative rod). Typical suspension culture resembling mouse hybridoma
    - a. If this is a cell culture, is it being cultured in the presence of antibiotics (list the antibiotics). N/A
    - b. If hybridoma, what is the isotype of antibody produced? mouse IgG<sub>1</sub>, lambda
  9. Is this strain zoopathogenic? No phytopathogenic? No
  10. Does this strain contain plasmids relevant to the patent process? No  
If so, what physical containment level is required (National Institutes of Health Guidelines Involving Recombinant DNA Molecules (i.e., P1, P2, P3 or P4 facility)?
  11. Isolated from? N/A
- \* The answers to these questions are recommended but not required.

ATCC USE ONLY

ATCC DEPOSIT

RECEIVED

ATCC DEPOSIT

**FEES:** 30 years' storage \$600 - 30 years' notification \$330 - Viability testing \$100 to \$250 dependent upon material. - Expedite ATCC number \$10 - Return sample for approval (if not submitted frozen or freeze-dried) \$30 - Prepare additional samples of cells/hybridomas \$500  
**STORAGE:** Cultures are stored for 30 years from date of deposit and for five years after the last request for a sample, as required under the rules of patent offices in most countries.

12. In addition to those entitled to a sample under the Budapest Treaty and the European Patent Convention, do you wish the strain made available to:
- a. Anyone who requests a culture (no restrictions on distribution from date of deposit or conversion to Budapest)?  
NO
- b. Requests to satisfy Patent Offices in countries not signatory to the Budapest Treaty? Please state which countries: N/A

After a U.S. Patent issues, ATCC makes the culture available to anyone who requests it.

13. Do you wish ATCC to inform you of all requests for this strain? (If you waive the right, the fee is reduced). Yes
14. Would you like expedited notification (\$10 fee) of your ATCC number (ATCC must observe viability first)? Yes  
Name of individual: Janice Guthrie, Ph.D.  
Fax No. (714) 474-6449 Telephone No. (714) 474-6435
15. Deposit and viability certificates should be directed to (include phone & fax number):  
Janice Guthrie, Ph.D., Patent Agent, Law Dept.  
Baxter Healthcare Corporation  
2132 Michelson Drive  
Irvine, CA 92715-1341 Phone: (714) 474-6435 Fax: (714) 474-6449
16. Payment by check, or credit card (MasterCard, VISA or American Express), must accompany the deposit unless prior arrangements for billing have been made and approved. If arrangements have been made to bill you for services an invoice should be sent to (include P.O. #):  
Check # 01860 in the amount of \$1540.00 enclosed.

Credit Card # (MasterCard, VISA or American Express)

Expiration Date

Type or print the name shown on credit card

Signature

17. Name and address of your attorney of record: Janice Guthrie, Ph.D.  
Baxter Healthcare Corporation, 2132 Michelson Drive, Irvine, CA 92715
- Owner of deposit. (Verify with your management who owns the deposit. The owner should be listed, which often is the company or institute, not the individual) Must be completed. Baxter International Inc., a  
corporation of Delaware, having a principal place of business at  
Deerfield, Illinois.

19. Additional comments:

*I understand and agree that the deposit may not be withdrawn by me for a period specified in Rule 9.1 of the Budapest Treaty (at least 30 years after the date of deposit), and that if a strain should die or be destroyed during the life of the patent, or the period of time so specified, it is my responsibility to replace it with a living culture of the same character or cell. In the cases of viruses, cell cultures, plasmids, embryos, and seeds, it is my responsibility to ensure a sufficient quantity for distribution for the period of time specified above.*

June 6, 1994 Janice Guthrie

Date

Typed Name

Signature

Address: Baxter Healthcare Corporation

2132 Michelson Drive, Irvine, CA 92715-1341

THIS FORM MUST BE COMPLETED IN ENGLISH

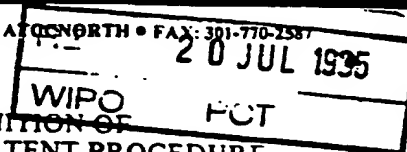
ADDRESS SHIPMENTS AND FORM TO THE ATTENTION OF:

Ms. Ebbbie A. Brandon  
American Type Culture Collection  
12301 Parklawn Drive  
Rockville, MD 20852 U.S.A.



# American Type Culture Collection

12301 Parklawn Drive • Rockville, MD 20852 USA • Telephone: (301)231-5520 Telex: 898-055 ATCCNORTH • FAX: 301-770-2587



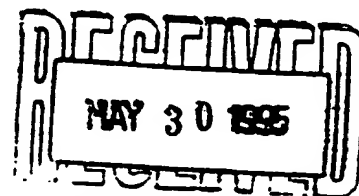
BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF  
THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

## INTERNATIONAL FORM

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT ISSUED PURSUANT TO RULE 7.3  
AND VIABILITY STATEMENT ISSUED PURSUANT TO RULE 10.2

To: (Name and Address of Depositor or Attorney)

Baxter International, Inc.  
Attention: Janice Guthrie  
P.O. Box 15210  
Irvine, CA 92713-5210



Deposited on Behalf of: Baxter International, Inc.

Identification Reference by Depositor:

ATCC Designation

Mouse:mouse hybridoma, 9187  
Mouse:mouse hybridoma, 9079

HB 11884  
HB 11885

The deposits were accompanied by: ☐ a scientific description ☐ a proposed taxonomic description indicated above.

The deposits were received May 9, 1995 by this International Depository Authority and have been accepted.

### AT YOUR REQUEST:

☒ We will inform you of requests for the strains for 30 years.

The strains will be made available if a patent office signatory to the Budapest Treaty certifies one's right to receive, or if a U.S. Patent is issued citing the strains and ATCC is instructed by the United States Patent & Trademark Office or the depositor to release said strain.

If the cultures should die or be destroyed during the effective term of the deposit, it shall be your responsibility to replace them with living cultures of the same.

The strains will be maintained for a period of at least 30 years after the date of deposit, and for a period of at least five years after the most recent request for a sample. The United States and many other countries are signatory to the Budapest Treaty.

The viability of the cultures cited above was tested May 16, 1995. On that date, the cultures were viable.

International Depository Authority: American Type Culture Collection, Rockville, Md. 20852 USA

Signature of person having authority to represent ATCC:

Annette L. Bade, Director, Patent Depository

Date: May 19, 1995

cc: Janice Guthrie, Ph.D.

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*Budapest Treaty Deposits*

**American Type Culture Collection**

12301 Parklawn Drive, Rockville, MD 20852 USA, Telephone (301) 231-6320 Fax (301) 770-2587

**TO DEPOSIT OR TO CONVERT A DEPOSIT TO MEET THE REQUIREMENTS OF  
BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE  
DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE**

- \*1. Name of deposit (Microorganism, cell, seed, plasmid, etc). mouse:mouse hybridoma
2. Strain designation given by the depositor (number, symbols, etc). 9187
3. Is this an original deposit under the Budapest Treaty? yes
4. Is this a request for a conversion of a deposit already at the ATCC to meet the requirements of the Budapest Treaty? (If so, indicate ATCC designation). no
5. Is this deposit a mixture of microorganisms or cells? cells (animal)
6. Details necessary to cultivate, test for viability and store deposit (if mixture, description of components and a method to check presence). RPMI 1640: DMEM. H.G. 1:1 with 10% fetal bovine serum and L-Glutamine 200mM
7. An indication of the properties of the strain which are or may be dangerous to health or the environment. N/A
- \*8. Sufficient description so that ATCC may confirm deposit is what you state it is (i.e., Gram negative rod). typical suspension culture resembling mouse hybridoma
  - a. If this is a cell culture, is it being cultured in the presence of antibiotics (list the antibiotics). No
  - b. If hybridoma, what is the isotype of antibody produced? IgG1 Kappa
- \*9. Is this strain zoopathogenic? No phytopathogenic? No
10. Does this strain contain plasmids relevant to the patent process? No  
If so, what physical containment level is required (National Institutes of Health Guidelines Involving Recombinant DNA Molecules (i.e., P1, P2, P3 or P4 facility)? N/A
- \*11. Isolated from? N/A

\* The answers to these questions are recommended but not required.

N/A

ATCC USE ONLY

ATCC designation

Received

ATCC REFERENCE DATE

**FEES:** 30 years' storage \$600 - 30 years' notification \$330 - Viability testing \$100 to \$250 dependent upon material. - Expedite ATCC number \$10 - Return sample for approval (if not submitted frozen or freeze-dried) \$30 - Prepare additional examples of cells/hybridomas \$500

**STORAGE:** Cultures are stored for 30 years from date of deposit and for five years after the last request for a sample, as required under the rules of patent offices in most countries.

In addition to those entitled to a sample under the Budapest Treaty and the European Patent Convention, do you wish the strain made available to:

- a. Anyone who requests a culture (no restrictions on distribution from date of deposit or conversion to Budapest)?  
NO
- b. Requests to satisfy Patent Offices in countries not signatory to the Budapest Treaty? Please state which countries: No and N/A

After a U.S. Patent issues, ATCC makes the culture available to anyone who requests it.

13. Do you wish ATCC to inform you of all requests for this strain? (If you waive the right, the fee is reduced). Yes
14. Would you like expedited notification (\$10 fee) of your ATCC number (ATCC must observe viability first)? Yes  
Name of Individual: Janice Guthrie, Ph.D.  
Fax No. 714/553-1952 Telephone No. 714/440-5353

15. Deposit and viability certificates should be directed to (include phone & fax number):  
Janice Guthrie, Ph.D., Patent Agent, Law Dept.  
Baxter Healthcare Corporation  
P.O. Box 15210  
Irvine, CA 92713-5210 Phone: 714/440-5353 Fax: 714/553-1952

Payment by check, or credit card (MasterCard, VISA or American Express), must accompany the deposit unless prior arrangements for billing have been made and approved. If arrangements have been made to bill you for services an invoice should be sent to (include P.O. #):

Check # H02033 in the amount of \$3200.00

Credit Card # (MasterCard, VISA or American Express) \_\_\_\_\_ Expiration Date \_\_\_\_\_

Type or print the name shown on credit card \_\_\_\_\_ Signature \_\_\_\_\_

17. Name and address of your attorney of record: Janice Guthrie, Ph.D.  
P.O. Box 15210, Irvine, CA 92713-5210

Owner of deposit. (Verify with your management who owns the deposit. The owner should be listed, which often is the company or institute, not the individual) Must be completed. Baxter International Inc., a  
corporation of Delaware, having a principal place of business at  
Deerfield, Illinois.

19. Additional comments: \_\_\_\_\_

*I understand and agree that the deposit may not be withdrawn by me for a period specified in Article 9.1 of the Budapest Treaty (at least 30 years after the date of deposit), and that if a strain should die or be destroyed during the life of the deposit, or the period of time so specified, it is my responsibility to replace it with a living culture of the same character or cell. In the cases of viruses, cell cultures, plasmids, antibodies, and seeds, it is my responsibility to supply a sufficient quantity for distribution for the period of time specified above.*

Janice Guthrie  
Date \_\_\_\_\_ Typed Name \_\_\_\_\_ Signature \_\_\_\_\_

Address: P.O. Box 15210  
Irvine, CA 92713-5210

THIS FORM MUST BE COMPLETED IN ENGLISH

ADDRESS SHIPMENTS AND FORM TO THE ATTENTION OF:

Ms. Eablis A. Brandon  
American Type Culture Collection  
12301 Parkview Drive  
Rockville, MD 20852 U.S.A.



# American Type Culture Collection

12301 Parklawn Drive • Rockville, MD 20852 USA • Telephone: (301)231-5520 Telex: 898-055 ATCCNORTH • FAX: 301-770-2587

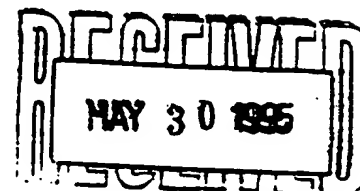
## BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

### INTERNATIONAL FORM

#### RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT ISSUED PURSUANT TO RULE 7.3 AND VIABILITY STATEMENT ISSUED PURSUANT TO RULE 10.2

To: (Name and Address of Depositor or Attorney)

Baxter International, Inc.  
Attention: Janice Guthrie  
P.O. Box 15210  
Irvine, CA 92713-5210



Deposited on Behalf of: Baxter International, Inc.

Identification Reference by Depositor:

ATCC Designation

Mouse:mouse hybridoma, 9187  
Mouse:mouse hybridoma, 9079

HB 11884  
HB 11885

The deposits were accompanied by: ☐ a scientific description ☐ a proposed taxonomic description indicated above.

The deposits were received May 9, 1995 by this International Depository Authority and have been accepted.

#### AT YOUR REQUEST:

☒ We will inform you of requests for the strains for 30 years.

The strains will be made available if a patent office signatory to the Budapest Treaty certifies one's right to receive, or if a U.S. Patent is issued citing the strains and ATCC is instructed by the United States Patent & Trademark Office or the depositor to release said strain.

If the cultures should die or be destroyed during the effective term of the deposit, it shall be your responsibility to replace them with living cultures of the same.

The strains will be maintained for a period of at least 30 years after the date of deposit, and for a period of at least five years after the most recent request for a sample. The United States and many other countries are signatory to the Budapest Treaty.

The viability of the cultures cited above was tested May 16, 1995. On that date, the cultures were viable.

International Depository Authority: American Type Culture Collection, Rockville, Md. 20852 USA

Signature of person having authority to represent ATCC:

Annette L. Bade, Director, Patent Depository

Date: May 19, 1995

cc: Janice Guthrie, Ph.D.



# *Budapest Treaty Deposits*

## **American Type Culture Collection**

12301 Parklawn Drive, Rockville, MD 20852 USA, Telephone (301) 231-6520 FAX (301) 770-2587

### **TO DEPOSIT OR TO CONVERT A DEPOSIT TO MEET THE REQUIREMENTS OF BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE**

- \*1. Name of deposit (Microorganism, cell, seed, plasmid, etc.) mouse:mouse hybridoma
2. Strain designation given by the depositor (number, symbols, etc.) 9079
3. Is this an original deposit under the Budapest Treaty? yes
4. Is this a request for a conversion of a deposit already at the ATCC to meet the requirements of the Budapest Treaty? (If so, indicate ATCC designation). no
5. Is this deposit a mixture of microorganisms or cells? cells (animal
6. Details necessary to cultivate, test for viability and store deposit (if mixture, description of components and a method to check presence). culture medium is DMEM H.G. with 10% fetal bovine serum plus L-Glutamine 200mM
7. An indication of the properties of the strain which are or may be dangerous to health or the environment. N/A
- \*8. Sufficient description so that ATCC may confirm deposit is what you state it is (i.e., Gram negative rod). typical suspension culture resembling mouse hybridoma
  - a. If this is a cell culture, is it being cultured in the presence of antibiotics (list the antibiotics). no
  - b. If hybridoma, what is the isotype of antibody produced? IgG1, Kappa
- \*9. Is this strain zoopathogenic? NO phytopathogenic? NO
10. Does this strain contain plasmids relevant to the patent process? NO  
If so, what physical containment level is required (National Institutes of Health Guidelines Involving Recombinant DNA Molecules (i.e., P1, P2, P3 or P4 facility)?
- \*11. Isolated from? N/A

\* The answers to these questions are recommended but not required.

N/A/

**ATCC USE ONLY**

**ATCC DEPOSITED**

**RECEIVED**

**ATCC DEPOSITED**

**FEES:** 30 years' storage \$600 - 30 years' notification \$330 - Viability testing \$100 to \$250 dependent upon material. - Expedite ATCC number \$10 - Return sample for approval (if not submitted frozen or freeze-dried) \$30 - Prepare additional samples of cells/hybridomas \$500

**STORAGE:** Cultures are stored for 30 years from date of deposit and for five years after the last request for a sample, as required under the rules of patent offices in most countries.

In addition to those entitled to a sample under the Budapest Treaty and the European Patent Convention, do you wish the strain made available to:

- a. Anyone who requests a culture (no restrictions on distribution from date of deposit or conversion to Budapest)?  
NO
- b. Requests to satisfy Patent Offices in countries not signatory to the Budapest Treaty? Please state which countries: No and N/A

After a U.S. Patent issues, ATCC makes the culture available to anyone who requests it.

13. Do you wish ATCC to inform you of all requests for this strain? (If you waive the right, the fee is reduced). Yes
14. Would you like expedited notification (\$10 fee of your ATCC number (ATCC must observe viability first)? Yes  
 Name of individual: Janice Guthrie, Ph.D.  
 Fax No. 714/553-1952 Telephone No. 714/440-5353

15. Deposit and viability certificates should be directed to (include phone & fax number):  
Janice Guthrie, Ph.D., Patent Agent, Law Dept.  
Baxter Healthcare Corporation  
P.O. Box 15210  
Irvine, CA 92713-5210 Phone: 714/440-5353 Fax: 714/553-1952

Payment by check, or credit card (MasterCard, VISA or American Express), must accompany the deposit unless prior arrangements for billing have been made and approved. If arrangements have been made to bill you for services an invoice should be sent to (include P.O. #):

Check # H02033 in the amount of \$3200.00

Credit Card # (MasterCard, VISA or American Express)

Expiration Date

Type or print the name shown on credit card

Signature

17. Name and address of your attorney of record: Janice Guthrie, Ph.D.  
P.O. Box 15210, Irvine, CA 92713-5210

Owner of deposit. (Verify with your management who owns the deposit. The owner should be listed, which often is the company or institute, not the individual) Must be completed. Baxter International Inc., a corporation of Delaware, having a principal place of business at Deerfield, Illinois.

19. Additional comments: \_\_\_\_\_

*I understand and agree that the deposit may not be withdrawn by me for a period specified in Rule 9.1 of the Budapest Treaty (at least 30 years after the date of deposit), and that if a strain should die or be destroyed during the life of the patent, or the period of time so specified, it is my responsibility to re-submit it with a living culture of the same character of cell. In the cases of viruses, cell cultures, plasmids, embryos, and seeds, it is my responsibility to submit a sufficient quantity for distribution for the period of time specified above.*

Janice Guthrie  
 Date Typed Name

Janice Guthrie  
 Signature

Address: P.O. Box 15210  
Irvine, CA 92713-5210

THIS FORM MUST BE COMPLETED IN ENGLISH

ADDRESS SHIPMENTS AND FORM TO THE ATTENTION OF:

Ms. Zabala A. Brandon  
 American Type Culture Collection  
 12301 Parkview Drive  
 Rockville, MD 20852 U.S.A.



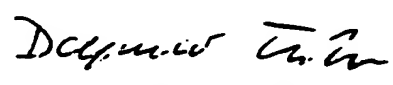
BUDAPEST TREATY ON THE INTERNATIONAL  
RECOGNITION OF THE DEPOSIT OF MICROORGANISMS  
FOR THE PURPOSES OF PATENT PROCEDURE

INTERNATIONAL FORM



Baxter International Inc.  
One Baxter Parkway  
Deerfield, IL 60015-4633  
USA

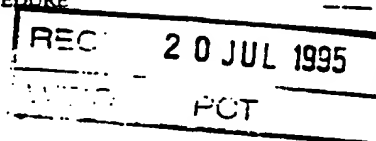
RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT  
issued pursuant to Rule 7.1 by the  
INTERNATIONAL DEPOSITARY AUTHORITY  
identified at the bottom of this page

|  |   |
|--|---|
| I. IDENTIFICATION OF THE MICROORGANISM   |   |
| Identification reference given by the DEPOSITOR:<br>L27 (CD20)   | Accession number given by the<br>INTERNATIONAL DEPOSITARY AUTHORITY:<br>DSM ACC2217   |
| II. SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC DESIGNATION   |   |
| The microorganism identified under I. above was accompanied by:<br><br><input type="checkbox"/> a scientific description<br><input type="checkbox"/> a proposed taxonomic designation<br><br>(Mark with a cross where applicable).   |   |
| III. RECEIPT AND ACCEPTANCE  |   |
| This International Depositary Authority accepts the microorganism identified under I. above, which was received by it on 1995-05-23<br>(Date of the original deposit) <sup>1</sup> .   |   |
| IV. RECEIPT OF REQUEST FOR CONVERSION  |   |
| The microorganism identified under I above was received by this International Depositary Authority on<br>(date of original deposit) and a request to convert the original deposit to a deposit under the Budapest Treaty was received by it on<br>(date of receipt of request for conversion). |   |
| V. INTERNATIONAL DEPOSITARY AUTHORITY  |   |
| Name: DSM-DEUTSCHE SAMMLUNG VON<br>MIKROORGANISMEN UND ZELLKULTUREN GmbH<br><br>Address: Mascheroder Weg 1b<br>D-38124 Braunschweig  | Signature(s) of person(s) having the power to represent the<br>International Depositary Authority or of authorized official(s):<br><br><br>Date: 1995-06-06 |

<sup>1</sup> Where Rule 6.4 (d) applies, such date is the date on which the status of international depositary authority was acquired.

BUDAPEST TREATY ON THE INTERNATIONAL  
RECOGNITION OF THE DEPOSIT OF MICROORGANISMS  
FOR THE PURPOSES OF PATENT PROCEDURE

INTERNATIONAL FORM



Baxter International Inc.  
One Baxter Parkway  
Deerfield, IL 60015-4633  
USA

## VIABILITY STATEMENT

issued pursuant to Rule 10.2 by the  
INTERNATIONAL DEPOSITARY AUTHORITY  
identified at the bottom of this page

|  |   |
|--|---|
| I. DEPOSITOR   | II. IDENTIFICATION OF THE MICROORGANISM   |
| Name: Baxter International Inc.<br>One Baxter Parkway<br>Address: Deerfield, IL 60015-4633<br>USA  | Accession number given by the<br>INTERNATIONAL DEPOSITARY AUTHORITY:<br>DSM ACC2217<br><br>Date of the deposit or the transfer <sup>1</sup> :<br>1995-05-23                         |
| III. VIABILITY STATEMENT   |   |
| The viability of the microorganism identified under II above was tested on 1995-05-23 <sup>2</sup> .<br>On that date, the said microorganism was<br><br>(X) <sup>3</sup> viable<br><br>( ) <sup>3</sup> no longer viable |   |
| IV. CONDITIONS UNDER WHICH THE VIABILITY TEST HAS BEEN PERFORMED <sup>4</sup>  |   |
| V. INTERNATIONAL DEPOSITARY AUTHORITY  |   |
| Name: DSM-DEUTSCHE SAMMLUNG VON<br>MIKROORGANISMEN UND ZELLKULTUREN GmbH<br><br>Address: Mascheroder Weg 1b<br>D-38124 Braunschweig  | Signature(s) of person(s) having the power to represent the<br>International Depositary Authority or of authorized official(s):<br><br><i>Deppner T. H.</i><br><br>Date: 1995-06-06 |

<sup>1</sup> Indicate the date of original deposit or, where a new deposit or a transfer has been made, the most recent relevant date (date of the new deposit or date of the transfer).

<sup>2</sup> In the cases referred to in Rule 10.2(a) (ii) and (iii), refer to the most recent viability test.

<sup>3</sup> Mark with a cross the applicable box.

<sup>4</sup> Fill in if the information has been requested and if the results of the test were negative.

**BUDAPEST TREATY ON THE INTERNATIONAL  
RECOGNITION OF THE DEPOSIT OF MICROORGANISMS  
FOR THE PURPOSES OF PATENT PROCEDURE**

**STATEMENT IN THE CASE OF AN ORIGINAL DEPOSIT**  
pursuant to Rule 6.1

To  
DSM-DEUTSCHE SAMMLUNG VON  
MIKROORGANISMEN UND ZELLKULTUREN GmbH  
Marschroder Weg 1b  
D-38124 Braunschweig  
Federal Republic of Germany

To be filled in by the Depository Authority

DSM-Accession number :

Date culture received:

**ANIMAL AND HUMAN CELL CULTURES**

THE UNDERSIGNED HEREBY DEPOSITS UNDER THE BUDAPEST TREATY THE CELL CULTURE IDENTIFIED HEREUNDER AND UNDERTAKES NOT TO WITHDRAW THE DEPOSIT FOR THE PERIOD SPECIFIED IN RULE 9.1<sup>1</sup>. THE DSM DOES NOT PROPAGATE CELL CULTURES.

**I. IDENTIFICATION OF THE CELL CULTURE**

Identification reference<sup>2</sup>, name of cell line: Murine Hybridoma Cell Line L27 (CD20)

Species of origin<sup>3</sup>: Murine

Hybridoma: Balb/c x Sp2/0-Ag14-Myeloma  
Reference: Leucocyte Typing IV (1989), Oxford 1079  
ISBN 0-19-261867-9

**II. CONDITIONS FOR CULTIVATION**

Please indicate all necessary conditions including type and % of serum, temperature, gaseous phase, optimal split ratio, etc.:

Propagation in serum-free medium (PFHM-II, GIBCO) at 37°C, 5% CO<sub>2</sub> and H<sub>2</sub>O saturated gaseous phase.

Have, until now, any additional supplements (including antibiotics) been used?  
If so, give concentrations:

NaHCO<sub>3</sub>, NOPS, Pluronic F 68, L-6ln

<sup>1</sup> This form may also be used if the undersigned converts into a deposit under the Budapest Treaty the deposit of an organism that he or his predecessor in title has already deposited, outside the Budapest Treaty, with the same depository institution either before (Rule 6.4(d)) or after the acquisition by that institution of the status of international depository authority.

<sup>2</sup> Number, symbols etc., given to the organism by the depositor.

<sup>3</sup> It is strongly recommended that the taxonomic designation and/or scientific description (see under VII.) of the organism be indicated.

<sup>4</sup> Mark with a cross if additional information is given on an attached sheet.

| III. CONDITIONS FOR LONG TERM STORAGE <span style="float: right;">( )<sup>4</sup></span>           |         |                |                 |             |
|--|---------|----------------|-----------------|-------------|
| Composition of medium: freezing medium<br>92% FCS<br>8% DMSO                                       |         |                |                 |             |
| Cell concentration: $6 \times 10^5 - 10^7$ cells/ml  |         |                |                 |             |
| Other recommendations: Viability > 90%<br>Storage in liquid Nitrogen                               |         |                |                 |             |
| IV. KNOWN CONTAMINATION AND PATHOGENICITY <span style="float: right;">( )<sup>4</sup></span>       |         |                |                 |             |
| Mycoplasmas:   |         | Yes ( )        | No (X)          | Unknown ( ) |
| Viruses:   |         |                |                 |             |
| Hepes  | Yes ( ) | No ( )         | Unknown (X)     |             |
| Hepatitis B  | Yes ( ) | No ( )         | Unknown (X)     |             |
| Hepatitis C  | Yes ( ) | No ( )         | Unknown (X)     |             |
| SVV  | Yes ( ) | No ( )         | Unknown (X)     |             |
| Not applicable. Refer to Section VII   |         |                |                 |             |
| Other contaminants:  |         | Yes ( )        | No ( )          | Unknown (X) |
| If yes, please specify:  |         |                |                 |             |
| Is the material pathogenic to man or animals:  |         | Yes ( )        | No (X)          | Unknown ( ) |
| If yes, please specify:  |         |                |                 |             |
|  |         | pathogenic ( ) | allergenic ( )  |             |
|  |         | toxigenic ( )  | tumorigenic ( ) |             |
| Cross reactivity of the anti B-cell antibody<br>with human tissues was tested.                     |         |                |                 |             |
| THE CELL LINE HAS TO BE HANDLED UNDER THE FOLLOWING LABORATORY CONTAINMENT<br>LEVEL <sup>5</sup> : |         |                |                 |             |
|  |         | L1 (X)         | L3 ( )          |             |

<sup>4</sup> Mark with a cross if additional information is given on an attached sheet.  
<sup>5</sup> The DSM only accepts for deposit organisms which belong to hazard group 1 or 2, according to "Sichere Biotechnologie: Einstufung von biologischen Agentien: Viren" (B 004 9/90 ZH 1/344) der Berufsgenossenschaft der chemischen Industrie and can be handled under the laboratory containment level L1 or L3 according to "Gesetz zur Regelung von Fragen der Gentechnik" (BGBl. I. pp.1080: 25/08/90).

|  |   |                                   |                  |
|--|---|-----------------------------------|------------------|
| <b>V. IF THE CELL CULTURE IS GENETICALLY MANIPULATED</b><br>Complete answers to be given!  |   | N/A                               | ( ) <sup>4</sup> |
| <b>1. DATA CONCERNING THE HOST ORGANISM</b>  |   |                                   |                  |
| designation:   |   |                                   |                  |
| hazard group:  | ( ) haz. gr. 1  | ( ) haz. gr. 2                    |                  |
| biological safety grade:   | ( ) B1  | ( ) B2                            |                  |
| resistance:  |   |                                   |                  |
| special properties:  |   |                                   |                  |
| <b>2. DATA CONCERNING THE DONOR ORGANISM</b>   |   |                                   |                  |
| designation:   |   |                                   |                  |
| hazard group:  | ( ) haz. gr. 1  | ( ) haz. gr. 2                    | ( ) haz. gr. 3   |
| description of the cloned DNA fragment:<br>cloned information:   |   |                                   |                  |
| size of the cloned DNA:<br>(in bp)   | ( ) complete genome<br>( ) subgenomic<br>( ) subgenic |                                   |                  |
| potential risk of the DNA:   | ( ) pathogenic<br>( ) toxicogenic                     | ( ) tumorigenic<br>( ) allergenic |                  |
| <b>3. DATA CONCERNING THE VECTOR</b>   |   |                                   |                  |
| designation:   |   |                                   |                  |
| derivative of:   |   |                                   |                  |
| biological safety grade:   | ( ) B1  | ( ) B2                            |                  |
| host specificity:  |   |                                   |                  |
| resistance:  |   |                                   |                  |
| plasmid/virus size:  |   |                                   |                  |
| promoter:  |   |                                   |                  |
| additional reading frames:   |   |                                   |                  |
| own infectivity:   | ( ) yes   | ( ) no                            |                  |
| mobilisable plasmid:   | ( ) yes   | ( ) no                            |                  |
| own transfer system:   | ( ) yes   | ( ) no                            |                  |
| transfer by endogenous helper viruses:   | ( ) yes   | ( ) no                            |                  |
| <b>4. DATA CONCERNING THE GENETICALLY MANIPULATED ORGANISM<sup>5</sup></b>   |   |                                   |                  |
| special properties<br>(e.g. production of ... as ...-vector etc.)  |   |                                   |                  |
| foreign DNA:   | ( ) episomal  | ( ) chromosomally integrated      |                  |
| potential risk:  | ( ) pathogenic<br>( ) toxicogenic                     | ( ) tumorigenic<br>( ) allergenic |                  |
| ( ) no potential risk<br>please indicate why:  |   |                                   |                  |
| According to the regulations of the GenTG <sup>6</sup> the DSM can only accept genetically manipulated, potentially pathogenic organisms for deposition when a copy of the permit issued by the competent authority (or by an equivalent national biological safety commission) for work on this organism accompanies the deposition form. |   |                                   |                  |

<sup>4</sup> Mark with a cross if additional information is given on an attached sheet.

<sup>5</sup> The DSM only accepts for deposit organisms which belong to hazard group 1 or 2, according to "Sicherheit Biotechnologie: Einstufung von biologischen Agentien: Viren" (B 004 9/90 ZH 1/344) der Berufsgenossenschaft der chemischen Industrie and can be handled under the laboratory containment level L1 or L2 according to "Gesetz zur Regelung von Fragen der Gentechnik" (BGBL I pp.1080; 23/05/90).

<sup>6</sup> GenTG = Gesetz zur Regelung von Fragen der Gentechnik (German law for the regulation of questions concerning genetic engineering)

|  |  |
|--|--|
| <b>VI. SCIENTIFIC DESCRIPTION<sup>7</sup></b>  | ( ) <sup>4</sup>   |
| <p>Hybridoma cell line of murine origin used for production of monoclonal anti B-cell antibody.<br/> <i>Anti-Human CD20.</i><br/> <b>CD20</b></p>  |  |
| <b>VII. ADDITIONAL DATA</b>  | ( ) <sup>5</sup>   |
| <p>Cell line was tested according to the CPMP guideline "Production and Quality Control of Monoclonal Antibodies of Murine Origin" (1987)<br/>         Test panel included in vitro and in vivo tests for retroviruses, bovine viruses and other relevant viruses (MAP test)</p> |  |
| <b>VIII. DEPOSITOR<sup>3</sup></b>   |  |
| <p><b>Name:</b><br/>         Baxter International Inc.</p> <p><b>Address:</b><br/>         One Baxter Parkway<br/>         Deerfield, IL 60015-4633<br/>         United States of America</p>  | <p><b>Signature:</b> BY: <i>A.F. Staubitz</i><br/>         A.F. Staubitz,<br/>         Senior Vice President</p> <p>BY: <i>John F. Gaither, Jr.</i><br/>         John F. Gaither, Jr.,<br/>         Vice President</p> <p><b>Date:</b><br/>         May 16, 1995</p> |

<sup>4</sup> Mark with a cross if additional information is given on an attached sheet.

<sup>7</sup> It is strongly recommended that the scientific description and/or proposed taxonomic designation (see I.) of the organism be indicated.

<sup>8</sup> Mark with a cross if additional information (other than the information referred to in footnote 4 is given on an attached sheet, such as the source of the organism, the name(s) and the address(es) of any other depository institution(s) with which the organism has been deposited, or the criterion used when drafting the proposed taxonomic designation. (The supplying of such information is optional).

<sup>9</sup> The name of the depositor must be identical with the signature. In case of a legal entity the signatures of two representatives, officially nominated by this entity, are required. Where the signature is required on behalf of a legal entity, the type-written name(s) of the natural person(s) signing on behalf of the legal entity should accompany the signature(s).

Please expedite the deposit number and fax directly to:

Janice Guthrie, Ph.D.  
 Biotech Patent Agent  
 +714-553-1952

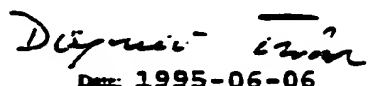
PLEASE RESPOND IN LARGE PRINT

BUDAPEST TREATY ON THE INTERNATIONAL  
RECOGNITION OF THE DEPOSIT OF MICROORGANISMS  
FOR THE PURPOSES OF PATENT PROCEDURE

## INTERNATIONAL FORM

Baxter International Inc.  
One Baxter Parkway  
Deerfield, IL 60015-4633  
USA

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT  
ISSUED PURSUANT TO Rule 7.1 by the  
INTERNATIONAL DEPOSITARY AUTHORITY  
identified at the bottom of this page

|   |  |
|---|--|
| I. IDENTIFICATION OF THE MICROORGANISM  |  |
| Identification reference given by the DEPOSITOR<br>HD237 (CD19)   | Accession number given by the<br>INTERNATIONAL DEPOSITARY AUTHORITY<br>DSM ACC2216   |
| II. SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC DESIGNATION  |  |
| <p>The microorganism identified under I. above was accompanied by:</p> <p>( ) a scientific description<br/>( ) a proposed taxonomic designation</p> <p>(Mark with a cross where applicable).</p>  |  |
| III. RECEIPT AND ACCEPTANCE   |  |
| This International Depositary Authority accepts the microorganism identified under I. above, which was received by it on 1995-05-23<br>(Date of the original deposit).  |  |
| IV. RECEIPT OF REQUEST FOR CONVERSION   |  |
| The microorganism identified under I. above was received by this International Depositary Authority on<br>(date of original deposit) and a request to convert the original deposit to a deposit under the Budapest Treaty was received by it on<br>(date of receipt of request for conversion). |  |
| V. INTERNATIONAL DEPOSITARY AUTHORITY   |  |
| Name: DSM-DEUTSCHE SAMMLUNG VON<br>MIKROORGANISMEN UND ZELLKULTUREN GmbH<br><br>Address: Mascheroder Weg 1b<br>D-38124 Braunschweig   | Signature(s) of person(s) having the power to represent the<br>International Depositary Authority or of authorized official(s)<br><br><br>Date: 1995-06-06 |

\* Where Rule 6.4 (d) applies, such date is the date on which the name of international depositary authority was acquired.

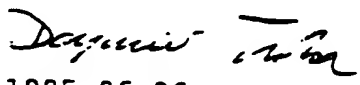
BUDAPEST TREATY ON THE INTERNATIONAL  
RECOGNITION OF THE DEPOSIT OF MICROORGANISMS  
FOR THE PURPOSES OF PATENT PROCEDURE

## INTERNATIONAL FORM

Baxter International Inc.  
One Baxter Parkway  
Deerfield, IL 60015-4633  
USA

## VIABILITY STATEMENT

issued pursuant to Rule 10.2 by the  
INTERNATIONAL DEPOSITARY AUTHORITY  
identified at the bottom of this page

|  |   |
|--|---|
| I. DEPOSITOR   | II. IDENTIFICATION OF THE MICROORGANISM   |
| Name: Baxter International Inc.<br>One Baxter Parkway<br>Address: Deerfield, IL 60015-4633<br>USA  | Accession number given by the<br>INTERNATIONAL DEPOSITARY AUTHORITY:<br>DSM ACC2216<br><br>Date of the deposit or the transfer <sup>1</sup> :<br>1995-05-23   |
| III. VIABILITY STATEMENT   |   |
| The viability of the microorganism identified under II above was tested on 1995-05-23 :<br>On that date, the said microorganism was<br><br><input checked="" type="checkbox"/> (X) viable<br><input type="checkbox"/> ( ) no longer viable |   |
| IV. CONDITIONS UNDER WHICH THE VIABILITY TEST HAS BEEN PERFORMED <sup>2</sup>  |   |
|  |   |
| V. INTERNATIONAL DEPOSITARY AUTHORITY  |   |
| Name: DSM-DEUTSCHE SAMMLUNG VON<br>MIKROORGANISMEN UND ZELLKULTUREN GmbH<br><br>Address: Mascheroder Weg 1b<br>D-38124 Braunschweig  | Signature(s) of person(s) having the power to represent the<br>International Depositary Authority or of authorized official(s):<br><br><br>Date: 1995-06-06 |

<sup>1</sup> Indicate the date of original deposit or, where a new deposit or a transfer has been made, the most recent relevant date (date of the new deposit or date of the transfer).

<sup>2</sup> In the cases referred to in Rule 10.2(a) (ii) and (iii), refer to the most recent viability test.

Mark with a cross the applicable box.

<sup>3</sup> Fill in if the information has been requested and if the results of the test were negative.



**BUDAPEST TREATY ON THE INTERNATIONAL  
RECOGNITION OF THE DEPOSIT OF MICRO ORGANISMS  
FOR THE PURPOSES OF PATENT PROCEDURE**

**STATEMENT IN THE CASE OF AN ORIGINAL DEPOSIT**  
pursuant to Rule 6.1

To  
DSM-DEUTSCHE SAMMLUNG VON  
MIKROORGANISMEN UND ZELLKULTUREN GmbH  
Mascheroder Weg 1b  
D-38124 Braunschweig  
Federal Republic of Germany

To be filled in by the Depositary Authority

DSM-Accession number :

Date culture received:

**ANIMAL AND HUMAN CELL CULTURES**

THE UNDERSIGNED HEREBY DEPOSITS UNDER THE BUDAPEST TREATY THE CELL CULTURE IDENTIFIED HEREUNDER AND UNDERTAKES NOT TO WITHDRAW THE DEPOSIT FOR THE PERIOD SPECIFIED IN RULE 8.1<sup>1</sup>. THE DSM DOES NOT PROPAGATE CELL CULTURES.

**I. IDENTIFICATION OF THE CELL CULTURE**

Identification reference<sup>2</sup>, name of cell line: Murine Hybridoma Cell Line HD237 (CD19)

Species of origin<sup>3</sup>: Mouse

Hybridoma: Balb/c x P3-NS1-1-Ag4-1(NS-1)-Myeloma  
Reference: Leucocyte Typing IV (1989), Oxford 1079  
ISBN 0-19-261867-9

**II. CONDITIONS FOR CULTIVATION**

Please indicate all necessary conditions including type and % of serum, temperature, gaseous phase, optimal split ratio, etc.:

Propagation in serum-free medium (PFHM-II, GIBCO) at 37°C, 5% CO<sub>2</sub> and H<sub>2</sub>O saturated gaseous phase

Have, until now, any additional supplements (including antibiotics) been used?  
If so, give concentrations:

Sodiumhydrogencarbonate, MOPS, Pluronic F68, L-Glutamine

<sup>1</sup> This form may also be used if the undersigned converts into a deposit under the Budapest Treaty the deposit of an organism that he or his predecessor in title has already deposited, outside the Budapest Treaty, with the same depositary institution either before (Rule 6.4(d)) or after the acquisition by that institution of the status of international depositary authority.

<sup>2</sup> Number, symbol etc., given to the organism by the depositor.

<sup>3</sup> It is strongly recommended that the taxonomic designation and/or scientific description (see under VII.) of the organism be indicated.

<sup>4</sup> Mark with a cross if additional information is given on an attached sheet.

## III. CONDITIONS FOR LONG TERM STORAGE

Composition of medium: freezing medium  
92% FCS  
8% DMSO

Cell concentration:  $6 \times 10^6 - 10^7$  cells/ml

Other recommendations: Viability > 90%  
Storage in liquid Nitrogen

## IV. KNOWN CONTAMINATION AND PATHOGENICITY

( )<sup>4</sup>

Mycoplasma: Yes ( ) No (X) Unknown ( )

Viruses: Herpes Yes ( ) No ( ) Unknown (X)

Hepatitis B Yes ( ) No ( ) Unknown (X)

Hepatitis C Yes ( ) No ( ) Unknown (X)

HIV Yes ( ) No ( ) Unknown (X)

Not applicable. Refer to Section VII

Other contaminants: Yes ( ) No ( ) Unknown (X)

If yes, please specify:

Is the material pathogenic to man or animals: Yes ( ) No (X) Unknown ( )

If yes, please specify:

pathogenic ( ) allergenic ( )

toxigenic ( ) tumorigenic ( )

Cross reactivity of the anti B-cell antibody  
with human tissues was tested.

THE CELL LINE HAS TO BE HANDLED UNDER THE FOLLOWING LABORATORY CONTAINMENT  
LEVEL<sup>5</sup>:

L1 (X)

L2 ( )

<sup>4</sup> Mark with a cross if additional information is given on an attached sheet.

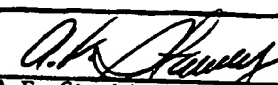
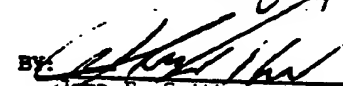
<sup>5</sup> The DSM only accepts for deposit organisms which belong to hazard group 1 or 2, according to 'Sichere Biotechnologie: Einstufung von biologischen Agenzien: Viren' (B 004 9/90 ZH 1/344) der Berufsgenossenschaft der chemischen Industrie and can be handled under the laboratory containment level L1 or L2 according to "Gesetz zur Regelung von Fragen der Gentechnik" (BGBl. I, pp.1080: 23/06/90).

| V. IF THE CELL CULTURE IS GENETICALLY MANIPULATED<br>Complete answers to be given!   |  | N/A   | ( )                                 |
|--|--|---|-------------------------------------|
| <b>1. DATA CONCERNING THE HOST ORGANISM</b>  |  |   |                                     |
| designation:   |  |   |                                     |
| hazard group:  | <input type="checkbox"/> haz. gr. 1      | <input type="checkbox"/> haz. gr. 2               |                                     |
| biological safety grade:   | <input type="checkbox"/> B1              | <input type="checkbox"/> B2                       |                                     |
| sensitivities:   |  |   |                                     |
| resistance:  |  |   |                                     |
| special properties:  |  |   |                                     |
| <b>2. DATA CONCERNING THE DONOR ORGANISM</b>   |  |   |                                     |
| designation:   |  |   |                                     |
| hazard group:  | <input type="checkbox"/> haz. gr. 1      | <input type="checkbox"/> haz. gr. 2               | <input type="checkbox"/> haz. gr. 3 |
| description of the cloned DNA fragment:  |  |   |                                     |
| cloned information:  |  |   |                                     |
| size of the cloned DNA:<br>(in bp)   | <input type="checkbox"/> complete genome | <input type="checkbox"/> subgenomic               | <input type="checkbox"/> subgenomic |
| potential risk of the DNA:   | <input type="checkbox"/> pathogenic      | <input type="checkbox"/> tumorigenic              | <input type="checkbox"/> allergenic |
| <input type="checkbox"/> no potential risk   | <input type="checkbox"/> toxigenic       |   |                                     |
| <b>3. DATA CONCERNING THE VECTOR</b>   |  |   |                                     |
| designation:   |  |   |                                     |
| derivative of:   |  |   |                                     |
| biological safety grade:   | <input type="checkbox"/> B1              | <input type="checkbox"/> B2                       |                                     |
| host specificity:  |  |   |                                     |
| resistance:  |  |   |                                     |
| plasmid/virus size:  |  |   |                                     |
| promoters:   |  |   |                                     |
| additional reading frame:  |  |   |                                     |
| own infectivity:   | <input type="checkbox"/> yes             | <input type="checkbox"/> no                       |                                     |
| mobileable plasmid:  | <input type="checkbox"/> yes             | <input type="checkbox"/> no                       |                                     |
| own transfer system:   | <input type="checkbox"/> yes             | <input type="checkbox"/> no                       |                                     |
| transfer by endogenous helper viruses:   | <input type="checkbox"/> yes             | <input type="checkbox"/> no                       |                                     |
| <b>4. DATA CONCERNING THE GENETICALLY MANIPULATED ORGANISM<sup>5</sup></b>   |  |   |                                     |
| special properties:<br>(e.g. production of —; use as —-vector etc.)  |  |   |                                     |
| foreign DNA:   | <input type="checkbox"/> episomal        | <input type="checkbox"/> chromosomally integrated |                                     |
| potential risk:  | <input type="checkbox"/> pathogenic      | <input type="checkbox"/> tumorigenic              |                                     |
| <input type="checkbox"/> no potential risk   | <input type="checkbox"/> toxigenic       | <input type="checkbox"/> allergenic               |                                     |
| please indicate why:   |  |   |                                     |
| According to the regulations of the GenTC <sup>6</sup> the DSM can only accept genetically manipulated, potentially pathogenic organisms for deposition when a copy of the permit issued by the competent authority (or by an equivalent national biological safety commission) for work on the organisms accompanies the deposition form. |  |   |                                     |

<sup>4</sup> Mark with a cross if additional information is given on an attached sheet.

<sup>5</sup> The DSM only accepts for deposit organisms which belong to hazard group 1 or 2, according to "Sichere Biotechnologie: Einstufung von biologischen Agenzien: Viren" (B 004 9/90 ZH 1/344) der Berufsgenossenschaft der chemischen Industrie and can be handled under the laboratory containment level L1 or L2 according to "Gesetz zur Regelung von Fragen der Gentechnik" (BGBl. I, pp.1030: 23/06/90).

<sup>6</sup> GenTC = Gesetz zur Regelung von Fragen der Gentechnik (German law for the regulation of questions concerning genetic engineering)

|  |   |                  |
|--|---|------------------|
| VI. SCIENTIFIC DESCRIPTION <sup>7</sup>  |   | ( ) <sup>4</sup> |
| Hybridoma cell line of murine origin used for production of monoclonal anti B-cell antibody.<br><i>Anti-Human CD19.</i><br><div style="text-align: center; font-size: 1.2em;">CD19</div>   |   |                  |
| VII. ADDITIONAL DATA   |   | ( ) <sup>5</sup> |
| Cell line was tested according to the CPMP guideline "Production and Quality Control of Monoclonal Antibodies of Murine Origin" (1987) Test panel included in vitro and in vivo tests for retroviruses, bovine viruses and other relevant viruses (MAP test) |   |                  |
| VIII. DEPOSITOR <sup>9</sup>   |   |                  |
| Name:<br>Baxter International Inc.<br><br>Address:<br>One Baxter Parkway<br>Deerfield, IL 60015-4633<br>United States of America   | BY: <br>Signature: A.F. Staubitz<br>Senior Vice President<br><br>BY: <br>John F. Gaither, Jr.<br>Vice President<br><br>Date: May 16, 1995 |                  |

- <sup>4</sup> Mark with a cross if additional information is given on an attached sheet.  
<sup>7</sup> It is strongly recommended that the scientific description and/or proposed taxonomic designation (see I.) of the organism be indicated.  
<sup>8</sup> Mark with a cross if additional information (other than the information referred to in footnote 4 is given on an attached sheet, such as the source of the organism, the name(s) and the address(es) of any other depository institution(s) with which the organism has been deposited, or the criterion used when drafting the proposed taxonomic designation (The supplying of such information is optional).  
<sup>9</sup> The name of the depositor must be identical with the signature. In case of a legal entity the signatures of two representatives, officially nominated by this entity, are required. Where the signature is required on behalf of a legal entity, the typewritten name(s) of the natural person(s) signing on behalf of the legal entity should accompany the signature(s).

Please expedite the deposit number and fax directly to:

Janice Guthrie, Ph.D.  
 Biotech Patent Agent  
 +714-553-1952


PLEASE RESPOND IN LARGE PRINT

BUDAPEST TREATY ON THE INTERNATIONAL  
RECOGNITION OF THE DEPOSIT OF MICROORGANISMS  
FOR THE PURPOSES OF PATENT PROCEDURE

## INTERNATIONAL FORM

Baxter International Inc.  
One Baxter Parkway  
Deerfield, IL 60015-4633  
USA

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT  
issued pursuant to Rule 7.1 by the  
INTERNATIONAL DEPOSITARY AUTHORITY  
identified at the bottom of this page

|  |   |
|--|---|
| I. IDENTIFICATION OF THE MICROORGANISM   |   |
| Identification reference given by the DEPOSITOR:<br>W8E7E7 (CD10)  | Accession number given by the<br>INTERNATIONAL DEPOSITARY AUTHORITY:<br>DSM ACC2215   |
| II. SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC DESIGNATION   |   |
| The microorganism identified under I. above was accompanied by:<br><br><input type="checkbox"/> a scientific description<br><input type="checkbox"/> a proposed taxonomic designation<br><br>(Mark with a cross where applicable).   |   |
| III. RECEIPT AND ACCEPTANCE  |   |
| This International Depositary Authority accepts the microorganism identified under I. above, which was received by it on 1995-05-23<br>(Date of the original deposit) <sup>1</sup> .   |   |
| IV. RECEIPT OF REQUEST FOR CONVERSION  |   |
| The microorganism identified under I above was received by this International Depositary Authority on<br>(date of original deposit) and a request to convert the original deposit to a deposit under the Budapest Treaty was received by it on<br>(date of receipt of request for conversion). |   |
| V. INTERNATIONAL DEPOSITARY AUTHORITY  |   |
| Name: DSM-DEUTSCHE SAMMLUNG VON<br>MIKROORGANISMEN UND ZELLKULTUREN GmbH<br><br>Address: Mascheroder Weg 1b<br>D-38124 Braunschweig  | Signature(s) of person(s) having the power to represent the<br>International Depositary Authority or of authorized official(s):<br><br><br>Date: 1995-06-06 |

<sup>1</sup> Where Rule 6.4 (d) applies, such date is the date on which the status of international depositary authority was acquired.

BUDAPEST TREATY ON THE INTERNATIONAL  
RECOGNITION OF THE DEPOSIT OF MICROORGANISMS  
FOR THE PURPOSES OF PATENT PROCEDURE

## INTERNATIONAL FORM

Baxter International Inc.  
One Baxter Parkway  
Deerfield, IL 60015-4633  
USA

## VIABILITY STATEMENT

issued pursuant to Rule 10.2 by the  
INTERNATIONAL DEPOSITARY AUTHORITY  
identified at the bottom of this page

|  |   |
|--|---|
| I. DEPOSITOR   | II. IDENTIFICATION OF THE MICROORGANISM   |
| <p>Name: Baxter International Inc.<br/>One Baxter Parkway<br/>Address: Deerfield, IL 60015-4633<br/>USA</p>  | <p>Accession number given by the<br/>INTERNATIONAL DEPOSITARY AUTHORITY:<br/>DSM ACC2215</p> <p>Date of the deposit or the transfer<sup>1</sup>:<br/>1995-05-23</p>                         |
| III. VIABILITY STATEMENT   |   |
| <p>The viability of the microorganism identified under II above was tested on 1995-05-23<sup>2</sup>.<br/>On that date, the said microorganism was</p> <p>(X)<sup>3</sup> viable</p> <p>( )<sup>3</sup> no longer viable</p> |   |
| IV. CONDITIONS UNDER WHICH THE VIABILITY TEST HAS BEEN PERFORMED <sup>4</sup>  |   |
|  |   |
| V. INTERNATIONAL DEPOSITARY AUTHORITY  |   |
| <p>Name: DSM-DEUTSCHE SAMMLUNG VON<br/>MIKROORGANISMEN UND ZELLKULTUREN GmbH</p> <p>Address: Mascheroder Weg 1b<br/>D-38124 Braunschweig</p>   | <p>Signature(s) of person(s) having the power to represent the<br/>International Depositary Authority or of authorized official(s):</p> <p><i>Deputy Th. In</i></p> <p>Date: 1995-06-06</p> |

<sup>1</sup> Indicate the date of original deposit or, where a new deposit or a transfer has been made, the most recent relevant date (date of the new deposit or date of the transfer).

<sup>2</sup> In the cases referred to in Rule 10.2(a) (ii) and (iii), refer to the most recent viability test.

<sup>3</sup> Mark with a cross the applicable box.

<sup>4</sup> Fill in if the information has been requested and if the results of the test were negative.

**BUDAPEST TREATY ON THE INTERNATIONAL  
RECOGNITION OF THE DEPOSIT OF MICRO ORGANISMS  
FOR THE PURPOSES OF PATENT PROCEDURE**

**STATEMENT IN THE CASE OF AN ORIGINAL DEPOSIT  
pursuant to Rule 6.1**

To  
DSM-DEUTSCHE SAMMLUNG VON  
MIKROORGANISMEN UND ZELLKULTUREN GmbH  
Mascheroder Weg 1b  
D-38124 Braunschweig  
Federal Republic of Germany

To be filled in by the Depository Authority

DSM-Accession number :

Date culture received:

**ANIMAL AND HUMAN CELL CULTURES**

THE UNDERSIGNED HEREBY DEPOSITS UNDER THE BUDAPEST TREATY THE CELL CULTURE IDENTIFIED  
HEREUNDER AND UNDERTAKES NOT TO WITHDRAW THE DEPOSIT FOR THE PERIOD SPECIFIED IN RULE  
9.1<sup>1</sup>. THE DSM DOES NOT PROPAGATE CELL CULTURES.

**I. IDENTIFICATION OF THE CELL CULTURE**

Identification reference<sup>2</sup>, name of cell line: Murine Hybridoma Cell Line W8E7E7 (CD10)

Species of origin<sup>3</sup>: MOUSE

Hybridoma: Balb/c x P3x8.653

**II. CONDITIONS FOR CULTIVATION**

( )<sup>4</sup>

Please indicate all necessary conditions including type and % of serum, temperature, gaseous phase, optimal split ratio, etc.:

Propagation in serum-free medium (PFHM-II, GIBCO) at 37°C, 5% CO<sub>2</sub> and  
H<sub>2</sub>O saturated gaseous phase  
Optimal Split ratio:  $5 \times 10^5 - 10^6$  cells/ml

Have, until now, any additional supplements (including antibiotics) been used?  
If so, give concentrations:

Sodium hydrogencarbonate, MOPS, Pluronic F 68, L-61n

<sup>1</sup> This form may also be used if the undersigned converts into a deposit under the Budapest Treaty the deposit of an organism that he or his predecessor in title has already deposited, outside the Budapest Treaty, with the same depository institution either before (Rule 6.4(d)) or after the acquisition by that institution of the status of international depository authority.

<sup>2</sup> Number, symbols etc., given to the organism by the depositor.

<sup>3</sup> It is strongly recommended that the taxonomic designation and/or scientific description (see under VII.) of the organism be indicated.

<sup>4</sup> Mark with a cross if additional information is given on an attached sheet.

### III. CONDITIONS FOR LONG TERM STORAGE

Composition of medium: freezing medium  
92% FCS  
8% DMSO

Cell concentration:  $6 \times 10^6 - 10^7$  cells/ml

Other recommendations: Viability > 90%  
Storage in liquid Nitrogen

#### IV. KNOWN CONTAMINATION AND PATHOGENICITY

**Mycoplasma:** Yes ( ) No (X) Unknown ( )

| Viruses:    | Yes ( ) | No ( ) | Unknown (X) |
|-------------|---------|--------|-------------|
| Herpes      | Yes ( ) | No ( ) | Unknown (X) |
| Hepatitis B | Yes ( ) | No ( ) | Unknown (X) |
| Hepatitis C | Yes ( ) | No ( ) | Unknown (X) |
| HIV         | Yes ( ) | No ( ) | Unknown (X) |

Not applicable. Refer to Section VII

Other contaminants: Yes ( ) No ( ) Unknown (X)

**If yes, please specify:**

Is the material pathogenic to man or animals: Yes ( ) No (X) Unknown ( )

**If yes, please specify:**

pathogenic ( )      allergenic ( )

biogenic ( )      abiogenic ( )

Cross reactivity of the anti B-cell antibody with human tissues was tested.

THE CELL LINE HAS TO BE HANDLED UNDER THE FOLLOWING LABORATORY CONTAINMENT LEVELS:

LI (X)

二、( )

4 Mark with a cross if additional information is given on an attached sheet.  
5 The DSM only agency (or designee) must complete this form.

5 The DSM only accepts for deposit organisms which belong to hazard group 1 or 2, according to "Sichere Biotechnologie: Einstufung von biologischen Agenzien: Viren" (B 004 9/90 ZH 1/344) der Berufsgenossenschaft der chemischen Industrie and can be handled under the laboratory containment level L1 or L2 according to "Gesetz zur Regelung von Fragen der Gentechnik" (BGBl. I, pp.1020: 23/05/90).



| 7. IF THE CELL CULTURE IS GENETICALLY MANIPULATED<br>Complete answers to be given!   |  | N/A   | ( ) <sup>4</sup>                    |
|--|--|---|-------------------------------------|
| <b>1. DATA CONCERNING THE HOST ORGANISM</b>  |  |   |                                     |
| designation:   |  |   |                                     |
| hazard group:  | <input type="checkbox"/> haz. gr. 1  | <input type="checkbox"/> haz. gr. 2   |                                     |
| biological safety grade:   | <input type="checkbox"/> B1  | <input type="checkbox"/> B2   |                                     |
| sensitivities:   |  |   |                                     |
| resistances:   |  |   |                                     |
| special properties:  |  |   |                                     |
| <b>2. DATA CONCERNING THE DONOR ORGANISM</b>   |  |   |                                     |
| designation:   |  |   |                                     |
| hazard group:  | <input type="checkbox"/> haz. gr. 1  | <input type="checkbox"/> haz. gr. 2   | <input type="checkbox"/> haz. gr. 3 |
| description of the cloned DNA fragment:  |  |   |                                     |
| cloned information:  |  |   |                                     |
| size of the cloned DNA:<br>(in bp)   | <input type="checkbox"/> complete genome<br><input type="checkbox"/> subgenomic<br><input type="checkbox"/> subgenic |   |                                     |
| potential risk of the DNA:   | <input type="checkbox"/> pathogenic<br><input type="checkbox"/> toxigenic  | <input type="checkbox"/> tumorigenic<br><input type="checkbox"/> allergenic |                                     |
| <input type="checkbox"/> no potential risk   |  |   |                                     |
| <b>3. DATA CONCERNING THE VECTOR</b>   |  |   |                                     |
| designation:   |  |   |                                     |
| derivative of:   |  |   |                                     |
| biological safety grade:   | <input type="checkbox"/> B1  | <input type="checkbox"/> B2   |                                     |
| host specificity:  |  |   |                                     |
| resistances:   |  |   |                                     |
| plasmid/virus size:  |  |   |                                     |
| promoters:   |  |   |                                     |
| additional reading frames:   |  |   |                                     |
| own infectivity:   | <input type="checkbox"/> yes   | <input type="checkbox"/> no   |                                     |
| mobilisable plasmid:   | <input type="checkbox"/> yes   | <input type="checkbox"/> no   |                                     |
| own transfer system:   | <input type="checkbox"/> yes   | <input type="checkbox"/> no   |                                     |
| transfer by endogenous helper viruses:   | <input type="checkbox"/> yes   | <input type="checkbox"/> no   |                                     |
| <b>4. DATA CONCERNING THE GENETICALLY MANIPULATED ORGANISM<sup>5</sup></b>   |  |   |                                     |
| special properties:<br>(e.g. production of —; use as —-vector etc.)  |  |   |                                     |
| foreign DNA:   | <input type="checkbox"/> episomal  | <input type="checkbox"/> chromosomally integrated                           |                                     |
| potential risk:  | <input type="checkbox"/> pathogenic<br><input type="checkbox"/> toxigenic  | <input type="checkbox"/> tumorigenic<br><input type="checkbox"/> allergenic |                                     |
| <input type="checkbox"/> no potential risk   |  |   |                                     |
| please indicate why:   |  |   |                                     |
| According to the regulations of the GenTG <sup>6</sup> the DSM can only accept genetically manipulated, potentially pathogenic organisms for deposition when a copy of the permit issued by the competent authority (or by an equivalent national biological safety commission) for work on the organisms accompanies the deposition form. |  |   |                                     |

<sup>4</sup> Mark with a cross if additional information is given on an attached sheet.

<sup>5</sup> The DSM only accepts for deposit organisms which belong to hazard group 1 or 2, according to "Sichere Biotechnologie: Einstufung von biologischen Agenzien: Viren" (B 004 9/90 ZH 1/344) der Berufsgenossenschaft der chemischen Industrie and can be handled under the laboratory containment level L1 or L2 according to "Gesetz zur Regelung von Fragen der Gentechnik" (BGBl. I, pp.1080; 23/06/90).

<sup>6</sup> GenTG = Gesetz zur Regelung von Fragen der Gentechnik (German law for the regulation of questions concerning genetic engineering)

SCIENTIFIC DESCRIPTION<sup>7</sup>

Hybridoma cell line of murine origin used for production of monoclonal anti B-cell antibody.  
Anti-Human CD10.

CD10

## VII. ADDITIONAL DATA

Cell line was tested according to the CPMP guideline "Production and Quality Control of Monoclonal Antibodies of Murine Origin" (1987) Test panel included in vitro and in vivo tests for retroviruses, bovine viruses and other relevant viruses (MAP test)

VIII. DEPOSITOR<sup>9</sup>

Name:

Baxter International Inc.

Signature:

BY: *A.F. Staubitz*  
A.F. Staubitz  
Senior Vice President

Address:

One Baxter Parkway  
Deerfield, IL 60015-4633  
United States of America

Date:

BY: *John F. Gaither, Jr.*  
John F. Gaither, Jr.  
Vice President

May 16, 1995

- <sup>4</sup> Mark with a cross if additional information is given on an attached sheet.  
<sup>7</sup> It is strongly recommended that the scientific description and/or proposed taxonomic designation (see I.) of the organism be indicated.  
<sup>8</sup> Mark with a cross if additional information (other than the information referred to in footnote 4 is given on an attached sheet, such as the source of the organism, the name(s) and the address(es) of any other depository institution(s) with which the organism has been deposited, or the criterion used when drafting the proposed taxonomic designation (The supplying of such information is optional).  
<sup>9</sup> The name of the depositor must be identical with the signature. In case of a legal entity the signature of two representatives, officially nominated by this entity, are required. Where the signature is required on behalf of a legal entity, the typewritten name(s) of the natural person(s) acting on behalf of the legal entity should accompany the signature(s).

Please expedite the deposit number and fax directly to:

Janice Guthrie, Ph.D.  
Biotech Patent Agent  
+714-553-1952

PLEASE RESPOND IN LARGE PRINT

5 What is claimed is:

1. A method for selection of one or more target cells from a heterogeneous cell suspension, comprising;

10 (a) forming within said cell suspension at least a first complex comprising a cell separation means linked to a first primary antibody bound to a cell surface antigen on said target cells,

(b) separating said complex from said cell suspension, and

15 (c) contacting said first primary antibody of said complex with a first peptide which binds to said primary antibody and displaces said primary antibody from said cell surface antigen, thereby releasing the target cell from the complex.

20

2. The method of claim 1 wherein said cell separation means is linked to said first primary antibody by a protein means for binding to said primary antibody, said protein means being coupled to said cell separation means.

25

3. The method of claim 1 further including a second complex comprising a second primary antibody linked to said cell separation means and bound to a second cell surface antigen on a target cell, said method further comprising contacting  
30 said second primary antibody with a second peptide which binds to said second primary antibody and thereby displaces said second primary antibody from said second cell surface antigen, thereby releasing the target cell from the complex.

35 4. The method of claim 1 wherein said complex further comprises a second primary antibody bound to a second cell surface antigen on an undesired cell.

40 5. A method for selection of one or more target cells from a heterogeneous cell suspension and the removal from said

selected target cells of at least a first undesired cell, said method comprising;

(a) forming within said cell suspension a first plurality of complexes comprising a cell separation means linked to a first primary antibody bound to a first cell surface antigen present on said target cells,

(b) separating said first plurality of complexes from said cell suspension,

(c) contacting said first primary antibody of said complexes with a first peptide which binds to said first primary antibody to release said first primary antibody from said first cell surface antigen, thereby releasing the target cells from the complexes to form a first target cell composition including said target cells,

(d) forming within said first target cell composition a second plurality of complexes comprising a cell separation means linked to a second primary antibody bound to a second cell surface antigen on said undesired cell,

(e) separating said second plurality of complexes from said first cell composition to form a second target cell composition.

6. The method of claim 5 wherein said second target cell composition is substantially free of said undesired cell.

7. The method of claim 2 wherein said protein means for binding to the primary antibody is selected from the group consisting of Staphylococcus aureus Protein A, Streptococcus Protein G, and secondary antibodies.

8. The method of claim 7 wherein said primary antibody is a mouse monoclonal antibody, and said protein means for binding to the primary antibody is a secondary antibody comprising anti-mouse immunoglobulin.

9. The method of claim 8 wherein said secondary antibody is raised in an animal selected from the group consisting of rabbit, horse, goat, sheep, pig, and bovine species.

5 10. The method of claim 8 wherein said secondary antibody is a monoclonal antibody.

11. The method of claim 8 wherein said secondary antibody is a recombinant antibody produced by genetic engineering.

10

12. The method of claim 1 wherein said cell separation means is a solid support selected from the group consisting of paramagnetic beads, columns, hollow fibers, glass beads, polysaccharide beads, and polystyrene tissue culture flasks.

15

13. A peptide which is capable of displacing a monoclonal antibody bound to a cell surface antigen on a target cell.

14. The peptide of claim 13 having less than 30 amino acid residues.

20

15. The peptide of claim 14 having 4 to 20 amino acid residues.

25 16. The peptide of claim 14 having 4 to 10 amino acid residues.

17. The peptide of claim 13 wherein an amino-terminal amino acid is acetylated.

30

18. The peptide of claim 13 wherein a carboxy-terminal amino acid residue is amidated.

19. A peptide of claim 13 wherein said monoclonal antibody is produced by the hybridoma designated ATCC HB-11646, said

35

peptide having an amino acid sequence selected from the group consisting of

I. Q G X<sub>1</sub> F

and

5 II. X<sub>2</sub> Q G X<sub>1</sub> F X<sub>3</sub>

wherein X<sub>1</sub>= W, Y, S, F or T; X<sub>2</sub>= Q, N, T, or S; and X<sub>3</sub>= P, W, or S.

20. A peptide of claim 13 wherein said monoclonal antibody  
10 is produced by the hybridoma designated ATCC HB-11646, said peptide having an amino acid sequence selected from the group consisting of

III. Q G X F

IV. J<sub>1</sub> Q G X F J<sub>2</sub>

15 V. X Q G X F X

and

VI. J<sub>1</sub> X Q G X F X J<sub>2</sub>

wherein J<sub>1</sub> and J<sub>2</sub> are selected from the group consisting of  
0 - 6 amino acid residues.

20

21. A peptide of claim 20 wherein said J<sub>1</sub> and said J<sub>2</sub>  
contain amino acid residues selected from the group  
consisting of G, S, T, C, Y, N, Q, D, E, H, K and R.

22. A peptide of claim 13 wherein said monoclonal antibody is produced by the hybridoma designated ATCC HB-11646, said peptide having an amino acid sequence selected from the group consisting of

- 5 VII. J<sub>1</sub> Q Q G W F P J<sub>2</sub>  
VIII. J<sub>1</sub> T Q G S F W J<sub>2</sub>  
IX. J<sub>1</sub> Q Q G W F P K D J<sub>2</sub>  
X. J<sub>1</sub> Q Q G W F P D K J<sub>2</sub>  
XI. J<sub>1</sub> A D G A X Q G X F X G A K D J<sub>2</sub>  
10 XII. J<sub>1</sub> A D G A Q Q G W F P G A K D J<sub>2</sub>  
XIII. J<sub>1</sub> A D G A T Q G S F W G A K D J<sub>2</sub>  
XIV. J<sub>1</sub> N S S V Q S J<sub>2</sub>  
XV. J<sub>1</sub> A D G A L I S Q V S G A K D J<sub>2</sub>  
XVI. J<sub>1</sub> L I S Q V S J<sub>2</sub>  
15 XVII. J<sub>1</sub> N S S V X X J<sub>2</sub>  
XVIII. J<sub>1</sub> N S S V G L J<sub>2</sub>  
XIX. J<sub>1</sub> T G Q A S T J<sub>2</sub>  
XX. J<sub>1</sub> A D G A P F W G Q Q G A K D J<sub>2</sub>  
XXI. J<sub>1</sub> A D G A T Q G T F S G A K D J<sub>2</sub>  
20 XXII. J<sub>1</sub> P E L P T Q G T F S N V S K E J<sub>2</sub>  
XXIII. J<sub>1</sub> A D G A T Q G I C L G A K D J<sub>2</sub>  
XXIV. J<sub>1</sub> E V K L T Q G I C L E Q N K T J<sub>2</sub>

and

- XXV J<sub>1</sub> A D G A N Q G Y F P G A K D J<sub>2</sub>  
25 wherein J<sub>1</sub> and J<sub>2</sub> are selected from the group consisting of  
0 - 6 amino acid residues.

23. A peptide of claim 22 wherein said J<sub>1</sub> and said J<sub>2</sub>  
contain amino acid residues selected from the group  
30 consisting of G, S, T, C, Y, N, Q, D, E, H, K and R.

24. A peptid of claim 13 wherein said monoclonal antibody is produced by th hybridoma designated ATCC HB-11885 (9079), said peptide being selected from the group consisting of:

5 PGSPLG-KD  
YSRLGF-KD  
QYTQPK-D  
NLQGEF-KD  
RSFYR-D  
10 IQEFGV-KD  
SFRVGY-KD  
KD-VYSLWP-KD

25. A peptide of claim 13 wherein said monoclonal antibody is the antibody designated 561, said peptide being selected from the group consisting of:

|    | Designation | Sequence                          |
|----|-------------|-----------------------------------|
|    | 561A        | R H R H R H                       |
|    | 561B        | K R H K R H                       |
| 20 | 561C        | R T K T R F                       |
|    | 561D        | T R V P R R                       |
|    | 561E        | R H R P R H                       |
|    | 561CDR1H    | D-N Y W M Q-K                     |
|    | 561CDR2H    | A I Y P G D G D T R Y T Q K F K V |
| 25 | 561CDR3H    | N D G Y F D A M D Y               |
|    | 561CDR1L    | D-S A S S S V T F M H-K           |
|    | 561CDR2L    | D T S K L A S                     |
|    | 561CDR3L    | D-Q Q W N S N P L T-K             |
|    | 561CDR1H.2  | D-N Y W M Q -K D                  |
| 30 | 561CDR1L.2  | K D - S A S S S V T F M H -K D    |
|    | 561CDR3H.2  | A R N D G Y F D A M D             |
|    | 561CDR2L.2  | H D T S K L A S Q V - D           |
|    | 561L        | T C T N C H - K D                 |
|    | 561M        | A C K W C R                       |
| 35 | 561P        | Q K T D A Y - K D                 |
|    | 561Q        | K D - P A N V S L - K D           |



|     |                             |
|-----|-----------------------------|
| 34L | K D - P A N V S T - K D - C |
|     | T C K W C R                 |
|     | R V S W C R                 |
|     | T C T N C H                 |
| 5   | T C T K V H                 |
|     | F F R D V Y                 |
|     | F L H E C Y                 |
|     | Y I K G L F                 |
|     | Y I G T D H                 |
| 10  | V I M E E A                 |
|     | K L I A T A                 |
|     | T A A H T W                 |
|     | C S L H H Y                 |
|     | V L L S D N                 |
| 15  | M V W V N N                 |
|     | S W N Y T H                 |
|     | R V S G V G                 |
|     | R V S G C R                 |
|     | R Y G G S F                 |
| 20  | L R K V N G                 |
|     | W S V Q R D                 |
|     | F S I G A G                 |
|     | S P F V T M                 |
|     | S W N Y T H                 |
| 25  | R V S G V G                 |
|     | R V S G C R                 |
|     | R Y G G S F                 |
|     | L R K V N G                 |
|     | W S V Q R D                 |
| 30  | F S I G A G                 |
|     | S P F V T M                 |
|     | A C E W C R                 |
|     | A W W S N T                 |
|     | W C R R I T                 |
| 35  | Q K T D A Y                 |

|    |             |
|----|-------------|
|    | Q K A E A Y |
|    | Q K A D A Y |
| 5  | Q E T D A Y |
|    | Q E A D A Y |
|    | Q Q A D A Y |
| 10 | Q Q T D A Y |
|    | P A N V S L |
|    | P A D V S L |
| 15 | P P N V S L |
|    | T P N V S L |

26. A peptid of claim 13 wherein said mon clonal antib dy is the antibody designated 561, and said peptide is a cyclic peptide being selected from the group consisting of:

|    |                               |
|----|-------------------------------|
| 5  | Q C I D E F L R C I - K D     |
|    | D - Q C I D E F L R C I - K D |
|    | D - Q C I D E F L R C I - D   |
|    | Q C I D E F L R C I           |
|    | D C I D T F L R C V           |
| 10 | S C I D D F L R C A           |
|    | Q C I D A F R R C I           |
|    | N C I D T F V A C A           |
|    | N C I D K F L A C V           |
|    | Q C I D E L L R C I           |
| 15 | N C I D V F L T C V           |
|    | D C I E R F L T C V           |
|    | N C I E I F I S C V           |
|    | S C I E T F L Q C V           |
|    | G C I E R F F Q C V           |
| 20 | N C I E S F L R C V           |
|    | S C I N R F L T C V           |
|    | S C T N R F L T C V           |
|    | S C P V A I A S C T           |
|    | N C V D Q F I H C V           |
| 25 | N C V E A F L I C A           |
|    | N C V D K F L A C A           |
|    | Q C I A E F L R C I           |
|    | D C V E Q F L T C V           |
|    | L C R L L K Q L C N           |
| 30 | I C T D R Y P P C T           |

27. A peptide of claim 13 wherein said monoclonal antibody is produced by the hybridoma designated ATCC HB-11884 (9187), said peptide being selected from the group consisting of;

5       R W R W R H  
      A R F P R R  
      R H H L Y R  
      W Y R S H R  
      T R V P R R  
10       T P R N P R  
      L R R T F W  
      L V R I Q F  
      L V R V W F  
      L T R T V F  
15       R T K T R F

28. A method for identifying a specific peptide useful for releasing a target cell from a monoclonal antibody bound to a cell surface antigen, said method comprising selecting a candidate peptide by conducting at least one of the following techniques;

- 25       (a) random peptide library phage display and biopanning with said monoclonal antibody,  
      (b) random peptide library pin display and binding with said monoclonal antibody,  
      (c) analysis of potential antigenic peaks of the cell surface antigen,  
30       (d) analysis of complementarity determining regions (CDRs) of the monoclonal antibody,  
      (e) theoretical molecular modeling of the three-dimensional structure of said monoclonal antibody;

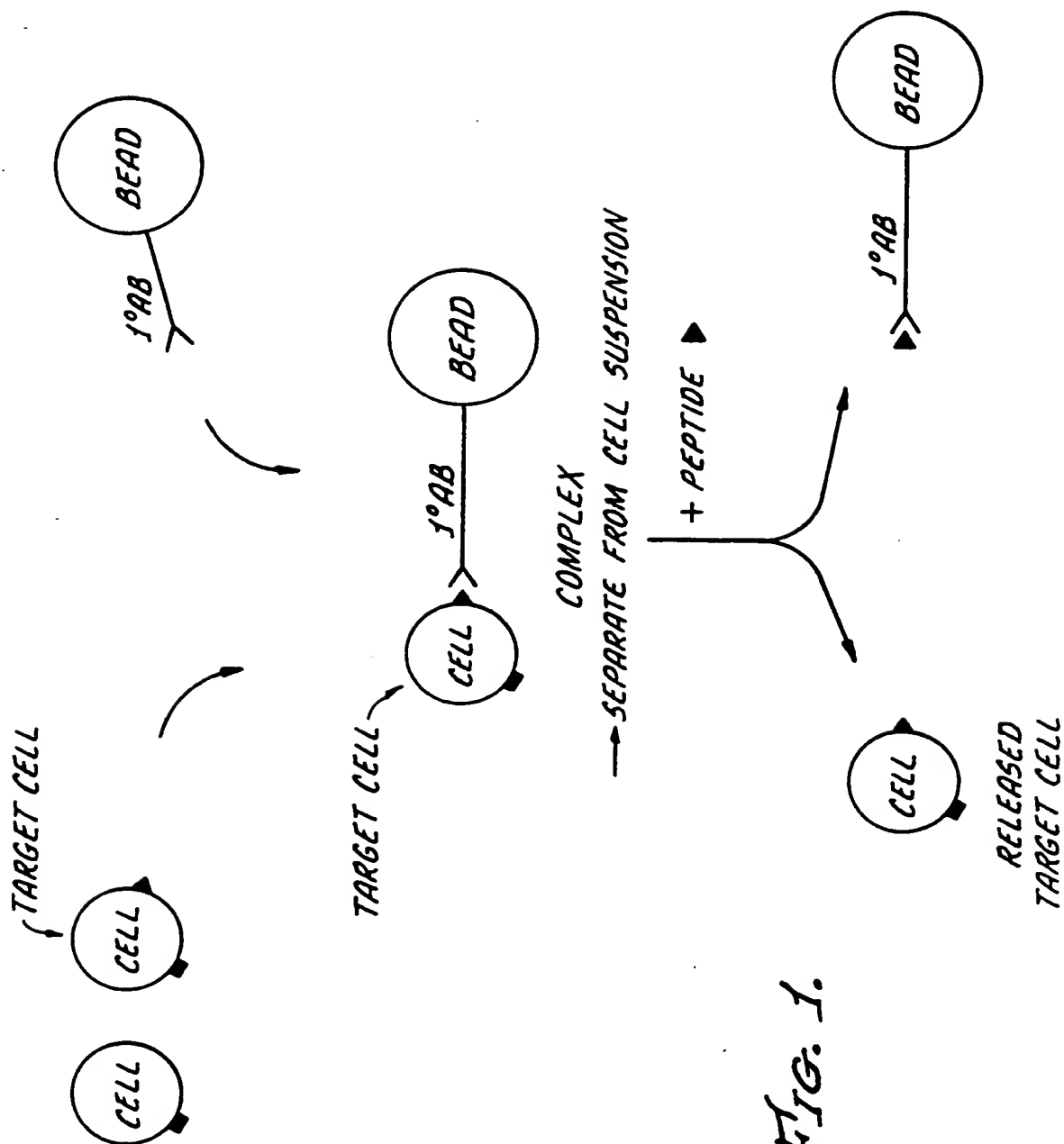
and determining the ability of said candidate peptide to displace the antibody from the target cell, thereby releasing the target cell.

- 5 29. A method for identifying a specific peptide useful for releasing an antibody from a cell antigen, comprising;  
forming a complex of said antibody and said cell antigen,  
bringing said complex into reactive contact with one  
10 or more peptides,  
determining whether said antibody is released from said cell antigen, and  
identifying which of said peptides effected the release of said antibody from said cell antigen.
- 15 30. The method of claim 29 wherein said complex is affixed to a solid support.
- 20 31. The method of claim 29 wherein said one or more peptides are affixed to a solid support and where said peptide is identified by the binding of the antibody to such peptide.
- 25 32. A method for assaying the number of specific cells in a cell composition, comprising;  
a) providing a monoclonal antibody which binds to said specific cells,  
b) providing a peptide which is capable of displacing said monoclonal antibody from said specific cells, said  
30 peptide being linked to a solid support to form an artificial cell target,  
c) establishing a standard curve for displacement of monoclonal antibody from said artificial cell target,  
d) contacting said artificial cell target with said  
35 monoclonal antibody and a sample containing an unknown number of said specific cells which compete with said

artificial cell target for binding with said monoclonal antibody, and

e) comparing a signal obtained from step (d) with signals obtained in step (c).

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FIG. 1.

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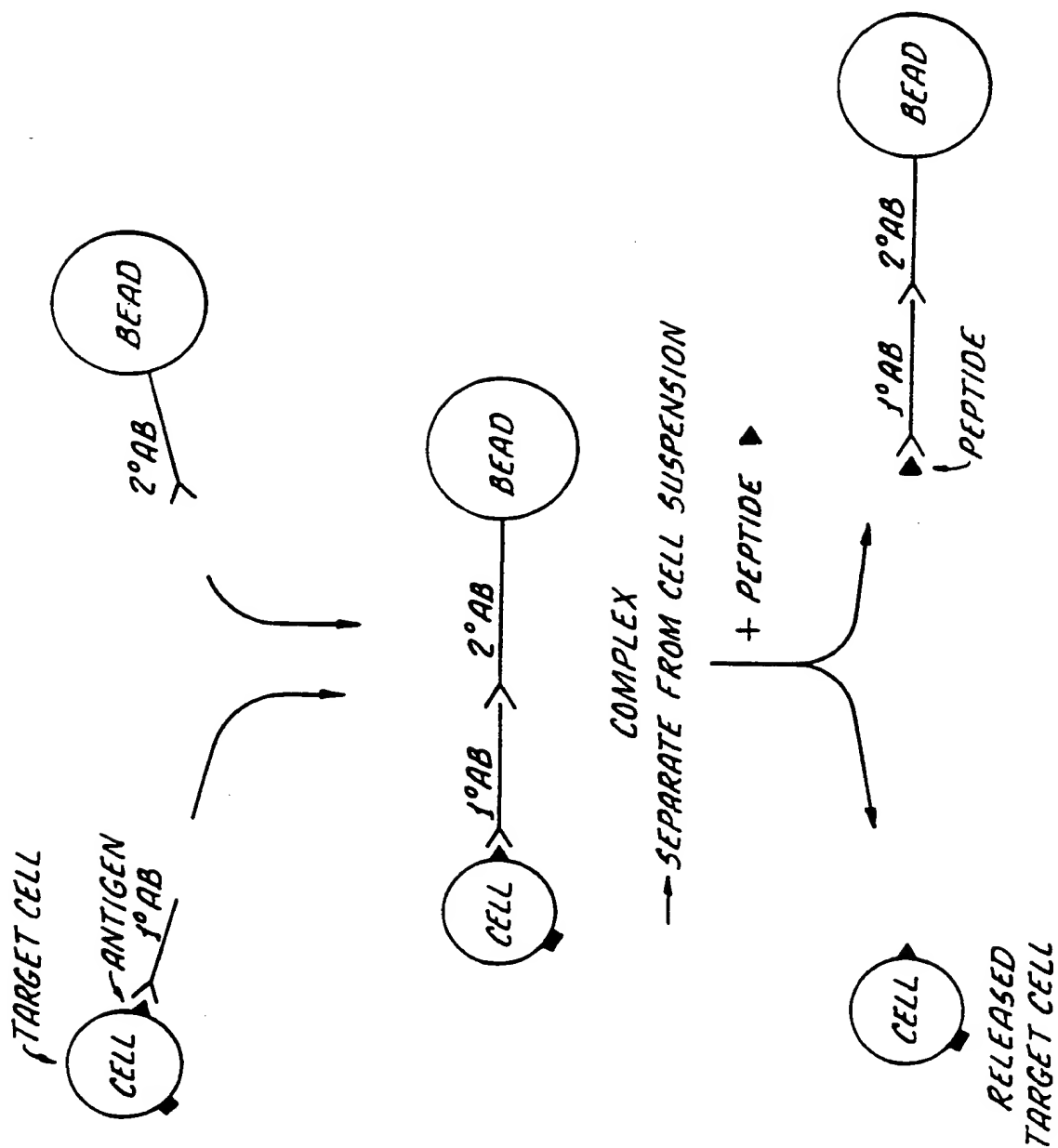


FIG. 2.



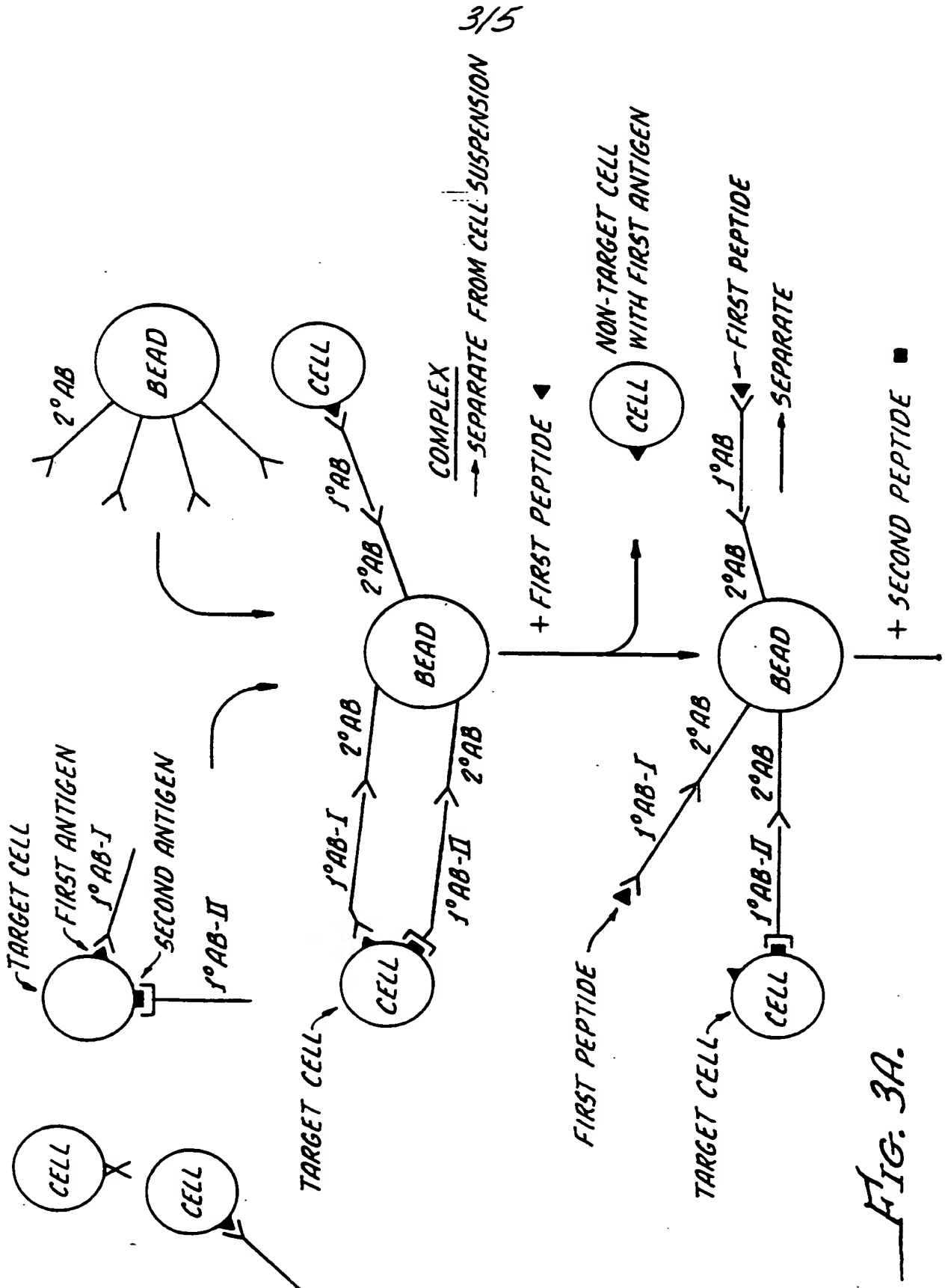


FIG. 3A.

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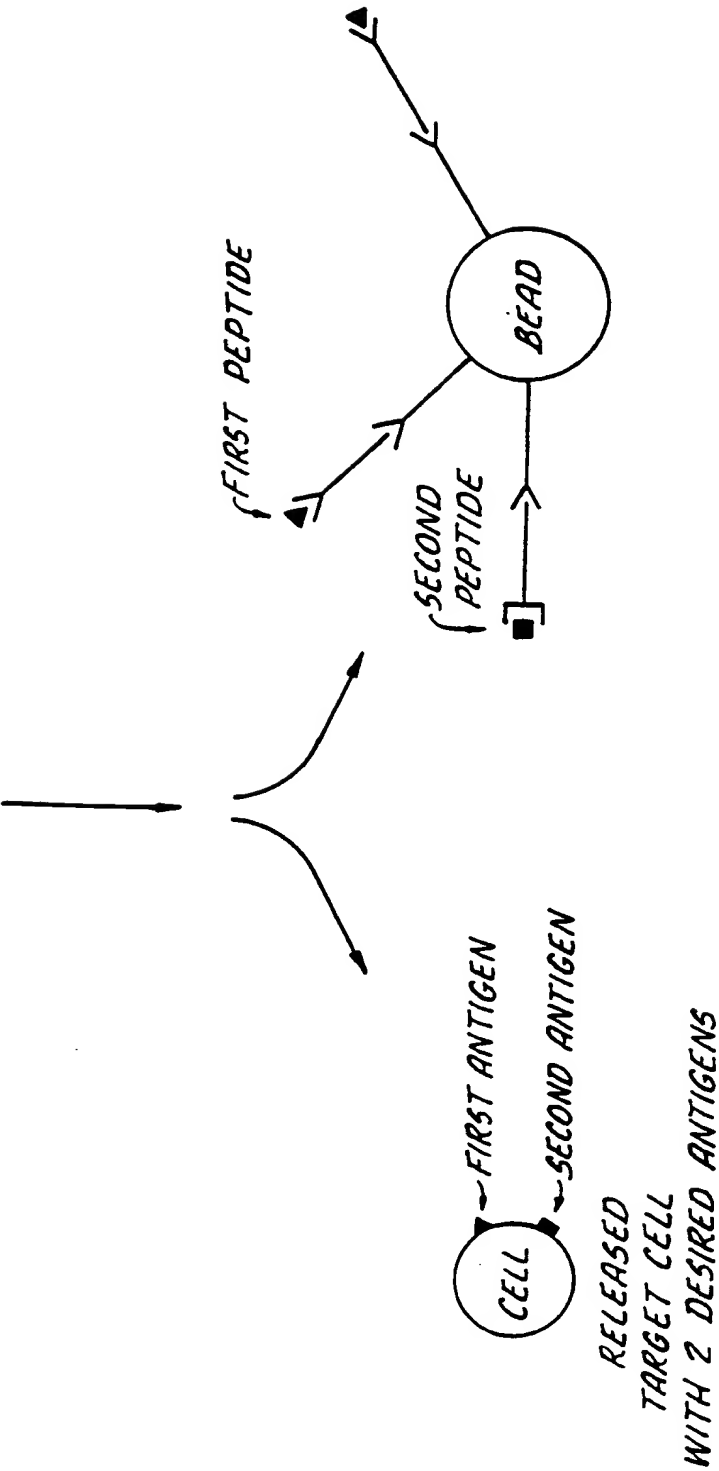


FIG. 3B.

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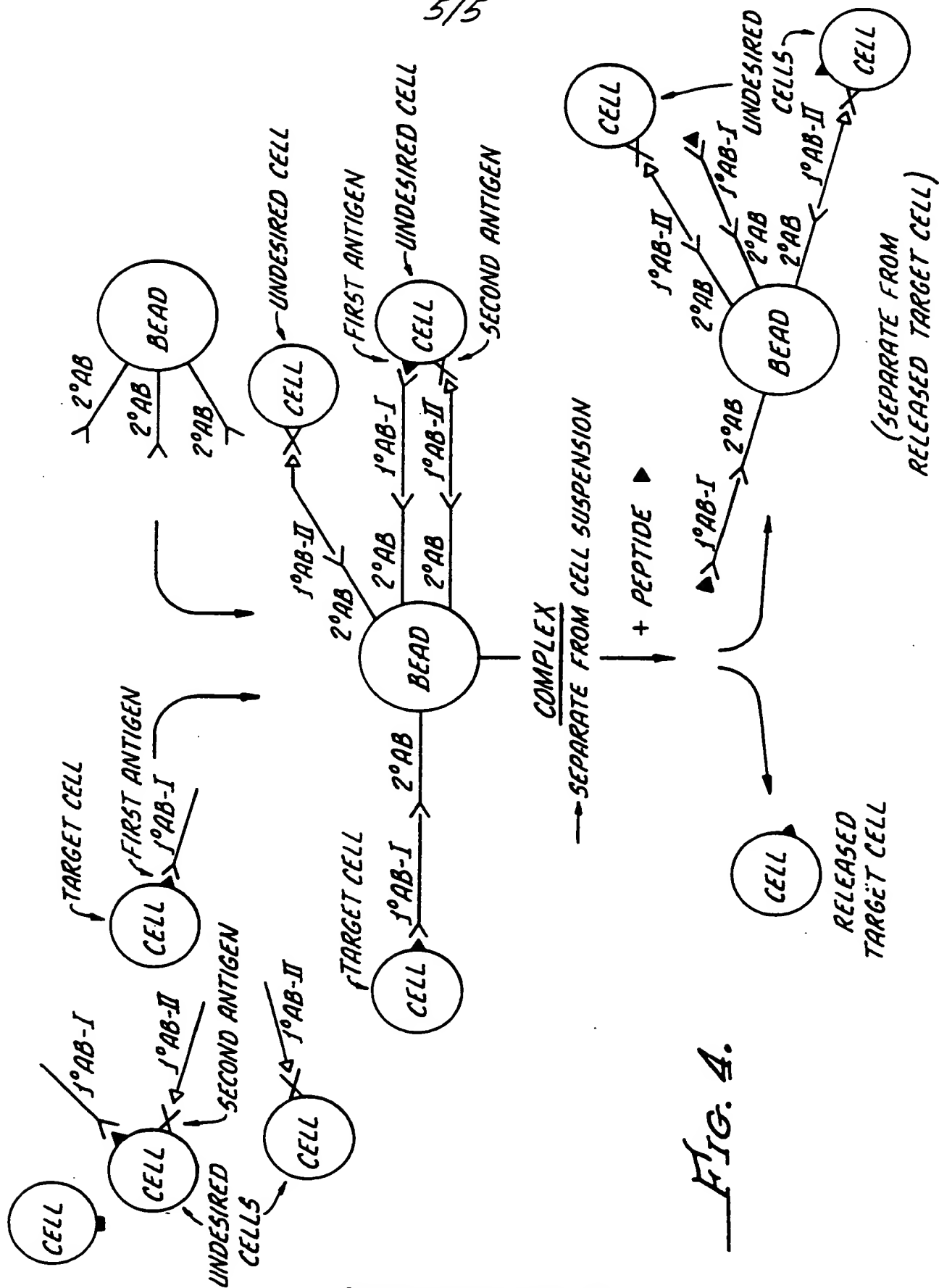


FIG. 4.

## INTERNATIONAL SEARCH REPORT

Inter. Application No  
PCT/US 95/07491

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 G01N33/569 C07K7/06 C07K7/08 C07K14/52

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 G01N C07K

Documentation searched other than minimum documentation to the extent such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages  | Relevant to claim No. |
|------------|---|-----------------------|
| X          | WO,A,93 14781 (THE REGENTS OF THE UNIVERSITY OF CALIFORNIA) 5 August 1993<br>see claims 22,23<br>---  | 19,20                 |
| X          | PROC. NATL. ACAD. SCI. USA,<br>vol. 90, August 1993<br>pages 7573-7577,<br>J. F. ZAGURY ET AL. 'Identification of CD4 and major histocompatibility complex functional peptide sites and their homology with olidopeptides from human immunodeficiency virus type 1 glycoprotein gp 120: role in AIDS pathogenesis.'<br>see page 7574, column 2, line 1; figure 1<br>--- | 19,20                 |
| A          | WO,A,94 02016 (KESSLER STEVEN) 3 February 1994<br>see the whole document<br>---   | 1-12                  |

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

23 October 1995

Date of mailing of the international search report

15. 11. 95

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## INTERNATIONAL SEARCH REPORT

Inventor's Application No  
PCT/US 95/07491

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No. |
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| A          | EP,A,0 344 006 (ORTHO PHARMA CORP<br>;CALIFORNIA INST OF TECHN (US)) 29<br>November 1989<br>see claims 1,2<br>---  | 19,20                 |
| P,A        | WO,A,95 09230 (SYSTEMIX INC ;SCHWARTZ<br>RICHARD M (US); ELKALAY MOHAMMED A (US)) 6<br>April 1995<br>see page 22, line 24 - line 30<br>see page 7, line 25 - page 8, line 11;<br>claims 1,4,11,13<br>--- | 1-12                  |
| P,A        | WO,A,95 07466 (BAXTER INT) 16 March 1995<br>see the whole document<br>-----  | 1-12                  |

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 95/07491

| Patent document<br>cited in search report | Publication<br>date | Patent family<br>member(s) | Publication<br>date |
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|   |                     | CA-A- 2141428              | 03-02-94            |
|   |                     | EP-A- 0652703              | 17-05-95            |
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|   |                     | EP-A- 0656010              | 07-06-95            |
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|   |                     | AU-B- 7962194              | 18-04-95            |
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